TACKLING THE EVALUATION OF SOCIETAL IMPACT

The experience of Joint Programming Initiative Urban Europe

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OVERVIEW

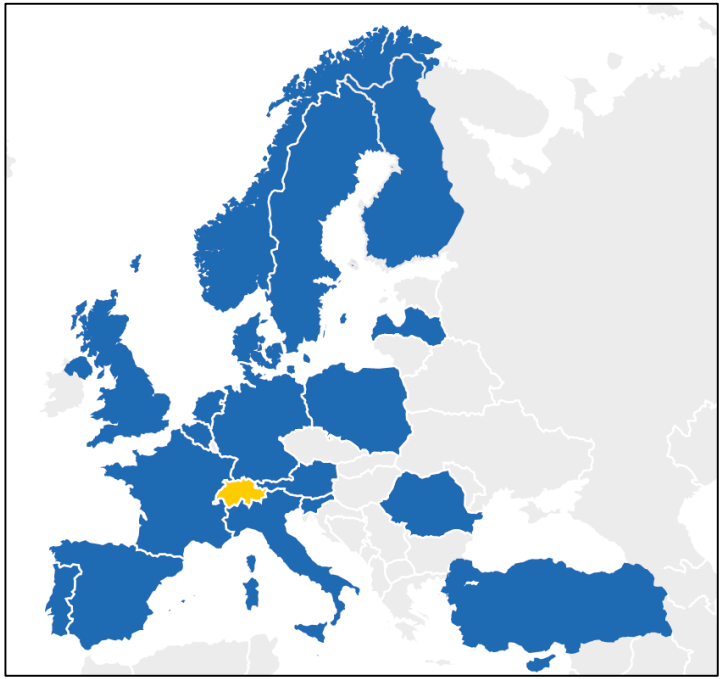
- JPI Urban Europe – societal impact and its embeddedness
- Monitoring and evaluation concept of the JPI Urban Europe
- Indicators and first results
- Resume on the monitoring and evaluation of societal impact

JOINT PROGRAMMING INITIATIVE URBAN EUROPE

The JPI Urban Europe (UE) was established in 2010 to address the global urban challenges of today with the ambition to develop a **European research and innovation hub** to **support Europe's cities in their transition towards a smart urban future** by means of coordinated research

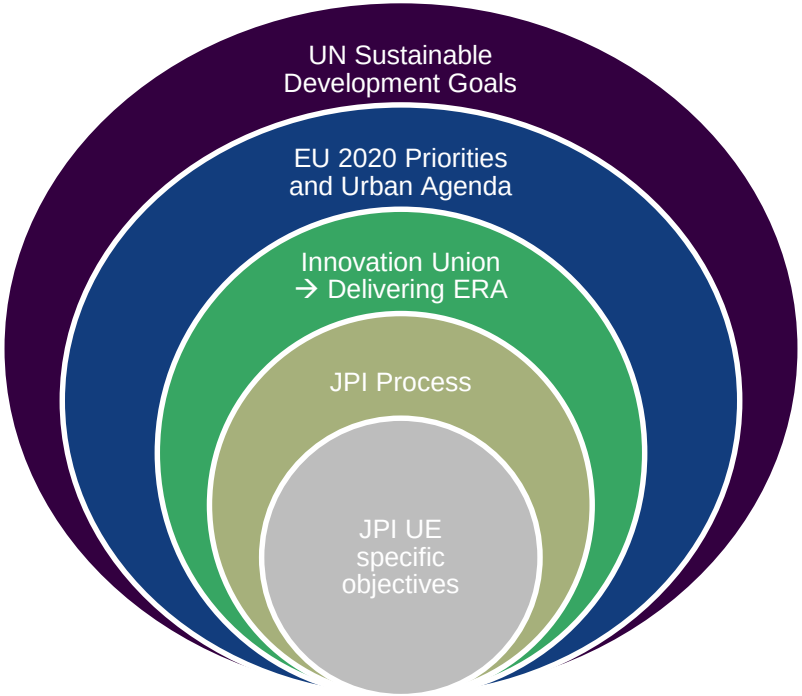
20 countries actively support the JPI UE and participate in its joint actions

