



# Draft proposal for a European Partnership under Horizon Europe Driving Urban Transitions to a sustainable future (DUT)

Version July 6, 2020

## **About this draft**

In autumn 2019 the Commission services asked potential partners to further elaborate proposals for the candidate European Partnerships identified during the strategic planning of Horizon Europe. These proposals have been developed by potential partners based on common guidance and template, taking into account the initial concepts developed by the Commission and feedback received from Member States during early consultation<sup>1</sup>. The Commission Services have guided revisions during drafting to facilitate alignment with the overall EU political ambition and compliance with the criteria for Partnerships.

This document is a stable draft of the partnership proposal, released for the purpose of ensuring transparency of information on the current status of preparation (including on the process for developing the Strategic Research and Innovation Agenda). As such, it aims to contribute to further collaboration, synergies and alignment between partnership candidates, as well as more broadly with related R&I stakeholders in the EU, and beyond where relevant.

This informal document does not reflect the final views of the Commission, nor pre-empt the formal decision-making (comitology or legislative procedure) on the establishment of European Partnerships.

In the next steps of preparations, the Commission Services will further assess these proposals against the selection criteria for European Partnerships. The final decision on launching a Partnership will depend on progress in their preparation (incl. compliance with selection criteria) and the formal decisions on European Partnerships (linked with the adoption of Strategic Plan, work programmes, and legislative procedures, depending on the form). Key precondition is the existence of an agreed Strategic Research and Innovation Agenda / Roadmap. The launch of a Partnership is also conditional to partners signing up to final, commonly agreed objectives and committing the resources and investments needed from their side to achieve them.

The remaining issues will be addressed in the context of the development of the Strategic Research and Innovation Agendas/ Roadmaps, and as part of the overall policy (notably in the respective legal frameworks). In particular, it is important that all Partnerships further develop their framework of objectives. All Partnerships need to have a well-developed logical framework with concrete objectives and targets and with a set of Key Performance Indicators to monitor achievement of objectives and the resources that are invested.

Aspects related to implementation, programme design, monitoring and evaluation system will be streamlined and harmonised at a later stage across initiatives to ensure compliance

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<sup>1</sup> [https://www.era-learn.eu/documents/final\\_report\\_ms\\_partnerships.pdf](https://www.era-learn.eu/documents/final_report_ms_partnerships.pdf)

with the implementation criteria, comparability across initiatives and to simplify the overall landscape.

In case you would like to receive further information about this initiative, please contact:

**Lead entity (main contact):**

Margit Noll, JPI Urban Europe, Austrian Research Promotion Agency FFG,  
[margit.noll@ffg.at](mailto:margit.noll@ffg.at)

**Commission services (main contact):**

Christos Fragakis, DG R&I, Future Urban and mobility systems,  
[Christos.Fragakis@ec.europa.eu](mailto:Christos.Fragakis@ec.europa.eu)

Jens Bartholmes, DG ENER, Innovation, clean technologies and competitiveness,  
[Jens.BARTHOLMES@ec.europa.eu](mailto:Jens.BARTHOLMES@ec.europa.eu)

[RTD-HORIZON-EUROPE-PARTNERSHIP-CITIES@ec.europa.eu](mailto:RTD-HORIZON-EUROPE-PARTNERSHIP-CITIES@ec.europa.eu)

**Partnership sector in DG R&I** (overall policy approach for European Partnerships and its coherent application across initiatives), E-mail: [RTD-EUROPEAN-PARTNERSHIPS@ec.europa.eu](mailto:RTD-EUROPEAN-PARTNERSHIPS@ec.europa.eu)

**SRIA Process:**

The DUT partnership builds upon the SRIA 2.0 of JPI Urban Europe (published February 2019) and takes it further towards implementation. Towards a more detailed roadmap and implementation plan the following steps have been and will be taken:

- A public consultation was launched in March 2020 to reflect on the DUT concept and its priorities
- Stakeholder dialogues followed in May 2020 to support synthesis of the consultation and discuss the pillars and key measures
- The resulting outline will be presented to DUT partners in June
- Until October this outline is put forward to national reflections to align with national programmes and priorities.
- Consultations with key stakeholder networks, neighbouring partnerships, Urban Agenda for the EU partnerships, etc. will be organised to support alignment of topics and actions (June-October).
- It is planned to present the DUT partnership, its priorities and planned actions at the JPI Urban Europe Policy Conference on 12 November 2020.
- Until end of 2020 the roadmap and implementation plan will be elaborated for decision making among all partners.

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# 1 General information

## 1.1 Draft title of the European Partnerships

### **Driving urban transitions to a sustainable future (DUT)**

## 1.2 Lead entity (main contact)

Margit Noll, JPI Urban Europe, Austrian Research Promotion Agency FFG

## 1.3 Commission services (main contact)

DG RTD, D2 Future Urban and Mobility Systems, Christos Fragakis

DG ENER, C2 Innovation, clean technologies and competitiveness, Jens Bartholmes

## 1.4 Summary

Our future relies on tackling complex grand challenges here and now, many of which must be addressed within cities and by urban communities. The DUT partnership addresses this complex set of urban challenges with an integrated approach to offer decision makers in municipalities, companies and society the means to act and enable the necessary urban transformations. The partnership will create a portfolio of measures and critical mass beyond joint calls to enhance its impact, build capacities in all stakeholder groups and contribute to the European mission on climate-neutral and smart cities.

# 2 Context, objectives, expected impacts

## 2.1 Context and problem definition

*“If we want to have a chance of achieving the SDGs, we need to get our cities right.”<sup>2</sup>*

It stands without question that the challenges in urban areas are also crucial to solve the overarching grand societal and planetary challenges in the contemporary world. These challenges revolve around the transformation to cities, towns, and urban areas that are inclusive, safe, resilient, and sustainable. The wide variety of urban forms of life and how our societal cohesion to a large part have to be sustained by attractive and just urban built environs are crucial concerns: over 70% of the EU population lives and works in urban areas, and nearly a quarter (22.5%) of the EU population is still at risk of poverty and/or marginalisation.<sup>3</sup> Although urban areas generate about 85% of European GDP, they also account for 60-80% of the EU energy use and face common challenges in e.g. congestion, shortage of adequate housing, air pollution, declining infrastructures, and migratory pressures.<sup>4</sup>

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<sup>2</sup> Maimunah Mohd Sharif, Executive Director of UN-Habitat in her opening speech at the World Urban Forum #9, Kuala Lumpur in February 2018

<sup>3</sup> EC (2019) 'Towards a sustainable Europe by 2030: Reflection paper', COM(2019)22, <[https://ec.europa.eu/commission/sites/beta-political/files/rp\\_sustainable\\_europe\\_30-01\\_en\\_web.pdf](https://ec.europa.eu/commission/sites/beta-political/files/rp_sustainable_europe_30-01_en_web.pdf)>, pp. 11, 21, 52, 99.

