

## **Annex 3 Financial Reports - DG - Financial Year 2012**

**Table 1 : Commitments**

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## Additional comments

*Tables 1 and 2 include EU, EFTA, third countries' contribution as well as C4 and C5 credits together with commitments that can be implemented in 2011 ( n+1) or until the end of FP7. When we take into consideration only the credits authorised by DG RTD that may not be carried forward to the next year, the implementation rates reach 100% as well for the commitments as for the payments.*

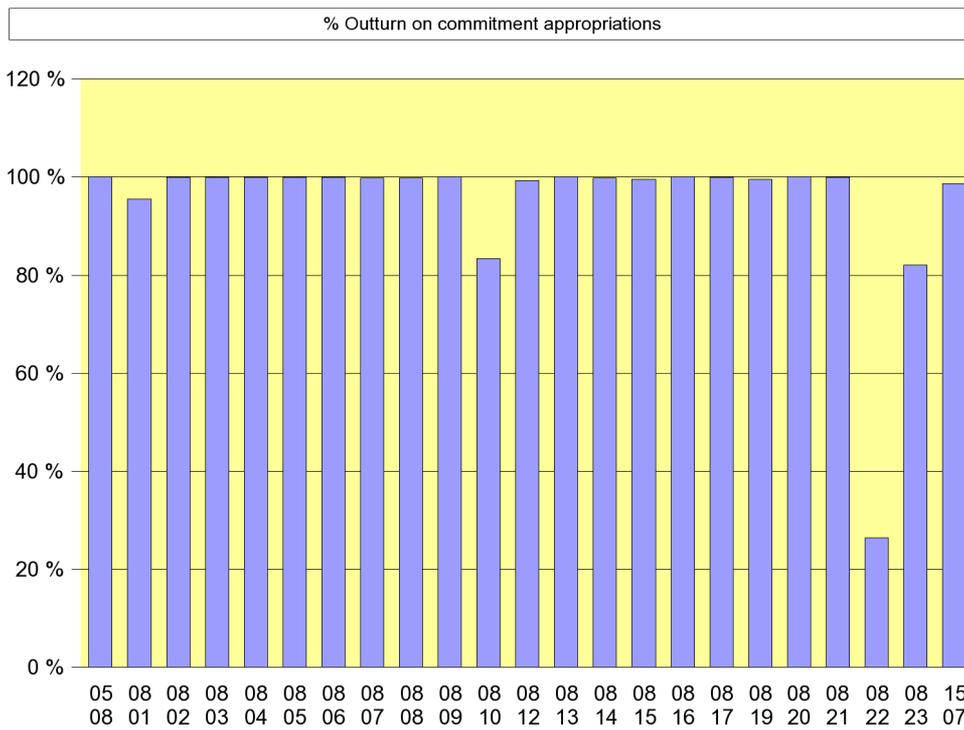
*Table 6 shows 7419 payments while RTD report shows 15115 because only payments with invoice or experts reimbursed by RTD are included, therefore payments to evaluators and expert meetings are excluded.*

*Tables 7 and 9 include Recovery Orders of a value of 8 M€ to be deducted and show the situation after the DG BUDG corrections. For contract AIR1-CT92 0511 RO of 1,6 M€ have been issued for each of the 6 consortium members, being jointly and severally liable. They all appear in the 2000 figures, although the actual debt is only 1,6 M€. Therefore 8 M€ have to be deducted.*

*Tables 13 and 14 have no data to be reported.*

<b>TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2012 (in Mio €)</b>					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
<b>Title 05 Agriculture and rural development</b>					
05	05 08	Policy strategy and coordination of the `Agriculture and rural development` policy area	0.5	0.5	100.00 %
<b>Total Title 05</b>			0.5	0.5	100.00%
<b>Title 08 Research</b>					
08	08 01	Administrative expenditure of the `Research` policy area	354.14	338.3	95.53 %
	08 02	Cooperation ` Health	971.02	970.84	99.98 %
	08 03	Cooperation ` Food, agriculture and fisheries, and biotechnology	322.03	321.65	99.88 %
	08 04	Cooperation ` Nanosciences, nanotechnologies, materials and new production technologies	524.74	524.69	99.99 %
	08 05	Cooperation ` Energy	195.25	195.18	99.96 %
	08 06	Cooperation ` Environment (including climate change)	292.97	292.81	99.95 %
	08 07	Cooperation ` Transport (including aeronautics)	497.97	497.06	99.82 %
	08 08	Cooperation ` Socioeconomic sciences and the humanities	94.97	94.8	99.82 %
	08 09	Cooperation ` Risk-sharing finance facility (RSFF)	203.15	203.15	100.00 %
	08 10	Ideas	0.02	0.02	83.40 %
	08 12	Capacities ` Research infrastructures	51.91	51.54	99.28 %
	08 13	Capacities ` Research for the benefit of small and medium-sized enterprises (SMEs)	19	19	100.00 %
	08 14	Capacities ` Regions of knowledge	20.64	20.6	99.82 %
	08 15	Capacities ` Research potential	70.83	70.51	99.55 %
	08 16	Capacities ` Science in society	46.02	46.02	100.00 %
	08 17	Capacities ` International cooperation activities	32.94	32.94	100.00 %
	08 19	Capacities ` Support for coherent development of research policies	11.34	11.28	99.48 %
	08 20	Euratom ` Fusion energy	1,150.21	1,150.21	100.00 %
	08 21	Euratom ` Nuclear fission and radiation protection	54.32	54.31	99.98 %
	08 22	Completion of previous framework programmes and other activities	715.3	188.38	26.34 %
	08 23	Research programme of the research fund for coal and steel	67.93	55.71	82.01 %
<b>Total Title 08</b>			5,696.69	5,138.99	90.21%
<b>Title 15 Education and culture</b>					
15	15 07	People ` Programme for the mobility of researchers	4.57	4.51	98.69 %
<b>Total Title 15</b>			4.57	4.51	98.69%
<b>Total DG RTD</b>			5,701.76	5,144	90.22 %

\* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal and external assigned revenue).



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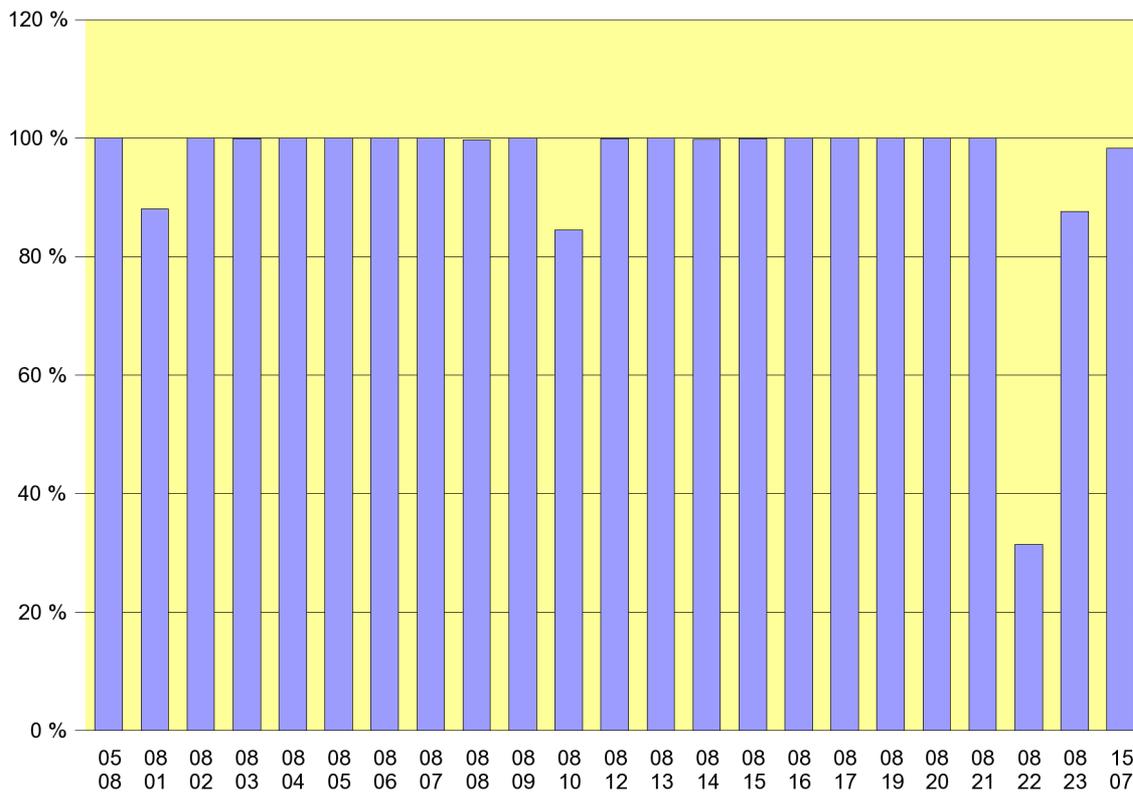
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**TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2012 (in Mio €)**

TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2012 (in Mio €)					
Chapter			Payment appropriations authorised *	Payments made	%
			1	2	3=2/1
<b>Title 05 Agriculture and rural development</b>					
05	05 08	Policy strategy and coordination of the `Agriculture and rural development` policy area	0.25	0.25	100.00 %
<b>Total Title 05</b>			0.25	0.25	100.00%
<b>Title 08 Research</b>					
08	08 01	Administrative expenditure of the `Research` policy area	383.26	337.22	87.99 %
	08 02	Cooperation ` Health	774.78	774.56	99.97 %
	08 03	Cooperation ` Food, agriculture and fisheries, and biotechnology	345.73	345.42	99.91 %
	08 04	Cooperation ` Nanosciences, nanotechnologies, materials and new production technologies	595.29	595.18	99.98 %
	08 05	Cooperation ` Energy	138.74	138.73	99.99 %
	08 06	Cooperation ` Environment (including climate change)	258.98	258.95	99.99 %
	08 07	Cooperation ` Transport (including aeronautics)	443.7	443.63	99.98 %
	08 08	Cooperation ` Socioeconomic sciences and the humanities	65.48	65.31	99.73 %
	08 09	Cooperation ` Risk-sharing finance facility (RSFF)	203.15	203.15	100.00 %
	08 10	Ideas	0.02	0.02	84.44 %
	08 12	Capacities ` Research infrastructures	118.44	118.32	99.90 %
	08 13	Capacities ` Research for the benefit of small and medium-sized enterprises (SMEs)	10.75	10.75	100.00 %
	08 14	Capacities ` Regions of knowledge	15.77	15.74	99.79 %
	08 15	Capacities ` Research potential	58.2	58.14	99.91 %
	08 16	Capacities ` Science in society	36.4	36.4	100.00 %
	08 17	Capacities ` International cooperation activities	30.75	30.75	100.00 %
	08 18	Capacities ` Risk-sharing finance facility (RSFF)	0	0	#DIV/0
	08 19	Capacities ` Support for coherent development of research policies	8.49	8.49	100.00 %
	08 20	Euratom ` Fusion energy	302.76	302.76	100.00 %
	08 21	Euratom ` Nuclear fission and radiation protection	50.11	50.1	99.98 %
	08 22	Completion of previous framework programmes and other activities	1,016.82	319.51	31.42 %
	08 23	Research programme of the research fund for coal and steel	66.68	58.43	87.62 %
<b>Total Title 08</b>			4,924.3	4,171.54	84.71%
<b>Title 15 Education and culture</b>					
15	15 07	People ` Programme for the mobility of researchers	4.08	4.01	98.26 %
<b>Total Title 15</b>			4.08	4.01	98.26%
<b>Total DG RTD</b>			4,928.63	4,175.8	84.73 %

\* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

"% Outturn on payment appropriations"



**TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2012 (in Mio €)**

Chapter			2012 Commitments to be settled				Commitments to be settled from financial years previous to 2012	Total of commitments to be settled at end of financial year 2012 (incl corrections)	Total of commitments to be settled at end of financial year 2011 (incl. corrections)
			Commitments 2012	Payments 2012	RAL 2012	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
<b>Title 05 : Agriculture and rural development</b>									
05	05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	0.5	0.25	0.25	50.00 %	0.00	0.25	0.00
<b>Total Title 05</b>			0.5	0.25	0.25	50.00%	0	0.25	0
<b>Title 08 : Research</b>									
08	08 01	Administrative expenditure of the 'Research' policy area	337.82	312.64	25.18	7.45 %	0.00	25.18	29.12
	08 02	Cooperation ' Health	970.84	158.20	812.64	83.71 %	1,197.94	2,010.58	1816.91
	08 03	Cooperation ' Food, agriculture and fisheries, and biotechnology	321.65	143.89	177.76	55.27 %	304.99	482.75	507.04
	08 04	Cooperation ' Nanosciences, nanotechnologies, materials and new production technologies	524.69	211.65	313.04	59.66 %	486.70	799.74	871.66
	08 05	Cooperation ' Energy	195.18	69.74	125.44	64.27 %	187.20	312.64	257.35
	08 06	Cooperation ' Environment (including climate change)	292.81	145.60	147.21	50.27 %	234.66	381.87	348.19
	08 07	Cooperation ' Transport (including aeronautics)	497.06	249.19	247.87	49.87 %	341.00	588.87	542.53
	08 08	Cooperation ' Socioeconomic sciences and the humanities	94.8	1.62	93.18	98.29 %	97.74	190.92	161.60
	08 09	Cooperation ' Risk-sharing finance facility (RSFF)	203.15	203.15	0	0.00 %	0.00	0.00	0.00
	08 10	Ideas	0.02	0.00	0.02	100.00 %	0.05	0.06	0.08
	08 12	Capacities ' Research infrastructures	51.54	33.72	17.82	34.57 %	200.70	218.51	285.39