JPI UE connects **public authorities, civil society**, scientists, innovators, business and industry to provide a new environment for urban research and innovation



EMBEDDEDNESS IN A POLICY CONTEXT

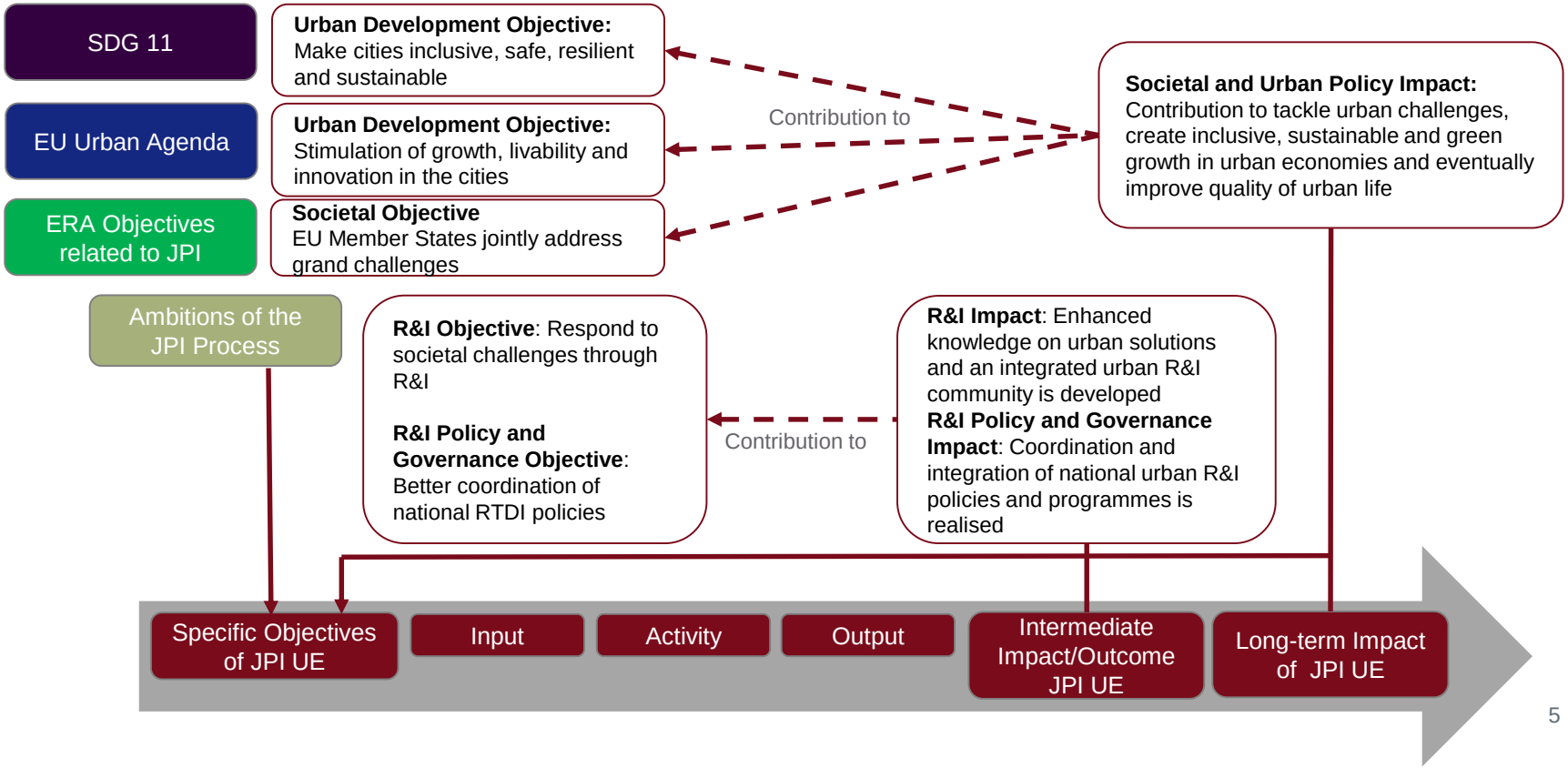
European and International Policy Context