<sup>4</sup> EC (2019) 'Towards a sustainable Europe by 2030: Reflection paper', COM(2019)22, <[https://ec.europa.eu/commission/sites/beta-political/files/rp\\_sustainable\\_europe\\_30-01\\_en\\_web.pdf](https://ec.europa.eu/commission/sites/beta-political/files/rp_sustainable_europe_30-01_en_web.pdf)>, p. 21.

Furthermore, these sectoral transitions require increased capacities in local public life and urban governance to drive the innovation needed. This can be achieved through a strong sense of built environment qualities ('*baukultur*'), a people-centric sustainable approach, and increasing the capacities to keep cities and urban areas within the critical zone of planetary boundaries and liveability. In turn, this is to support thriving urban places in and through the built environment that anticipates trends in terms of construction and maintenance, and not the least suitable and well-functioning urban design, basic service provisions, urban manufacturing, and common political and democratic life in local government.<sup>5</sup>

All these efforts have to be made in an integrated fashion from urban neighbourhoods over functional urban areas to transnational collaborations including the urban-rural continuum and taking into account urban effects across continents.

Hence, the transformation of our urban systems is needed to tackle pressing societal challenges. In particular just transitions toward sustainable mobility, urban climate neutrality and circular economies in terms of resource use; working, inclusive, gender equity standardised, and fair urban economies for SMEs and labour markets; suitable and empowering urban digitalisation; inclusive and safe urban commons and robust urban public spheres; increased internal and external European collaboration; and increasing democratic dynamics in urban governance.<sup>6</sup> These are transition pathways to tackle climate change and societal resource use while ensuring quality of life and economic prosperity for all. In turn, these efforts require concerted action and support to urban research and innovation communities, urban policy making on all levels, and local urban governance to co-create the way forward.

On the horizon, urban research and innovation already effervescently experiment with mobility innovation,<sup>7</sup> urban farming, water security, green roofs, ecosystem services and increasing circularity that renders the built environment potentially into a regenerative capacity for global and societal processes – rather than the more current wasteful modes. Urban policy, particularly on urban accessibility and housing, needs to pace these types of innovations to tackle gentrification, segregation, and secure affordability and inclusiveness; and urban local governance requires capacities and means for coordination and planning in the coming times of substantial change.

Urban areas are hence the nexus for these transitions. This role and importance of sustainable urbanisation for our national and global development is acknowledged in the UN-Habitat *New Urban Agenda* and by the United Nations by including an urban goal (SDG 11) in the *UN Agenda 2030 Sustainable Development Goals (SDGs)* as well as by the *Urban Agenda for the EU (UAEU)*. Furthermore, urban-related goals and issues are cross-cutting the 17 SDGs and clearly underline the importance of sustainable urban transformations for the future of humanity on the planet, with 90 out of the 169 indicators encompassing urban areas. While this on the one hand demonstrates the pertinent role urban areas – from villages and towns up to cities and metropolitan areas – play for our future development, it results, on the other hand, in an interrelated set of challenges and dilemmas urban areas have to face. T he

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<sup>5</sup> Cf. Raworth, K. (2017), 'A Doughnut for the Anthropocene: humanity's compass in the 21st Century', *The Lancet*, 1 (May 2017), pp. 48–49, <[https://www.thelancet.com/pdfs/journals/lanplh/PIIS2542-5196\(17\)30028-1.pdf](https://www.thelancet.com/pdfs/journals/lanplh/PIIS2542-5196(17)30028-1.pdf)>.

<sup>6</sup> Von der Leyen, U. (2019) 'A Union that strives for more: My agenda for Europe', Political guidelines for the next European Commission 2019–2024, <[https://ec.europa.eu/commission/sites/beta-political/files/political-guidelines-next-commission\\_en.pdf](https://ec.europa.eu/commission/sites/beta-political/files/political-guidelines-next-commission_en.pdf)>.

<sup>7</sup> [www.CIVITAS.EU](http://www.CIVITAS.EU)

localization of large-scale strategies to different urban contexts will determine if the transformative change outlined by the New Urban Agenda, the UN Agenda 2030 with its SDGs will be achieved.<sup>8</sup>

Transformative efforts are strengthened throughout all sectors and industries with the aim to create new solutions. However, to achieve urban sustainability it is not only a matter of individual sectoral solutions in the fields of energy, mobility, circular economy, greening of cities, etc. but equally a matter of how these solutions integrate into the urban system and how they affect each other. It is not merely a matter of simply providing solutions. These challenges require different approaches in how they are tackled in terms of processes and co-creative implementation where integrative sensibilities are required.

When dealing with urban transformations it becomes quite clear that the interrelatedness of sectors, systems, strategies, goals, rights, and interests has to be considered. In particular as the plurality of urban goals and related strategies leads to an interrelated set of ambitions and actions which might be in conflict with each other, where achieving one goal or strategy might hamper achieving another (Figure 1).

As has been exemplified by Nilsson et al.<sup>9</sup> attention has to be given to such critical policy areas to ensure that the overall sustainability targets can be met. The *relevance of carefully taking into account the interactions between goals and targets* to being able to achieve transformations is also taken up in the *Global Sustainable Development Report 2019*.<sup>4</sup> Furthermore, this report identifies six entry points for transformations for sustainable development, each of which intrinsically consider the interlinkages of various goals and strategies and thus support *gaining progress across multiple elements of the 2030 Agenda*. *Urban and peri-urban development* is seen as one such entry point. The increasing consumption of land and natural resources and its consequences for urban biodiversity as well as wellbeing, the availability and accessibility of services and infrastructure for all people living and working in cities, the need to achieve carbon neutrality and mitigate climate change, combating poverty – the urban level is where these issues come together and result in an immensely high degree of complexity.

At the same time, cities are the places to address all these issues in an interrelated, effective way, making use of innovation and experimentation. At the same time digitalisation, new technological solutions as well as new partnerships across all stakeholder groups and societal engagement offer potential for re-designing and transforming our cities. All this calls for integrated and inclusive planning and development of our urban areas, considering the plurality of societal needs as well as business opportunities created by new technologies and science. This complexity of interrelations demands revolutionary new approaches to address the issues at hand sufficiently holistically, meet target and goals of sustainability strategies on various levels and to contribute to urban transition pathways at large.

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<sup>8</sup> UCLG (2019) The Localization of the Global Agendas. How local action is transforming territories and communities.