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

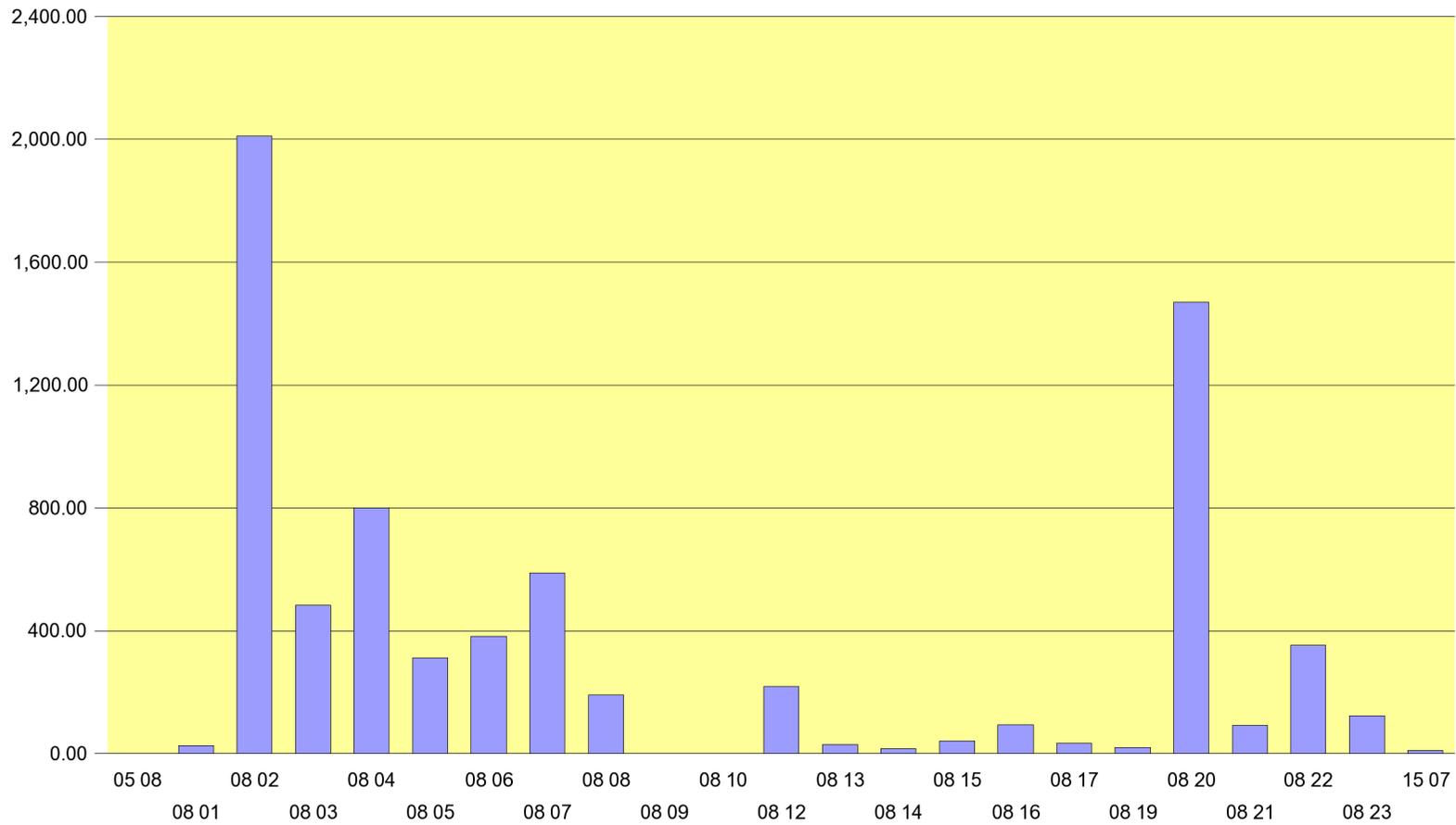
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			Commitments 2012	Payments 2012	RAL 2012	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
08	08 13	Capacities ¿ Research for the benefit of small and medium-sized enterprises (SMEs)	19	0.75	18.25	96.05 %	11.56	29.81	21.56
	08 14	Capacities ¿ Regions of knowledge	20.6	14.52	6.08	29.50 %	9.64	15.71	10.86
	08 15	Capacities ¿ Research potential	70.51	52.43	18.08	25.64 %	22.91	40.99	28.73
	08 16	Capacities ¿ Science in society	46.02	0.21	45.81	99.55 %	47.89	93.70	85.49
	08 17	Capacities ¿ International cooperation activities	32.94	16.47	16.47	50.00 %	16.68	33.15	33.33
	08 19	Capacities ¿ Support for coherent development of research policies	11.28	1.60	9.68	85.82 %	10.38	20.06	17.82
	08 20	Euratom ¿ Fusion energy	1,150.21	170.45	979.76	85.18 %	489.78	1,469.54	624.77
	08 21	Euratom ¿ Nuclear fission and radiation protection	54.31	28.48	25.82	47.55 %	65.75	91.57	87.57
	08 22	Completion of previous framework programmes and other activities	188.38	123.66	64.72	34.36 %	288.14	352.86	615.33
	08 23	Research programme of the research fund for coal and steel	55.71	21.55	34.16	61.33 %	89.73	123.89	132.31
<b>Total Title 08</b>			<b>5,138.52</b>	<b>1959.52</b>	<b>3,178.99</b>	<b>61.87%</b>	<b>4,103.43</b>	<b>7,282.43</b>	<b>6,477.65</b>
<b>Title 15 : Education and culture</b>									
15	15 07	People ¿ Programme for the mobility of researchers	4.51	1.60	2.91	64.61 %	7.63	10.54	10.71
<b>Total Title 15</b>			<b>4.51</b>	<b>1.60</b>	<b>2.91</b>	<b>64.61%</b>	<b>7.63</b>	<b>10.54</b>	<b>10.71</b>
<b>Total DG RTD</b>			<b>5,143.53</b>	<b>1961.37</b>	<b>3,182.16</b>	<b>61.87 %</b>	<b>4,111.06</b>	<b>7,293.22</b>	<b>6,488.36</b>