Implications from the policy context for M&E

- JPI UE is expected to deliver to broader policy goals
- JPI UE indicators to measure progress and impact should also give indications whether JPI UE meets broader policy goals

ELEMENTS OF A LOGICAL FRAMEWORK FOR IMPACT ASSESSMENT



JPI UE OBJECTIVES

Societal and Urban Policy Objectives

- Become a source of knowledge and solutions for informing urban policies
- Foster public sector innovation and capacity building for urban transitions
- Mobilise societal actors to co-create ideas, concepts and solutions to better meet societal and cities' needs

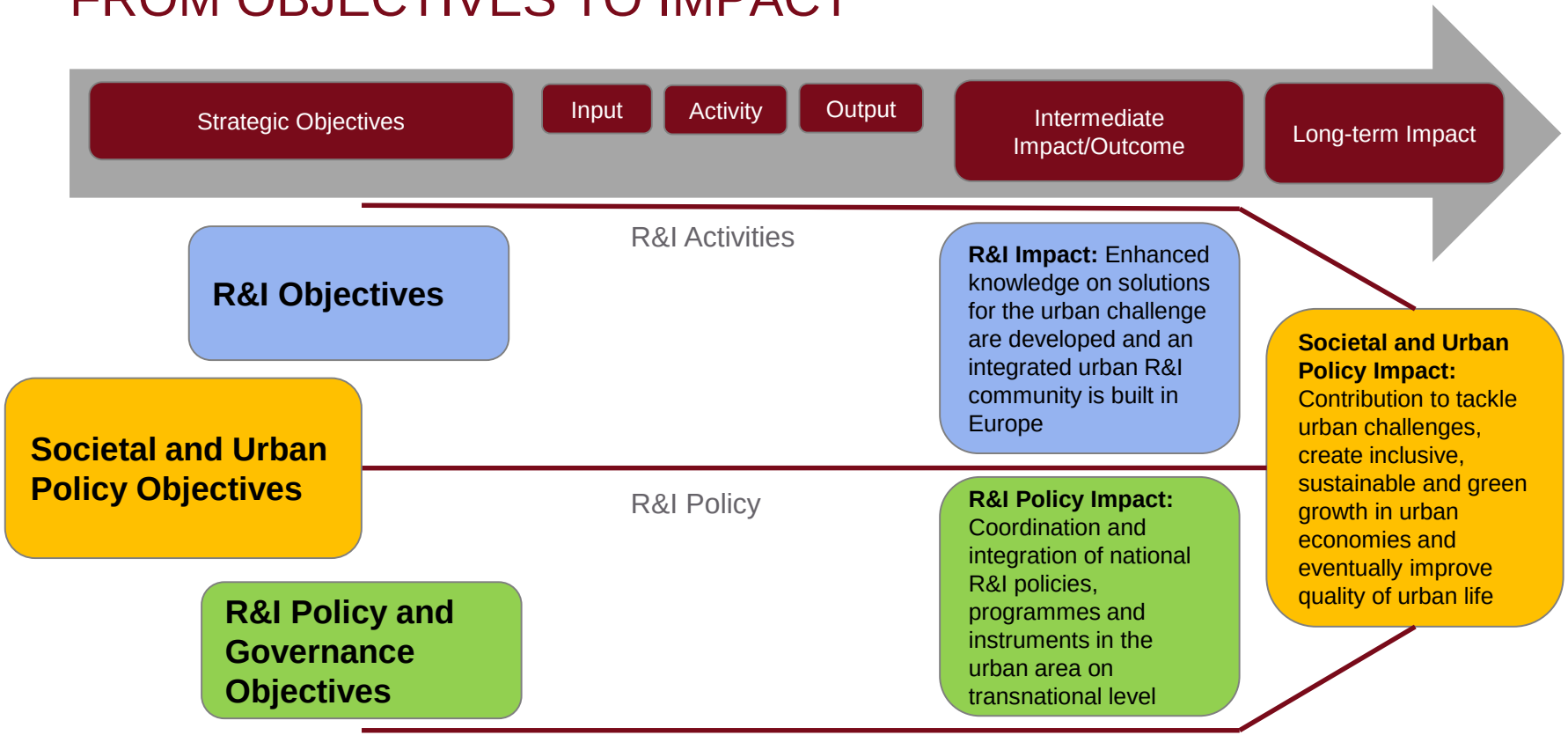
R&I Objectives

- Setting common research priorities
- Connect fragmented socio-technical approaches to sustainable urban solutions
- Being responsive to urgent urban issues

R&I Policy and Governance Objectives

- Align national R&I priorities
- Widen the portfolio of instruments for urban research and innovation
- Improve the governance system to maintain cooperation under variable geometry