<sup>9</sup> Nilsson et al. (2016) 'Map the interactions between Sustainable Development Goals', in *Nature* 534, pp. 320–322 (16 June 2016), <<https://www.nature.com/news/policy-map-the-interactions-between-sustainable-development-goals-1.20075> > ; UN (2019) 'The future is now: Science for achieving sustainable development', *Global Sustainable Development Report 2019*, <[https://sustainabledevelopment.un.org/content/documents/24797GSDR\\_report\\_2019.pdf](https://sustainabledevelopment.un.org/content/documents/24797GSDR_report_2019.pdf) >



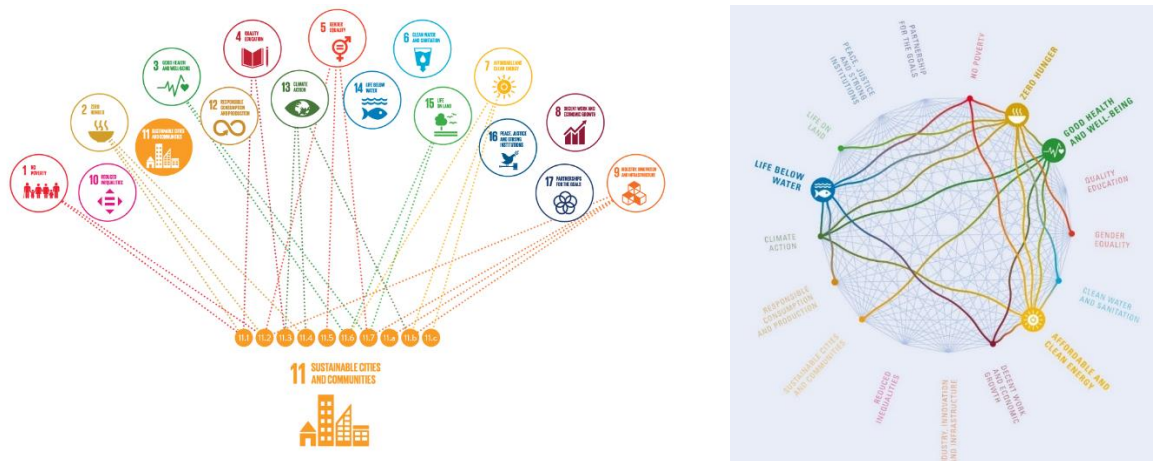


Figure 1: left: relations between SDG 11 and urban-related goals of other SDGs (based on analysis of the JPI Urban Europe Scientific Advisory Board); right: example of interrelated targets across SDGs (Source: International Science Council: *SDGs Guide to Interactions*, 2018).

*“Transformational change will be local or will simply not be.”<sup>10</sup>*

While there is a common agreement on the challenges for sustainable urban development globally, we need to act locally and consider the particular European urban context to identify and develop appropriate processes and solutions. The dense urban pattern, with a large share of smaller and mid-sized cities, the historically grown urban structures and our European social and cultural characteristics define the urban systems we need to address and advance. Anticipating the UN Agenda 2030 in the European context, the model for integrated urban development needs to be reinforced. This is taken up in the ongoing process to update the *Leipzig Charter* and consequently prepare the Urban Agenda for the EU for the next phase.<sup>11</sup> While the Leipzig Charter 2020 will continue to promote integrated urban planning and development, the requirement to follow place-based approaches from neighbourhood scale up to functional urban areas, consider multi-level governance, foster participation and co-creation as well as create inclusive, affordable and accessible infrastructures and services as common goods is highlighted.

Summarizing all these strategies and ongoing discussions, it can be concluded that a more comprehensively integrated, interdisciplinary and cross-sectoral approach is needed that:

- (1) creates evidence for urban transitions, through inter- and transdisciplinary research and innovation, involving all stakeholder groups and considering technological, social, economic, cultural, planning and governance aspects;
- (2) addresses interrelationships between various goals, strategies and interests' urban dilemmas as they define key policy areas critical for achieving SDGs and urban strategies;
- (3) offers an environment for urban experimentation, capitalising knowledge and science-policy cooperation beyond joint calls to more effectively achieve city authorities' strategies and strengthen exploitation and scaling-up of R&I results.

These requirements create various demands for the urban related research and innovation system:

<sup>10</sup> United Cities and Local Governments (2019:2) The Durban Political Declaration.

<sup>11</sup> The process to update the Leipzig Charter is coordinated by Germany in view of the German Presidency to the European Council in 2020. The new Leipzig Charter is planned to be signed by the Ministers responsible for Urban Matters in Dec 2020.



- While research and innovation are called to continue co-creating knowledge, technologies and solutions for the various urban challenges at hand, this work has to be conducted with a sufficient inter- and transdisciplinary approach to strengthen relevance and impact of scientific results.<sup>12</sup> All forms of innovation – socio-technical, organisational, etc. – will be covered to strengthen the potential of the innovation eco-system.
- In this sense, challenge-driven formats are needed to align research and innovation with real-life problems and opportunities which calls for a strong role of problem owners in R&I projects from the beginning and requires improved framework conditions to support science-policy-society cooperation. This issue has also been taken up by the recently started *Cities Science Initiative*<sup>13</sup> which explicitly addresses the gap between research and policy and aims at taking better use of scientific achievements for urban policy making.
- In support of such co-design of solutions and approaches, local experimentation is an important element. Urban Living Labs or similar formats have shown promising results but have to advance to allow a wider uptake of such experiences in urban practice and daily business of city administration.
- Finally, an understanding and appropriate conditions are needed to support replication, scaling up and across and mainstreaming. According to the plurality of urban situations there is no simple transfer from one neighbourhood, municipality or city to another. Nevertheless, we need to take more advantage of research and innovation results across Europe and globally by facilitating the internalization into the different local contexts, in other words capacity building in urban public administrations as well as in urban innovation ecosystems. Making research results available, transparent and accessible for all actors and creating learning formats that fit the various stakeholder needs is key to ensure that European and national R&I investments are boosting urban transformation.

*“Municipalities must commit to a new kind of partnership with the scientific community – a partnership based on data, research and innovation – to guide investment and policy decisions.”<sup>14</sup>*

In this sense, the Driving Urban Transition to a Sustainable Future (DUT) partnership timely contributes to the implementation and localization of the UN Agenda 2030, the Green Deal as well as the Leipzig Charter and the Urban Agenda for the EU (UAEU). By picking up these policy requirements and building upon existing activities and experiences of Member States, municipalities and research communities the partnership will shape an urban innovation eco-system by addressing particularly challenging policy areas with an integrated approach.