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

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= "Breakdown of Commitments remaining to be settled (in Mio EUR)"



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**TABLE 4 : BALANCE SHEET**

<b>BALANCE SHEET</b>	<b>2012</b>	<b>2011</b>
<b>A.I. NON CURRENT ASSETS</b>	<b>1,164,706,298.4</b>	<b>495,599,931.43</b>
A.I.1. Intangible Assets	2,592,297.28	704,816.85
A.I.3. Long-term investments	649,477,153.75	61,877,321.33
A.I.5. LT Pre-Financing	462,720,207.75	379,663,387.23
A.I.6. LT Receivables	49,916,639.62	53,354,406.02
<b>A.II. CURRENT ASSETS</b>	<b>2,249,121,446.48</b>	<b>2,274,329,468.82</b>
A.II.2. Short-term Pre-Financing	1,556,435,669.98	1,280,920,806.30
A.II.3. Short-term Receivables	217,844,986.23	206,395,229.43
A.II.4. Short-term Investments	160,375,025.77	546,897,138.58
A.II.5. Cash and Cash Equivalents	314,465,764.50	240,116,294.51
<b>ASSETS</b>	<b>3,413,827,744.88</b>	<b>2,769,929,400.25</b>
<b>P.I. NET ASSETS/LIABILITIES</b>	<b>-15,880,194.63</b>	<b>7,467,367.66</b>
P.I.1. Reserves	-15,880,194.63	7,467,367.66
<b>P.III. CURRENT LIABILITIES</b>	<b>-518,786,958.98</b>	<b>-614,777,775.33</b>
P.III.2. Short-term provisions	-1,485,333.75	-1,980,445.00
P.III.4. Accounts Payable	-517,301,625.23	-612,797,330.33
<b>LIABILITIES</b>	<b>-534,667,153.61</b>	<b>-607,310,407.67</b>
<b>NET ASSETS (ASSETS less LIABILITIES)</b>	<b>2,879,160,591.27</b>	<b>2,162,618,992.58</b>
<b>P.I.2. Accumulated Surplus / Deficit</b>	<b>0</b>	<b>0</b>
<b>Non-allocated central (surplus)/deficit*</b>	<b>-2,879,160,591.27</b>	<b>-2,162,618,992.58</b>
<b>TOTAL</b>	<b>0.00</b>	<b>0.00</b>

It should be noted that the balance sheet and economic outturn account presented in Annex 3 to this Annual Activity Report, represent only the (contingent) assets, (contingent) liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and economic outturn account they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 5 : ECONOMIC OUTTURN ACCOUNT**

<b>ECONOMIC OUTTURN ACCOUNT</b>	<b>2012</b>	<b>2011</b>
<b>II.1 SURPLUS/ DEF. FROM OPERATING ACTIVT</b>	<b>2,341,980,909.81</b>	<b>2,316,580,705.49</b>
II.1.1. OPERATING REVENUES	-607,734,244.32	-506,712,224.3
II.1.1.1. Other operating revenue	-607,734,244.32	-506,712,224.30
II.1.2. OPERATING EXPENSES	2,949,715,154.13	2,823,292,929.79
II.1.2.1. Administrative Expenses	105,084,150.54	99,801,681.76
II.1.2.2. Operating Expenses	2,844,631,003.59	2,723,491,248.03
<b>II.2. SURPLUS/DEF. NON OPERATING ACTIVIT</b>	<b>340,175,178.66</b>	<b>342,133,973.87</b>
II.2. FINANCIAL OPERATIONS	-30,566,843.91	-21,941,124.15
II.2.1. Financial revenue	-42,388,002.81	-28,476,700.57
II.2.2. Financial expenses	11,821,158.90	6,535,576.42
II.2. OTHER NON OPERATING ACTIVITIES	370,742,022.57	364,075,098.02
II.2.4. Share of net surplus/def. associ	370,742,022.57	364,075,098.02
<b>ECONOMIC OUTTURN ACCOUNT</b>	<b>2,682,156,088.47</b>	<b>2,658,714,679.36</b>

It should be noted that the balance sheet and economic outturn account presented in Annex 3 to this Annual Activity Report, represent only the (contingent) assets, (contingent) liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and economic outturn account they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 6: AVERAGE PAYMENT TIMES FOR 2012 - DG RTD**