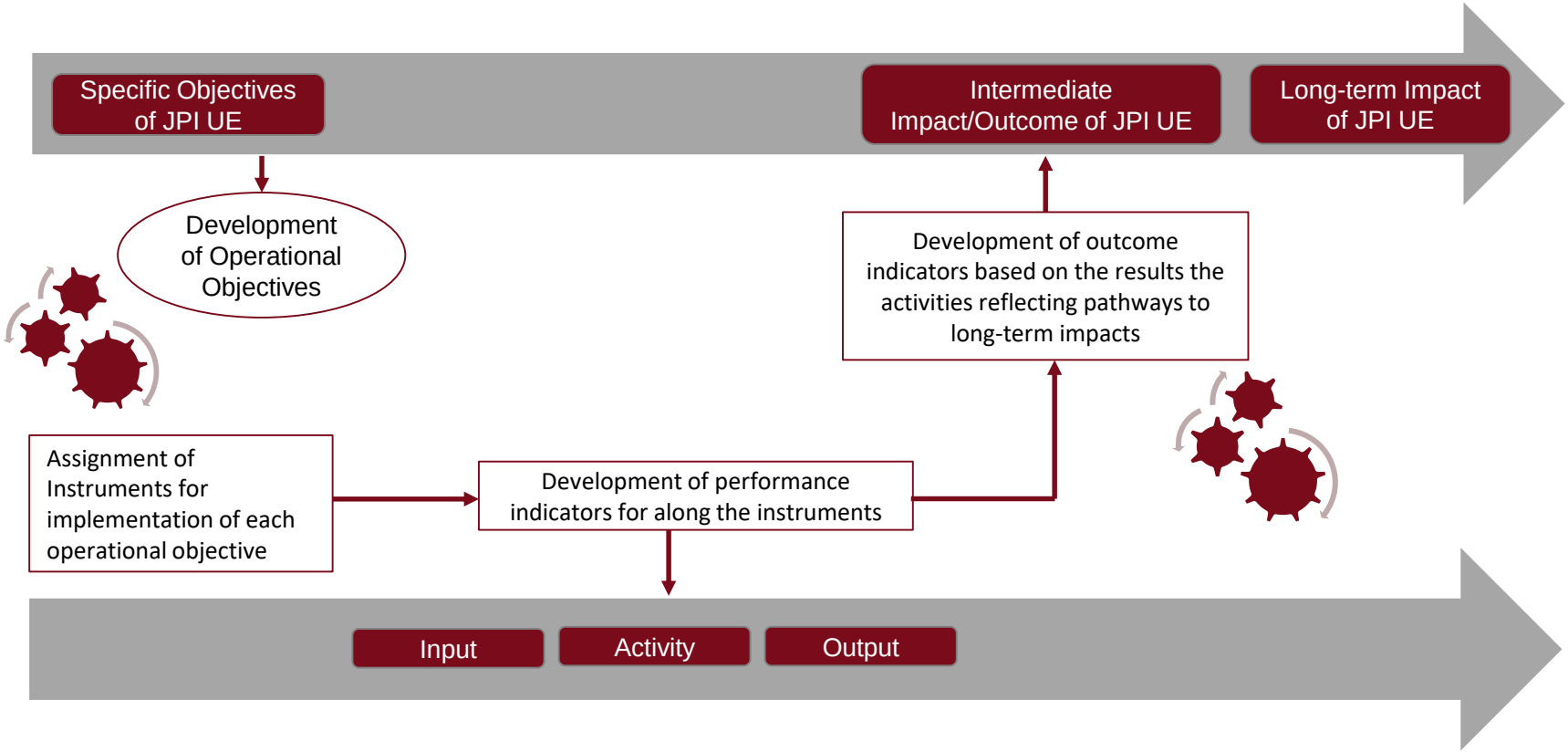
FROM OBJECTIVES TO IMPACT



OPERATIONALISATION OF IMPACTS AND INSTRUMENTS TO IMPLEMENT OBJECTIVES

Societal and Urban Policy Objectives		Instruments for implementation of objectives							
Expected Impact	**Operationalisation of Impacts**	Joint Calls	New instr.	SIP	PM	C&D	UERA	SRIA 2.0	Govern.
Contribution to tackle urban challenges, create inclusive, sustainable and green growth in urban economies and eventually improve quality of urban life	Become a well-recognised source of evidence-based knowledge and integrated solutions for informing European and international urban policies			O	O	O			
	Foster public sector innovation and capacity building in urban planning, management, and (regional, national, EU, and international) policy making for urban transitions	O	O	O	O	O	O		
	JPI UE in all its activities mobilise societal actors and stakeholders to co-create ideas, concepts and solutions to better meet societal and cities' needs			O	O	O			
	Raise visibility of JPI UE in the various target groups, strengthen communication with public and private sector stakeholders and establish as an attractive partner for cities, business and societal actors to optimise and accelerate urban transitions		O	O	O	O	O	O	

INDICATOR DEVELOPMENT



PROCESS OF INDICATOR DEVELOPMENT

- Co-creation of indicators in several workshops
- First set of indicators for programme monitoring ready in 2017
- Pilot test in 2018
- Revised set of 20 indicators for the JPI UE Programme, thereof 8 indicators targeting societal activities/impact