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<sup>12</sup> The necessity for inter- and transdisciplinary co-create knowledge to support urban transitions has been widely recognized by city and municipality networks and the R&I community, e.g. in: IPCC. (2019) Global Research and Action Agenda on Cities and Climate Change Science; JPI Urban Europe (2019) Strategic Research and Innovation Agenda 2.0; ICLEI (2018) The ICLEI Montréal Commitment and Strategic Vision 2018-2024; United Cities and Local Governments (2019) The Durban Political Declaration.

<sup>13</sup> The City Science Initiative is coordinated by JRC and the City of Amsterdam with more than 20 cities participating until now. For more details see <https://ec.europa.eu/jrc/communities/en/community/city-science-initiative>

<sup>14</sup> Don Iverson, Mayor of Edmonton in: World Climate Research Programme (2019). Global Research and Action Agenda on Cities and Climate Change Science - Full Version.

With this ambition, the DUT partnership also builds upon the various efforts taken by the EU R&I Framework Programmes over the last two decades. These activities have focused mainly on individual sectors – urban mobility, energy in cities, nature-based solutions, circular economy, migration, etc. In many of these areas, first integrated approaches have followed, by combining different technologies or sectoral implementation measures. However, in terms of urban transition pathways and the wicked issues related to them, such approaches still fall short. Due to the complexity of urban development, the urban challenges cannot be solved by linear or sectoral innovation approaches, business innovation or innovative investments alone.

The energy sector, mobility sector, circular economy, biodiversity, etc. are strongly connected when it comes to implementing concrete actions. Technological, economic, environmental, social, planning issues have to be considered across these areas to ensure successfully take-up of new solutions and approaches. A transformation of the whole spectrum of urban systems is required, including integrated solutions for urban infrastructures, the implementation of new governance models, innovation in public sector, citizen participation and liveability aspects, socio-economic dynamics, etc.

In this sense, the DUT partnership will build upon and advance the activities of the existing Member States networks of JPI Urban Europe and the SET Plan Action 3.2 on Positive Energy Districts and Neighbourhoods<sup>15</sup>. In JPI Urban Europe, a portfolio of activities has been established since 2010, resulting in a network of more than 25 programme owners and funding agencies from 20 European countries. Issues related to smart cities, food-water-energy nexus, urban accessibility and connectivity, urban governance and public sector innovation have been addressed that feed well into this partnership. A portfolio of more than 80 projects was created that realised about 50 Urban Living Labs across Europe, a multi-stakeholder community comprising researchers from various disciplines, representatives of municipalities and cities, business, entrepreneurs, planners, infrastructure providers and societal initiatives. New European countries (in particular Widening Countries) were mobilised gradually as well as international relationships to China, USA, Brazil and Canada established (for more details on JPI Urban Europe see Annex 2).

A second Member States network started in 2018, focusing on the implementation of the SET Plan Action 3.2 with the ambition to initiate 100 Positive Energy Districts and Neighbourhoods to support the transition towards sustainable urban areas. This network is currently preparing first joint actions which are implemented jointly with JPI Urban Europe. Based on all these experiences, the partners of both networks aim to join forces in the DUT partnership, co-design the DUT partnership, establish a joint governance structure, and team up with other partners, city networks, interested cities and municipalities, etc. to strengthen and align the efforts towards sustainable neighbourhoods and urban areas. The priorities of both networks are taken up in the concept of the DUT partnership and the scope of activities will be widened to serve the entire urban community, from municipalities and public authorities to infrastructure providers, developers, industry, social entrepreneurs and of course society at large.

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<sup>15</sup> A more extended definition of Positive Energy Districts is provided on page 23

## 2.2 Common vision, objectives and expected impacts

The **Driving urban transitions to a sustainable future (DUT)** vision:

The DUT partnership steps up the game to tackle urban challenges. We enable local authorities and municipalities, business and citizens to make global strategies into local action. We develop the skills and tools to make urban change happen and boost the urgently needed urban transformations.

The JPI Urban Europe *Strategic Research and Innovation Agenda (SRIA) 2.0* identified a set of key dilemmas in the overall complexity and wicked issues entailed in sustainable urbanisation that are crucial to consider for transformations. At the same time these dilemmas are expressed and play out differently in the various contexts and sectoral strategies. In order to operationalise them and develop concrete approaches and support cities along their specific strategies, the partnership focuses on three prioritised sectors (and their interrelationships) along the Green Deal for sustainable urbanisation: urban energy through Positive Energy Districts, mobility and circular economies.

Urban use of energy, cities and urban mobility and transport planning, and a greatly increased circular use of resources are all vital to drive urban transformations to planetary sustainability. Particularly in order to reach the vision of European (and global) urban areas, going beyond mere sustainability to become regenerative hotbeds, to serve and help heal the planet the human species is now responsible stewards for.

As indicated in Table 1, with this vision the partnership aims to contribute to global and European policies.

*Table 1: Contribution of the DUT Partnership to global and European policies.*

Policy	Related policy issues	Partnership contribution
<b>UN Agenda 2030 and UN-Habitat's New Urban Agenda</b>	SDG 11 and strong urban dimension cross-cutting the 17 SDGs; putting emphasis on the interrelation between related strategies, interests and actions to achieve sustainability at all.  Urban and peri-urban development is seen as one of the key entry points to deal with the complexity of transformation <sup>16</sup>  UN-Habitat's New Urban Agenda complements Agenda 2030, in particular urban related issues in	The European hub to address the complexity of sustainable urban development, addressing the interfaces and interdependencies across sectoral policies and actions in the urban setting. Input and references for sustainable urbanisation will be created and the partnership will be open for international cooperation to drive urban transitions globally.

<sup>16</sup> Independent Group of Scientists appointed by the Secretary-General, Global Sustainable Development Report 2019: The Future is Now – Science for Achieving Sustainable Development, United Nations, New York, 2019. [cited above as: UN (2019) 'The future is now: Science for achieving sustainable development', Global Sustainable Development Report 2019, <  
[https://sustainabledevelopment.un.org/content/documents/24797GSDR\\_report\\_2019.pdf](https://sustainabledevelopment.un.org/content/documents/24797GSDR_report_2019.pdf) >]