<b>Legal Times</b>							
<b>Maximum Payment Time (Days)</b>	<b>Total Number of Payments</b>	<b>Nbr of Payments within Time Limit</b>	<b>Percentage</b>	<b>Average Payment Times (Days)</b>	<b>Nbr of Late Payments</b>	<b>Percentage</b>	<b>Average Payment Times (Days)</b>
30	1334	1264	94.75 %	16.14	70	5.25 %	46.6
40	3	3	100.00 %	30.67			
45	4128	3804	92.15 %	20.15	324	7.85 %	103.49
50	2	2	100.00 %	25.5			
60	77	73	94.81 %	12.11	4	5.19 %	219.75
61	1	1	100.00 %	8			
68	1	1	100.00 %	68			
76	1	1	100.00 %	76			
80	1	1	100.00 %	63			
84	1	1	100.00 %	84			
90	380	308	81.05 %	42.93	72	18.95 %	203.76
105	1484	1387	93.46 %	62.97	97	6.54 %	132.26
107	1	1	100.00 %	8			
109	1	1	100.00 %	109			
110	1	1	100.00 %	8			
115	1	1	100.00 %	108			
130	1	1	100.00 %	123			
149	1	1	100.00 %	149			
<b>Total Number of Payments</b>	<b>7419</b>	<b>6852</b>	<b>92.36 %</b>		<b>567</b>	<b>7.64 %</b>	
<b>Average Payment Time</b>	<b>35.67</b>			<b>29.11</b>			<b>114.94</b>

Table 6 shows 7419 payments while RTD report shows 15115 because only payments with invoice or experts reimbursed by RTD are included, therefore payments to evaluators and expert meetings are excluded.

Target Times							
Target Payment Time (Days)	Total Number of Payments	Nbr of Payments within Target Time	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
20	986	756	76.67 %	11.15	230	23.33 %	34.58
30	4445	3475	78.18 %	16.97	970	21.82 %	60.02
45	128	125	97.66 %	22.64	3	2.34 %	74.67
60	11	10	90.91 %	16.2	1	9.09 %	77
75	365	251	68.77 %	37.55	114	31.23 %	159.37
90	1484	1138	76.68 %	55.5	346	23.32 %	106.94

<b>Total Number of Payments</b>	<b>7419</b>	<b>5755</b>	<b>77.57 %</b>		<b>1664</b>	<b>22.43 %</b>	
<b>Average Payment Time</b>	<b>35.67</b>			<b>24.84</b>			<b>73.1</b>

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
26	104	2449	33.01 %	7419	1,092,253,501.42	28.32 %	3,856,209,121.28

Late Interest paid in 2012			
DG	GL Account	Description	Amount (Eur)
DG RTD	65010000	Interest expense on late payment of charges	2 823.46
DG RTD	65010100	Interest on late payment of charges New FR	44 807.82
			<b>47 631.28</b>

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

**TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2012**

Chapter	Revenue and income recognized			Revenue and income cashed from			Outstanding balance	
	Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total		
	1	2	3=1+2	4	5	6=4+5		
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	3,650,956.86	184,310.4	3,835,267.26	2,771,457.35	133,631.37	2,905,088.72	930,178.54
59	OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS	3,188,549.33	0	3,188,549.33	3,188,549.33	0	3,188,549.33	0
60	CONTRIBUTIONS TO UNION PROGRAMMES	544,246,257.11	68,000	544,314,257.11	535,663,365.37	68,000	535,731,365.37	8,582,891.74
61	REPAYMENT OF MISCELLANEOUS EXPENDITURE	61,962,075.07	194,408.16	62,156,483.23	61,786,902.49	134,408.16	61,921,310.65	235,172.58
66	OTHER CONTRIBUTIONS AND REFUNDS	42,283,154.57	27,592,540.87	69,875,695.44	25,593,477.57	9,312,432.99	34,905,910.56	34,969,784.88
70	INTEREST ON LATE PAYMENTS	0	61,684.63	61,684.63	0	0	0	61,684.63
90	MISCELLANEOUS REVENUE	835,982.55	164,293.79	1,000,276.34	566,891.22	122,685.37	689,576.59	310,699.75
<b>Total DG RTD</b>		<b>656,166,975.49</b>	<b>28,265,237.85</b>	<b>684,432,213.34</b>	<b>629,570,643.33</b>	<b>9,771,157.89</b>	<b>639,341,801.22</b>	<b>45,090,412.12</b>

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**TABLE 8 : RECOVERY OF UNDUE PAYMENTS  
(Number of Recovery Contexts and corresponding Transaction Amount)**