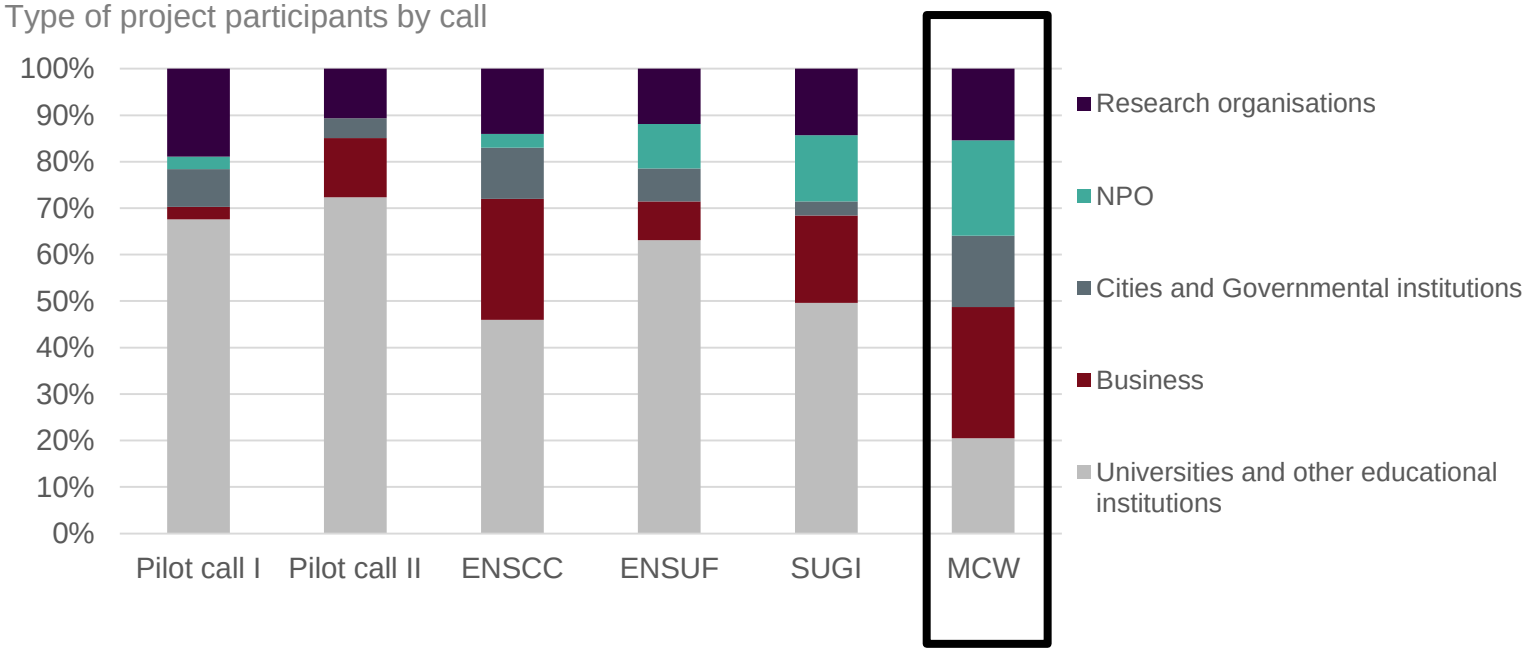
EXAMPLES OF INDICATORS WITH RESPECT TO SOCIETAL ACTIVITY/IMPACT

- Societal objectives addressed throughout impact chain and across instruments

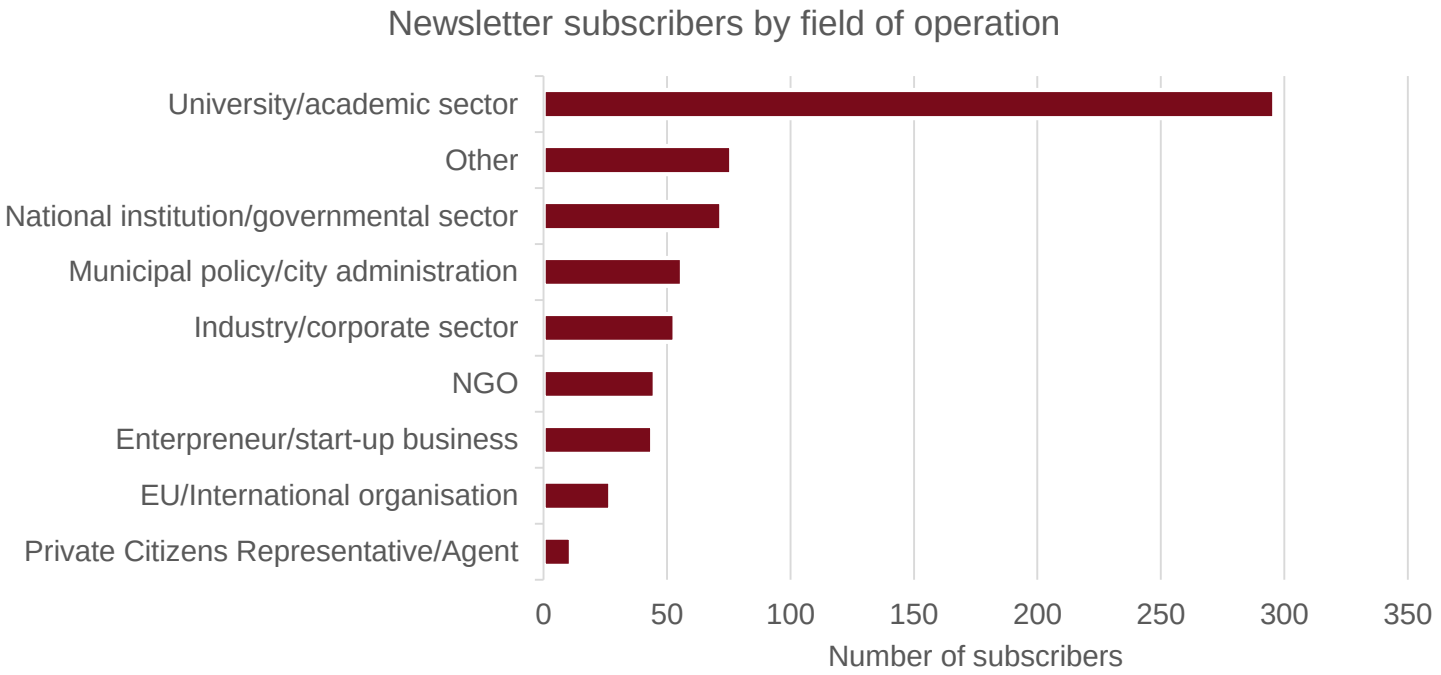
Instrument	Phase	Indicator
Joint Call	Input	# of calls and projects delivering solutions towards the themes of Vibrant urban economies, Welfare and finance, Urban environmental resilience, Accessibility and connectivity, Governance and participation
Joint Call	Output	# of project that involve public sector (cities and other governmental institutions) or societal stakeholders (non-commercial/non-profit organisations, citizens organisations, special interest groups, like unions, chambers, inter-trade organisations)