	the document with more details, aims and priorities	
<b>Strategic priorities of the European Commissions' Strategic Plan for Horizon Europe, with a special focus on the European Green Deal</b>	<p>The new strategic priorities of the European Commission including achieving climate-neutrality by 2050.</p> <p>Horizon Europe establishes urbanisation as a possible driver for strengthening the role of the cities as centres for innovation to improve quality of life for its inhabitants including them in the process. Due to its resources and organisation, Europe is seen as a possible global driver for change.</p> <p>This includes transitions of the energy and mobility sector, realising circular economy and utilising nature-based solutions. A European Climate Pact is planned that brings together regions, local communities, civil society and industry.</p>	<p>The partnership will collaborate closely with city authorities and local governance / municipalities on transforming urban challenges into opportunities – for more efficient and decarbonised use of energy in Positive Energy Districts, sustainable and people-friendly mobility systems, circular and environmental-friendly use of resources, to the benefit of well-being of citizens and preservation of biodiversity.</p> <p>The partnership will support and enable city authorities, local governance and municipalities in their efforts to be drivers for transformation and centres for innovation - through their high share in public procurement, their role in building and transforming vital infrastructures and systems, through their responsibility for inclusive and integrated urban development, involving inhabitants from all societal groups, offering environments for new, circular businesses, social innovations and exploiting digital opportunities.</p> <p>The partnership will contribute to such developments through challenge-driven R&amp;I and alignment of national R&amp;I investments. The domains of energy in Positive Energy Districts, mobility, circular economy and nature-based solutions will be given particular attention in the partnership programme, with the aim to create inclusive, sustainable, safe and attractive neighbourhoods, towns and cities. Through activities already developed and tested by JPI Urban Europe, the partnership will ensure uptake of and access to the results.</p> <p>Challenge-driven R&amp;I will allow public authorities to co-design new solutions with business, local initiatives, infrastructure providers, etc. Through Urban Living Labs, R&amp;I will be brought into neighbourhoods to engage people to ensure that all benefit from the investments.</p> <p>The partnership will, building upon established collaborations and contacts, contribute to and benefit from the ongoing urban R&amp;I and development on the global arena.</p>
<b>Paris Agreement</b>	Agreement on the 2°C temperature increase compared to pre-industrial levels and	Contribution to the Paris Agreement considering the urban context and exploiting the potential of urban transformation for the

	<p>increase climate resilience through appropriate actions on all levels. Long-term strategies for low GHG emission developments, mitigation measures are called for that follow participatory, inclusive and transparent approaches. The strong link between sustainable development and climate change mitigation/ adaptation is emphasised. The importance and role of science is highlighted in providing guidance for such actions and enable innovation, create references and synthesis.</p>	<p>benefits of climate change mitigation and adaptation. Thus, the efforts of the partnership to create sustainable, inclusive, resilient, safe and attractive neighbourhoods, towns and cities pays in this ambition. Furthermore, it will not only create knowledge, technologies and evidence but make such research results widely available and accessible for policy, business and societal actors to act. Starting from the European hub, international cooperation is aimed at to ensure a global benefit of the related science, technological development and innovation.</p>
<p><b>Leipzig Charter and UAEU</b></p>	<p>Renewed urban policy framework for a sustainable future, calling for integrated as well as place-based approaches, participation and co-creation</p>	<p>Contribution from R&amp;I to empower cities and build capacities to act. As transnational initiative particular support for small and medium sized cities can be offered. Evidence will be created regarding the three dimensions of European cities (green, just, productive) and the interrelationships between these three dimensions. A contribution to the implementation of the Leipzig Charter is planned and currently under discussion.</p>
<p><b>ERA – European Research Area</b></p>	<p>In its new approach, emphasis is given, among other objectives, to the importance of R&amp;I contributions to the wider European policy objectives as well as ensuring relevance and visibility of R&amp;I for society</p>	<p>With its objective to provide scientific evidence for policy makers on the one hand and the integrative approach across various sectors on the other, the partnership is in line with the new ERA priorities. It will help to mobilise capacities and stakeholders in the area of urban transitions.</p>

### 2.2.1 Expected impacts

Addressing these policies, the partnership aims to create impact in three dimensions – in our cities and municipalities, on urban policies from local to international scale and on innovation policies and the European Research Area (Figure 2).

#### ***Impact in urban areas***

The partnership will support liveable, inclusive and attractive neighbourhoods and urban areas by the mobilisation and inclusion of citizens (urban inhabitants, urban publics, and civil society) in the transition work. The stakeholder engagement model developed in the JPI Urban Europe AGORA will be a key implementation measure, together with the urban living labs in the local contexts for sociotechnical urban development.

By supporting spaces and approaches to urban experimentation in urban neighbourhoods and areas, while at the same time providing and supporting the exchange and translation of these types of approaches across urban settings, a transnational multi-stakeholder community of practice in urban living labs and integrated urban development is enabled in

effect. This will support the exchange of knowledge and experiences for decision makers and local urban governance to create new transition pathways that correspond to the city authorities' needs, strategies, and priorities in urban transformations.

Crucial in this impact area is also to connect, by way of an open multi-stakeholder platform and adequate R&I funding instruments, business and industry in urban transitions and the transnational community of practice to ensure that new solutions and business models are developed to co-create and support urban transformations through innovative technologies, tools, methods, and services.

### ***Impact on urban policy***

Cross-sectoral and inter-silo connections and knowledge for integrated urban development will be developed out of the current sectoral specific land-winnings, specifically in and around the prioritised transition pathways of clean and efficient urban energy use in Positive Energy Districts, towards 15 minutes cities, and downsizing city doughnuts to increased resource circularity including Nature-Based Solutions. Through this synthesis, policy makers receive adequate evidence and experience-based advice on urban energy in Positive Energy Districts, urban mobility transitions for 15 minutes cities and on downscaling district doughnuts.

Urban policy will become more effective, using solutions and processes for urban transitions and systemic change, achieved through disruptive innovation and putting available knowledge and solutions into practice. The DUT partnership will support the continuous dialogue between policy makers (local, regional, national, transnational), business and industry, civil society and the urban R&I actors. This dialogue will be on how to tackle wicked issues and dilemmas related to urban liveability, digitalisation, robustness, resilience, infrastructures and land-use in between domains and sectors. A key element in this support is to connect global ambitions with local action across levels and scales in urban policy, through establishing relationships, cooperation, and alignment between related and relevant partnerships and networks.

### ***Impact on urban innovation and ERA***

The DUT will foster inter- and transdisciplinary R&I that includes a diverse set of stakeholders in the process – from city authorities, civil society and entrepreneurs to commercial actors. Building capacities this way, the partnership supports the planning processes of city administrations. Also, civil society's ownership is strengthened by citizens and urban entrepreneurs being included and given an active role in the development of new business models and in the innovation processes.

The partnership will safeguard an open approach in terms of welcoming new countries and partners. Emphasis will be given to align to widening countries' conditions and priorities. Furthermore, the partnership will act as a hub for transnational and international state of the art R&I for sustainable urbanisation in order to contribute to a strengthened European Research Area and create benefits for all EU countries and beyond.



Figure 2: Impact dimensions of the DUT partnership.

These impacts require actions for capacity and community building to drive urban transformations, efforts towards integrated approaches to tackle the complex urban issues and joint forces to tap the full potential of urban R&I. Along these three dimensions, the objectives of the partnership are described and the overall intervention logic developed (Figure 3).