INCOME BUDGET RECOVERY ORDERS ISSUED IN 2012  Year of Origin (commitment)	Error		Irregularity		TOTAL Qualified		TOTAL RC (incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
2000			3	132,095.00	3	132,095.00	3	132,095.00	100.00%	100.00%
2001			1	8,245.00	1	8,245.00	2	26,830.06	50.00%	30.73%
2002			6	87,839.50	6	87,839.50	6	87,839.50	100.00%	100.00%
2003	4	51,402.16	12	262,323.74	16	313,725.90	19	329,038.87	84.21%	95.35%
2004	47	1,569,615.23	49	1,122,309.93	96	2,691,925.16	128	4,237,471.54	75.00%	63.53%
2005	38	853,396.35	58	975,002.44	96	1,828,398.79	132	5,390,237.62	72.73%	33.92%
2006	22	220,671.82	58	981,828.24	80	1,202,500.06	133	4,098,628.90	60.15%	29.34%
2007	3	28,969.90	27	568,929.35	30	597,899.25	53	1,500,495.50	56.60%	39.85%
2008	11	101,263.58	36	1,451,672.53	47	1,552,936.11	103	3,823,344.35	45.63%	40.62%
2009	1	1,578.97	13	428,175.55	14	429,754.52	39	971,969.97	35.90%	44.21%
2010			1	1,205.67	1	1,205.67	7	97,389.87	14.29%	1.24%
No Link	35	652,059.34	46	11,100,089.19	81	11,752,148.53	127	81,188,034.99	63.78%	14.48%
<b>Sub-Total</b>	<b>161</b>	<b>3,478,957.35</b>	<b>310</b>	<b>17,119,716.14</b>	<b>471</b>	<b>20,598,673.49</b>	<b>756</b>	<b>108,001,041.10</b>	<b>62.30%</b>	<b>19.07%</b>

EXPENSES BUDGE	Error		Irregularity		OLAF Notified		TOTAL Qualified		TOTAL RC (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES	32	747,966.54	28	582,650.79	1	63,503	61	1,394,120.33	72	1,476,476.96	84.72%	94.42%
NON ELIGIBLE IN COST CLAIMS	80	6,664,295.2	529	24,874,051.76			609	31,538,346.96	1,515	105,023,973.90	40.20%	30.03%
CREDIT NOTES	23	134,081.49	6	9,540.25			29	143,621.74	53	676,336.19	54.72%	21.24%
<b>Sub-Total</b>	<b>135</b>	<b>7,546,343.23</b>	<b>563</b>	<b>25,466,242.8</b>	<b>1</b>	<b>63,503</b>	<b>699</b>	<b>33,076,089.03</b>	<b>1,640</b>	<b>107,176,787.05</b>	<b>42.62%</b>	<b>30.86%</b>
<b>GRAND TOTAL</b>	<b>296</b>	<b>11,025,300.58</b>	<b>873</b>	<b>42,585,958.94</b>	<b>1</b>	<b>63,503</b>	<b>1,170</b>	<b>53,674,762.52</b>	<b>2,396</b>	<b>215,177,828.15</b>	<b>48.83%</b>	<b>15.59%</b>

\* No qualified recovery context in 2011

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors. The provisional closure will be based on the recovery context situation at 28/02/2013.

**TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2012 FOR RTD**

	Number at 01/01/2012	Number at 31/12/2012	Evolution	Open Amount (Eur) at 01/01/2012	Open Amount (Eur) at 31/12/2012	Evolution
1993	2	2	0.00 %	281,333.80	281,333.80	0.00 %
1994	1	1	0.00 %	46,307.00	46,307.00	0.00 %
1995	6		-100.00 %	477,257.00		-100.00 %
1996	1	1	0.00 %	132,500.00	132,500.00	0.00 %
2000	7	7	0.00 %	10,000,448.20	10,000,448.20	0.00 %
2001	4	4	0.00 %	316,121.27	316,121.27	0.00 %
2002	7	5	-28.57 %	498,866.02	449,182.37	-9.96 %
2003	6	4	-33.33 %	260,102.60	199,123.66	-23.44 %
2004	2	2	0.00 %	212,703.00	202,999.03	-4.56 %
2005	8	6	-25.00 %	727,144.60	384,929.62	-47.06 %
2006	7	3	-57.14 %	381,217.22	159,025.77	-58.28 %
2007	9	8	-11.11 %	1,329,591.36	1,326,488.11	-0.23 %
2008	8	7	-12.50 %	512,631.86	459,042.52	-10.45 %
2009	20	18	-10.00 %	1,553,277.33	1,419,986.25	-8.58 %
2010	28	15	-46.43 %	1,723,193.81	524,106.13	-69.59 %
2011	141	19	-86.52 %	9,812,542.78	2,592,486.23	-73.58 %
2012		117			26,596,332.16	
	257	219	-14.79 %	28,265,237.85	45,090,412.12	59.53 %

These figures show all Recovery Orders (RO), whether they are due or not. At December 31, 2012, the number of due and positive RO open in DG RTD was 147 for an amount of 20 906 697€ (see also note in general comments). Only 40 RO for an amount of 6 431 390€ are under the direct responsibility of DG RTD.

(\*) For contract AIR1-CT92 0511 RO of 1,6 M€ have been issued for each of the 6 consortium members, being jointly and severally liable. They all appear in the 2000 figures, although the actual debt is only 1,6 M€. Therefore 8 M€ have to be deducted.