Research Alliance	Output	Trained persons from public sector and societal stakeholders by JPI UE initiatives/UERA
New Formats	Output	Development and implementation of new joint formats to mobilise cities and societal stakeholders
Stakeholder Involvement Platform	Output	Engagement of cities and societal stakeholders events of the JPI Urban Europe
Communication & Dissemination	Outcome/ Impact	Cities and societal stakeholders using the JPI UE website or other media to get informed about JPI UE and its projects and actions
Projects	Outcome/ Impact	Exploited/utilised output by cities and societal stakeholders at the end of the project and capacity building
Projects	Outcome/ Impact	Follow-up project activities exists to strengthen knowledge transfer, cooperation or investments in innovations
Projects	Outcome/ Impact	Urban innovations (outputs) that have been upscaled and replicated at the end of the project

JOINT CALLS: PROJECT BENEFICIARIES

- Tailor R&I instruments (innovation action) taking into account research needs for cities/societies are essential

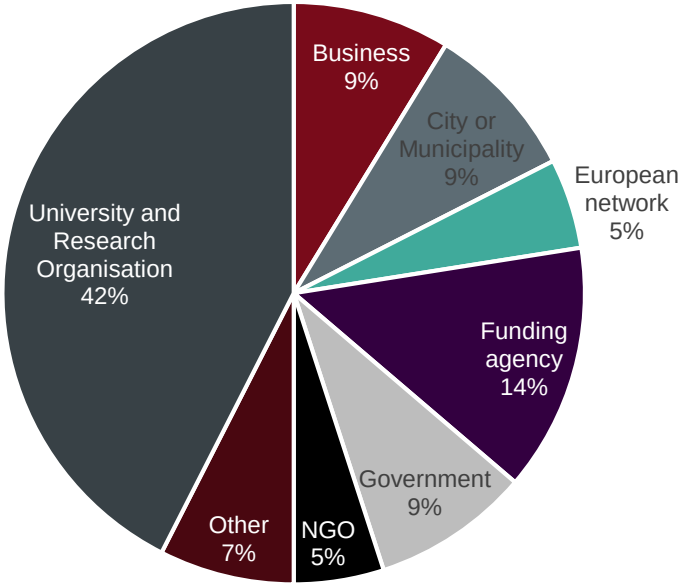


COMMUNICATION & DISSEMINATION



PUBLIC ENGAGEMENT EVENTS

Stakeholder at the events of the JPI Urban Europe



- Meeting space for urban actors from a diversity of backgrounds
- City representatives respond to varying degree depending on format