## 2.2.2 Partnership objectives

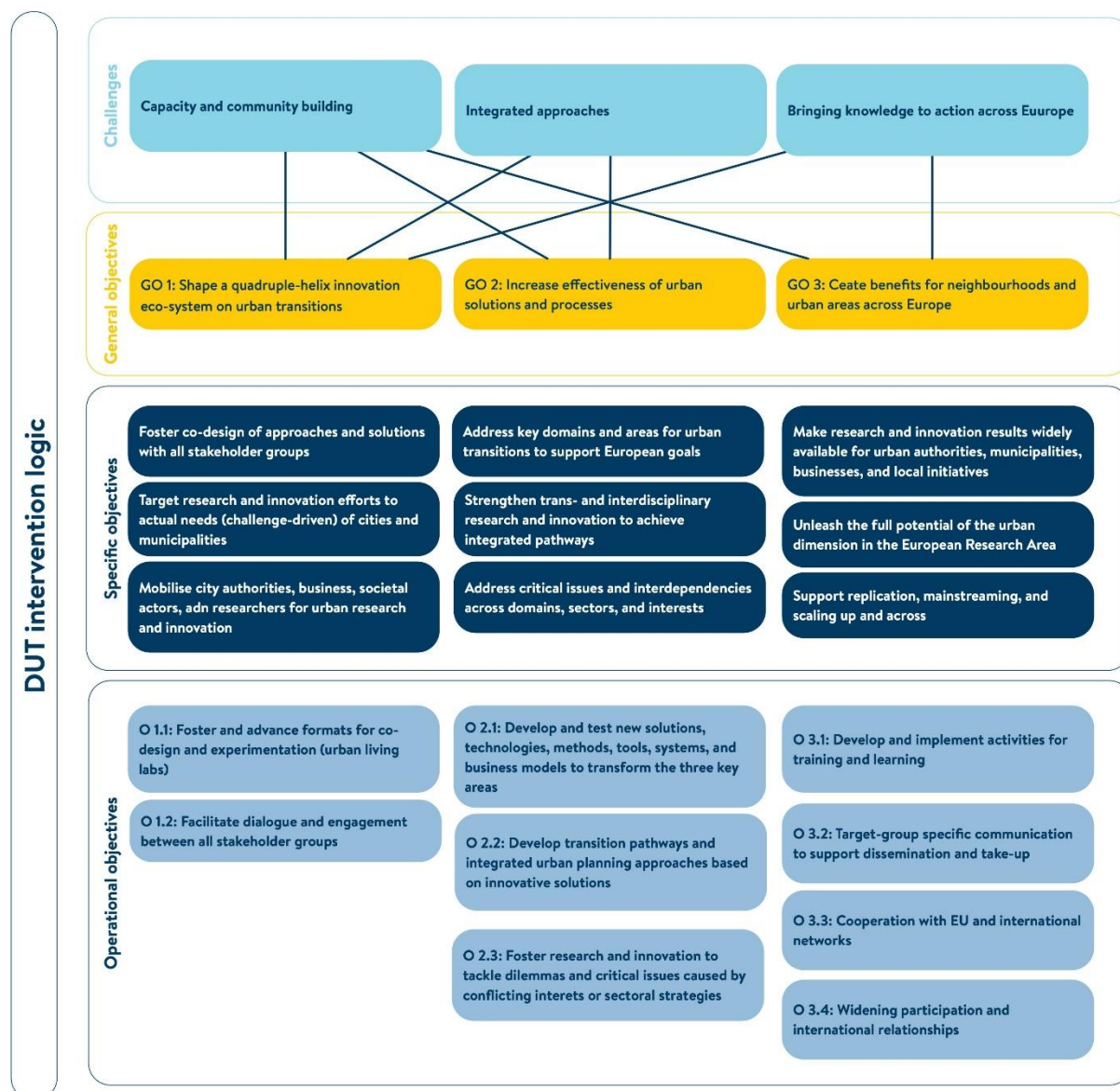


Figure 3: intervention logic of the DUT partnership.

In the following the challenges, drivers and objectives are described in more detail. The need for action has been identified together with stakeholders, through input from the JPI Urban Europe projects, in AGORA dialogues, exchanges with other networks, the Urban Agenda for the EU (UAEU) partnerships and consultations with city authorities. Although there are various cross-linkages of objectives to challenges, for better readability a linear structure is followed to describe the objectives and connect them to their main challenge.

### Challenge 1: Capacity and community building for urban transformations

Science-policy cooperation is one of the key elements for transformative change, but practice shows that such cooperation is widely underdeveloped. This results in two effects, namely the utilisation of R&I for policy making as well as the research and innovation

efforts are not well enough responding to the actual needs of city authorities and urban communities. On the other hand, learnings, results and solutions from research and innovation projects and/or urban living labs are generally not available for urban decision makers and public administrations with similar challenges elsewhere. Due to the often-missed opportunity to assess different scenarios for investments and transformations, city authorities and municipalities many times procure and implement solutions that generate too many negative externalities.

**Challenge drivers:**

- The link between R&I communities working on urban issues and the city authorities and urban communities that need their knowledge and solutions is weak or non-existing.
- The relevance of R&I for city authorities and other urban actors is not always given, also due to missing opportunities or formats to directly engage in such projects and related activities.

**General Objective 1: Shape a quadruple-helix innovation eco-system on urban transitions**

The urban innovation eco-system should offer different ways of interaction between science, policy, business and society to ensure that research efforts better meet the needs of urban actors and society. This not only by mobilising urban stakeholders to join research projects, but to start with jointly identifying the issues at hand, letting urban stakeholders have a stronger say in the specification of research and innovation needs. In addition, formats are needed to strengthen the role of urban actors in research and innovation projects and offer settings to engage in all phases of the innovation cycle.

**Specific objectives:**

- Foster co-design of approaches and solutions with all stakeholder groups
- Target R&I efforts to actual needs (challenge-driven) of cities and municipalities
- Mobilise city authorities, business, societal actors, and researchers for urban R&I

**Operational objectives:**

- Foster and advance formats for co-design and experimentation (ULLs) of urban transition pathways
- Facilitate dialogue and engagement between all stakeholder groups to create a community of practice on integrated urban transformation

**KPIs:**

- City authorities and urban municipalities are mobilised in projects, urban living labs, and other partnership activities
- Urban living labs and similar formats are applied by consortia
- Multi-stakeholder engagement ensures knowledge, ideas and experience sharing throughout Europe and beyond

**Challenge 2: Integrated approaches to tackle complex urban issues**

The spheres of urban knowledge creation, expertise, and solution development are in most cases not working inter- and transdisciplinary, but take place in silos and disciplines, which may lead to the result that a solution of one problem hampers the solving of others.