**TABLE 10 : RECOVERY ORDER WAIVERS IN 2012 >= EUR 100.000**

	<b>Waiver Central Key</b>	<b>Linked RO Central Key</b>	<b>RO Accepted Amount (Eur)</b>	<b>LE Account Group</b>	<b>Commission Decision</b>	<b>Comments</b>
1	3233120065	3240012992	-276,500.00	Private Companies		
2	3233120077	3241002237	-324,169.18	Private Companies		
3	3233120091	3241104401	-113,494.57	Private Companies		
4	3233120092	3241010096	-225,260.60	Private Companies		
5	3233120136	3240807800	-132,078.18	Private Companies		
6	3233120160	3240705424	-174,512.98	Private Companies		
7	3233120203	3240704522	-167,702.00	Private Companies		

<b>Total DG</b>	<b>-1,413,717.51</b>
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<b>Number of RO waivers</b>	<b>7</b>
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*Commission Decisions*

RO 1 : C(2011)8002

RO 2 : C(2012)1671

RO 3 : C(2012)3086

RO 4 : C(2012)2976

RO 5 : C(2012)4044

RO 6 : C(2012)5984

RO 7 : C(2012)7149

**TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG - YEAR 2012****Contracts > 60.000**

<b>Negotiated Procedure Legal base</b>	<b>Number of Procedures</b>	<b>Amount (€)</b>
Art. 126 1b)	2	11,081,159
Art. 127 1a)	1	599,324
<b>Total</b>	<b>3</b>	<b>11,680,483</b>

**TABLE 12 : SUMMARY OF PROCEDURES OF DG EXCLUDING BUILDING CONTRACTS**

Internal procedures > € 60,000	2011		2012	
	Count	Amount (€)	Count	Amount (€)
Service	9	22,189,613	14	82,639,305.37
<b>TOTAL</b>	<b>9</b>	<b>22,189,613</b>	<b>14</b>	<b>82,639,305.37</b>
Procedure Type	Count	Amount (€)	Count	Amount (€)
(FR2007) Negotiated Procedure after publication of a contract notice Art. 127 IR			1	599,324
(FR2007) Negotiated Procedure without publication of a contract notice Art. 126 IR			2	11,081,159
(FR2007) Open Procedure (Art. 122.2 IR)	9	22,189,613	11	70,958,822.37
<b>TOTAL</b>	<b>9</b>	<b>22,189,613</b>	<b>14</b>	<b>82,639,305.37</b>

External procedures > € 10,000	Count	Amount (€)
Contract Class		
<b>TOTAL</b>		
Procedure Type	Count	Amount (€)
<b>TOTAL</b>		

### Additional comments

In 2012, one negotiated procedure after publication of a contract notice (Art. 127.1a IR 2007) was concluded for a study on foundations supporting research and innovation in the EU and two negotiated procedures without publication of a contract notice (Art. 126.1b IR 2007) were concluded: one for the renting of an exhibition area and the second one for the purchase from DG RTD of guaranteed access rights in the Jules Horowitz Reactor (see details in table 11). The increase of the budget share of negotiation procedures in 2012 is mainly due to the purchase from DG RTD of guaranteed access rights in the Jules Horowitz Reactor (JHR) for the next 30 years at least for an amount of 11M€.

The JHR is being built in the nuclear research centre of the CEA (Commissariat à l'Energie Atomique et aux Energies Alternatives) and will be operated in a Consortium gathering European and Third Country partners participating to the investment.

Taking into consideration the market prospection already performed by the JRC\* which concluded that the only operator able to offer the technical characteristics required to perform experiments in the fields of reactor safety and fuel irradiation was CEA, DG RTD decided to use a negotiated procedure with CEA under article 126.1.b IR for the reservation on behalf of EURATOM of guaranteed and secured access rights for irradiation capacities in the JHR. These access rights can be used in particular by any European laboratory/organisation located in a country having signed the Euratom Treaty and/or in Associated Countries. This will contribute to maintain the high level of expertise in material and fuel irradiation in Europe.

Both DG BUDG and SJ were consulted on a steady basis in the framework of this procedure.

\*For information, JRC is representing the European Commission in the access rights in the JHR and made a similar negotiated procedure with CEA in 2010.

**TABLE 13 : BUILDING CONTRACTS**

<b>Total number of contracts :</b>	
<b>Total amount :</b>	

<b>Legal base</b>	<b>Contract Number</b>	<b>Contractor Name</b>	<b>Description</b>	<b>Amount (€)</b>

**No data to be reported**

**TABLE 14 : CONTRACTS DECLARED SECRET**

<b>Total Number of Contracts :</b>	
<b>Total amount :</b>	

<b>Legal base</b>	<b>Contract Number</b>	<b>Contractor Name</b>	<b>Type of contract</b>	<b>Description</b>	<b>Amount (€)</b>

**No data to be reported**