TANGIBLE OUTPUTS INCLUDING SOCIETAL IMPACT

URBAN EUROPE

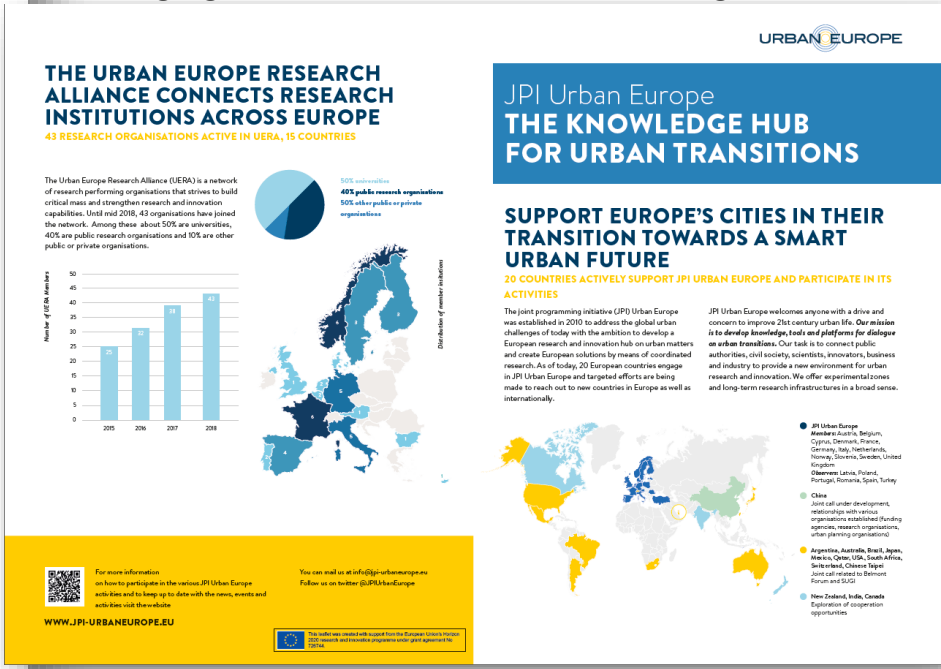
Final WORKING REPORT

Progress Monitor JPI Urban Europe

Findings of the Pilot Action on Programme Monitoring

October 2018

- Leaflet on JPI UE progress online
- Evaluation Report on the engagement of cities forthcoming



RESUME ON THE MONITORING AND EVALUATION OF SOCIETAL IMPACT

- JPI UE develops a new environment for urban research and innovation ➔ research with (not only for) society
- Expected impacts of JPI UE contribute to broader societal goals, e.g. Urban Agenda and SDG
- Societal objectives addressed throughout the impact chain and across instruments
- Tailor R&I instruments taking into account research needs for cities/societies are essential
- Co-creation approach in JPI UE towards monitoring and self-evaluation mobilised awareness of programme manager to better tailor their instruments towards societal impact from the very beginning
- Internal monitoring and self-evaluation of JPI UE allows for consequent regular policy and programme learning towards societal impact

EXPAMPLES TO INCREASE SOCIETAL IMPACT

Funding Agencies

- Many funding agencies cannot fund cities, therefore JPI UE is just developing an overview of support measures the different agencies can offer (funding for experts, living labs in cities, etc.)
- Innovation Actions as a specific instrument to support problem-oriented calls with cities in the lead has been designed

Projects

- Engagement of societal stakeholders as project partner/supporters is outlined in the call text
- Experience of researchers to work with societal stakeholders must be described in the project proposal (not only scientific experience)
- Evaluators for project proposal are not only researchers, but also come from cities, urban industry etc.
- Call programme over years creates community and allows for project impact assessment

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TOMORROW TODAY

THANK YOU!

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