**Challenge drivers:**

There is a lack of inter-, transdisciplinary and cross-sectoral collaboration on urban challenges and dilemmas.

**General Objective 2: Increase effectiveness of urban solutions, approaches and processes**

From the point of city administration, integrated approaches and planning is seen as one of the key elements towards urban transformation and sustainable urban development. This is why the SRIA has taken up the ambition to connect the dots and address in particular those issues that are created by conflicting or competing strategies, interests or policies. The research and innovation issues put forward for calls will be selected to ensure that various perspectives across disciplines, sectors or stakeholder needs are considered and connected. Conditions for such projects will be specified in a way to give room for the required inter- and transdisciplinary cooperation.

**Specific objectives:**

- Address key domains / areas for urban transitions to support European goals
- Strengthen trans- and interdisciplinary R&I to achieve integrated pathways
- Address critical issues and interdependencies across domains, sectors and interests

**Operational objectives:**

- Develop and test new solutions, technologies, methods, tools, systems and business models fit to transform the key areas
- Develop transition pathways and integrated urban planning approaches based on innovative solutions
- Foster dilemmas and critical issues caused by conflicting interests or sectoral strategies

**KPIs**

- R&I calls that are open for participation of all stakeholder groups to ensure results respond to actual urban needs (are challenge-driven)
- Urban research and innovation is a result of transdisciplinary collaboration considering urban dilemmas and engaging relevant stakeholders in the process

**Challenge 3: Bringing knowledge to action across Europe**

Many actors throughout Europe and beyond put a lot of efforts and resources in developing more sustainable and liveable urban areas. The potential of these competences, ideas and experiences should be exploited in a wider sense to take highest benefit in Europe, for all our city authorities and urban municipalities. Such cooperation is needed on all levels, from the local level to solve concrete issues in a neighbourhood up to transnational cooperation to transform our urban areas no matter of size or geographical location.

**Challenge drivers:**

- Reluctancy to join forces on transnational and national levels as well as in local urban settings due to barriers in the shape of linguistic and cultural differences, hampering mutual understanding and trust and thus the exploitation of research results and good practice.

- There is a lack of proven measures to scale-up and replicate as well as for peer-to-peer learning.
- Insufficient availability and accessibility of knowledge and evidence for policy and decision makers across Europe and worldwide, for towns and small cities up to metropolitan regions and national policy makers.

### **General Objective 3: Create benefits for neighbourhoods and urban areas across Europe**

The partnership aims to bring results, good practice and evidence to urban actors across Europe and support dissemination, exploitation, uptake, and replication of solutions and approaches. With this, a contribution to a strong European Research Area is expected, creating benefits for city authorities and municipalities of cities of different sizes and situations. Furthermore, the partnership wants to position as the European hub for international cooperation on sustainable urbanisation.

#### **Specific objective:**

- Make research and innovation results widely available for urban authorities, municipalities, business, local initiatives
- Unleash the full potential of the urban dimension in the ERA
- Support replication, mainstreaming and scaling-up

#### **Operational objective:**

- Develop and implement activities for training and learning
- Extend target-group specific communication to support dissemination and take-up
- Intensify cooperation with European and international networks
- Support widening participation and international relationships

#### **KPIs**

- Policy briefs and recommendations are co-created with and made available for urban governance and city networks
- Widened participation is achieved and international relationships managed
- Results and solutions from R&I projects and/or urban living labs are available for local urban policy and public administrations throughout Europe and beyond

### **Contribution to the European Green Deal**

As laid out already in Table 1, the DUT partnership with its vision, objectives, thematic priorities and the portfolio of activities, strongly contributes to the European Green Deal. In Table 2 the contributions of DUT to this European ambition are elaborated in more detail. While the thematic priorities chosen in DUT match widely with the European Green Deal objective of designing transformative policies in various sectors, the other DUT objectives and the planned additional activities correspond to the European Green Deal objectives of mainstreaming such policies, positioning the EU as a global leader and acting together. This overview also demonstrates that it is not only about setting the 'right' thematic priorities, but equally about the portfolio of measures to fully achieve the ambitions.

Table 2: Connecting DUT objectives and activities to the Green Deal priorities

Green Deal objectives			DUT objectives	DUT activities
<b>TRANSFORMING THE EU'S ECONOMY FOR A SUSTAINABLE FUTURE</b>	Designing a set of deeply transformative policies	Increasing the EU's climate ambition for 2030 and 2050	Take up cities role in sustainable transformation; vision of DUT - enable local authorities and municipalities, business and citizens to make global strategies into local action	Strengthen cities and municipalities capacities to transform neighbourhoods and urban areas into sustainable places
		Supplying clean, affordable and secure energy	SO2.1 Address key domains / areas for urban transitions to support European goals	Pillar on energy transitions through Positive Energy Districts, including efforts to prepare the energy system for PEDs, integrated urban and energy planning as well as governance issues
		Mobilising industry for a clean and circular economy	SO2.1 Address key domains / areas for urban transitions to support European goals	Pillar on downsizing district doughnuts e.g. urban small-scale manufacturing, urban agriculture and circularity in the urban built environment
		Building and renovating in an energy and resource efficient way	SO2.1 Address key domains / areas for urban transitions to support European goals	Pillar on energy transitions through Positive Energy Districts and Neighbourhoods addressing the design, construction and maintenance of energy systems of buildings and building compounds, including aspects regarding materials, energy technologies, energy planning, management and monitoring of buildings, etc. associated to Positive Energy Districts
		Accelerating the shift to sustainable and smart mobility	SO2.1 Address key domains / areas for urban transitions to support European goals	Pillar on sustainable mobility transitions through 15 minutes cities tackling integrated mobility systems that consider active modes, new technologies, digitalisation of the transport sector and create evidence and recommendations for policy making.

