

Annex 3 Financial Reports - DG RTD - Financial Year 2009

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Economic Outturn Account

Table 6 : Average Payment Time Limits

Table 7 : Income

Table 8 : Forecasts of Revenue

Table 9 : Recovery of undue Payments

Table 10 : Ageing Balance of Recovery Orders

Table 11 : Waivers of Recovery Orders

Table 12 : Negotiated Procedures (excluding Building Contracts)

Table 13 : Summary of Contracts (excluding Building Contracts)

Table 14 : Building Contracts

Table 15 : Contracts declared Secret

Additional comments

Tables 1 and 2 include EU, EFTA, third countries' contribution as well as C4 and C5 credits together with commitments that can be implemented in 2010 (n+1) or until the end of FP7. When we take into consideration only the credits that may not be carried forward to the next year, the implementation rates reach 99.9% for the commitments and 99.6% for the payments.

Table 6 shows 11461 payments while RTD report shows 23433 because only payments with invoice are included therefore payments to experts are excluded.

Tables 7 and 10 include Recovery Orders of a value of 8MEur to be deducted (1) and show the situation after the DG BUDG corrections. For contract AIR1-CT92 0511 RO of 1.6MEur have been issued for each of the 6 consortium members, being jointly and severally liable. They all appear in the 2000 figures, although the actual debt is only 1.6MEur. Therefore 8MEur have to be deducted.

Concerning tables 12 and 13, please note that

- (1) 2008 data are given for comparison and information purposes only. Please refer to the RAA 2008 for complete details.
- (2) For IT reasons four lots of one public procurement are shown as separate open procedures in table 13 in one case and two lots of one public procurement in another case. In addition one contract with three contractors is counted three times instead of once. The actual number of open procedures is therefore 12 instead of 18.
- (3) For the same reasons the amount of the contract with three contractors has been tripled. The correct amount of all procedures is therefore 259.689.899,82 and the correct amount of open procedures is 258.933.168.

Tables 14 and 15 have no data to be reported

TABLE 12 : CENSUS OF NEGOTIATED PROCEDURES - DG RTD - YEAR 2009

NEGOTIATED PROCEDURES - Contracts > 60.000

Count :	2
Total amount :	756 731,82

Number	Contractor(s)		Type of contract	Description	Amount (€)	Legal base
	Name	Address				
0277121	KONGRESOVE CENTRUM PRAHA AS*HOLIDAY INN PRAGUE CONGRESS CENTRE	5 KVETNA 65,PRAHA 4,CZ	Service	RTD.L.5 - KPC PROVISION OF SERVICES - PRAGUE 2009	372 811,82	Art. 126 1b
0328328	FORMIT - FONDAZIONE PER LA RICERCA SULLA MIGRAZIONE E SULLA INTEGRAZIONE DELLE TECNOLOGIE*	VIA GIOVANNI GEMELLI CARERI 11,ROMA,IT	Service	RTD/B2/CRITICAL MASS/2009-01	383 920,00	Art. 127 1a
0328328	FRAUNHOFER GESELLSCHAFT ZUR FORDERUNG DER ANGEWANDTEN FORSCHUNG EV*FH G	HANSASTRASSE 27C,MUNCHEN,DE	Service	RTD/B2/CRITICAL MASS/2009-01	383 920,00	Art. 127 1a
0328328	UNIVERSITE DE STRASBOURG*UDS	RUE BLAISE PASCAL 4,STRASBOURG,FR	Service	RTD/B2/CRITICAL MASS/2009-01	383 920,00	Art. 127 1a

TABLE 13 : SUMMARY OF PROCEDURES OF DG RTD EXCLUDING BUILDING CONTRACTS

Internal procedures > € 60,000	2008		2009	
Procedures and types of contracts	Count	Amount (€)	Count	Amount (€)
Service	11	54 131 269,00	20	353 044 581,82
TOTAL	11	54 131 269,00	20	353 044 581,82
Procedures and types of contracts	Count	Amount (€)	Count	Amount (€)
Negotiated Procedures	1	80 000,00	2	756 731,82
Open Procedures	7	52 986 969,00	18	352 287 850,00
Other	2	900 300,00	0	0
Restricted Procedures	1	164 000,00	0	0
TOTAL	11	54 131 269,00	20	353 044 581,82

External procedures > € 10,000
Procedures and types of contracts
TOTAL
Procedures and types of contracts
TOTAL

Additional comments

Please note that

(1) 2008 data are given for comparison and information purposes only. Please refer to the RAA 2008 for complete details.

(2) For IT reasons four lots of one public procurement are shown as separate open procedures in the above table in one case and two lots of one public procurement in another case. In addition one contract with three contractors is counted three times instead of once. The actual number of open procedures is therefore 12 instead of 18.

(3) For the same reasons the amount of the contract with three contractors has been tripled. The correct amount of all procedures is therefore 259.689.899,82 and the correct amount of open procedures is 258.933.168.