	From 'Farm to Fork': designing a fair, healthy and environmentally-friendly food system	SO2.1 Address key domains / areas for urban transitions to support European goals	To some extent Pillar on downsizing district doughnuts with continuing R&I on food-water-energy nexus and urban agriculture
	Preserving and restoring ecosystems and biodiversity	SO2.1 Address key domains / areas for urban transitions to support European goals	Pillar on downsizing district doughnuts by investigating urban biodiversity and fostering nature-based solutions in urban settings
	zero pollution ambition for a toxic-free environment	SO2.1 Address key domains / areas for urban transitions to support European goals	To some extent Pillar on 15 minutes cities as well as on downsizing district doughnuts by focusing on solutions that have co-benefits regarding air and other environmental pollutions.
Mainstreaming sustainability in all EU policies	Pursuing green finance and investment and ensuring a just transition	SO3.3 Support replication, mainstreaming and scaling up	Providing evidence and recommendations for sectoral policy making, creating interfaces to investment programmes to foster large scale implementation of urban transition pathways; connecting to regional strategies and structural funds
	Greening national budgets and sending the right price signals	SO1.2 Target R&I efforts to actual needs (challenge-driven) of cities and municipalities	Alignment of R&I programmes and mobilisation of national funds for joint actions, strengthening national capacities towards sustainable urban development; national coordination to strengthen dissemination and uptake in sectoral policy making
	Mobilising research and fostering innovation	SO2.2 Strengthen trans- and interdisciplinary R&I to achieve integrated pathways SO2.3 Address critical issues and interdependencies across domains,	Shaping and strengthening R&I for urban transformation, connecting national and transnational / international levels, implementing a challenge-driven R&I programme

	sectors and interests	
	Activating education and training	SO3.1 Make research and innovation results widely available for urban authorities, municipalities, business, local initiatives
	A green oath: 'do no harm'	SO1.1 Foster co-design of approaches and solutions with all stakeholder groups SO1.3 Mobilise city authorities, business, societal actors, and researchers for urban R&I
<b>THE EU AS A GLOBAL LEADER</b>		SO3.2 Unleash the full potential of the urban dimension in the ERA
<b>TIME TO ACT - TOGETHER: A EUROPEAN CLIMATE PACT</b>		SO3.1 Make research and innovation results widely available for urban authorities, municipalities, business, local initiatives
		Mobilising all stakeholder groups and supporting capacity building through different formats – e.g. stakeholder dialogues, training modules, seminars, webinars, synthesis
		Co-design of transition pathways with all stakeholders, including citizen participation; fostering urban living labs as formats for experimentation and co-creation
		International outreach to position DUT as the European hub for urban transitions and establish international cooperation
		Shape an innovation ecosystem to create evidence for policy making, provide results and evidence for decision makers to act, design a challenge driven R&I programme to address challenges of cities and citizens



### 2.2.3 The partnership program and its key areas

The partnership's objectives will be addressed through a comprehensive programme management approach that invests in challenge-driven research and innovation activities along an agreed Strategic Research and Innovation Agenda (SRIA). It will, in tandem implement a portfolio of accompanying measures to create and manage a wider innovation eco-system involving all relevant stakeholder groups and strengthen impact creation.<sup>17</sup>

The role of R&I to achieve these objectives, impacts and the overall ambition related to global and European policies, lies not only in creating new technological solutions, but also in creating capacities and co-creation processes to implement these in full scale. This requires research and science-policy cooperation in the fields of new governance models, public sector innovation, social, socio-economic and socio-technical innovations and new kinds of business models, to ensure sustainable investments and a substantial transformation of urban systems.

To achieve these objectives and impacts the DUT partnership builds upon the *SRIA 2.0* developed by JPI Urban Europe and published in February 2019. The *SRIA 2.0* responds to the urgent need for ambitious, sustained and truly inter- and transdisciplinary research and innovation to create knowledge- and evidence-based policies, methods, tools and technologies for sustainable urbanisation. It aims to support Europe's cities and urban areas in their transition towards a future that maximizes their sustainability and their liveability in this era of global competition for commerce, industry, tourism, labor and investment; to take highest use of technological solutions and drive urban innovation. It has been developed in a comprehensive co-creative process, involving manifold stakeholders across Europe (see Annex 1). In this sense, the *SRIA 2.0* priorities highlight the needs voiced by city authorities, urban municipalities, public authorities, local initiatives and research.

In addition, the *SRIA 2.0* does not only indicate key areas for action. It offers a framework to identify key issues for research and innovation for sustainable urban development. Following the call for a much stronger consideration of interlinkages across the three dimensions of sustainability and the various urban related goals and strategies,<sup>18</sup> the *SRIA 2.0* proposes an approach to identify such critical issues for urban transition pathways and for achieving sustainability targets.<sup>19</sup> To this end, it proposes a methodological approach towards a continued debate on urban transitions and helps to prioritize key issues to be addressed by the partnership.

Four priority themes have been identified as crucial to support urban transitions. Need for action has been specified around:

- ***Digital transitions and urban governance***: Digitalisation offer potential for economic development and innovative urban planning such as i.e. innovative digital-based and citizen-centred governance approach to support urban regeneration. Digital transition shall be the nears to make change in the Public Administration (PA) on one side, and

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<sup>17</sup> This approach corresponds strongly with the concept for missions as proposed by Marianna Mazzucato. JPI Urban Europe has already established several elements of such a programme management. The DUT partnership will offer the framework for widening the portfolio of activities and instruments.

<sup>18</sup> Independent Group of Scientists appointed by the Secretary-General, Global Sustainable Development

Report 2019: The Future is Now – Science for Achieving Sustainable Development, United Nations, New York, 2019, page xxi

<sup>19</sup> The *SRIA 2.0* is available at < <https://jpi-urbaneurope.eu/app/uploads/2019/02/SRIA2.0.pdf> >

the Community on the other, and face, together, the complexity, promoting a new role for PA as process enabler. Digital transition needs to support, explore and resolve the theme of urban data in terms of big data. Digitalization is implemented in an accelerating pace across Europe and in many parts of the world's urban areas. Digitalisation also enables more connections to citizens and empowers and engages them to shape their urban environments through digital democracy. It improves social policies, presents major opportunities to support sustainable solutions, while data sovereignty is clear, and regulations are in place. In the current digital transition, urban governance may also risk implementing suboptimal or segregating technical solutions unless city administrations work with capacity building in public innovation governance and integrated urban planning to expand the current policy scope in many urban digitalisation efforts to include issues such as poverty, gender, education, and marginalised neighbourhoods. The legal framework is one of the main obstacles in enabling digital transition as key element for supporting innovative urban governance: evolving regulations and practice on data management, GDPR and interoperability, as well as block chain cannot play a decisive role without a specific legal focus which is needed to transform PA as enabler of change.

- ***From resilience to urban robustness:*** Cities and urban areas need to drive sustainable urban development and realise green agendas, as well as tackle climate change and safeguard urban eco-economies. A widespread recognition of good practice, clean-tech development, rewards, awards, and business models that fully address social and environmental targets is central in this respect. A special focus could be given to urban regeneration processes as key enablers to implement urban robustness: as the world turbulence and global disruptive events probably will increase even if +1.5C climate change is achieved – both in numbers and intensities, urban systems require response paradigms built on 'safe to fail' adaptability principles as a baseline for urban resilience rather than efforts at conserving ill-suited linear systems. New alliances among new public/private roles and relationships and a transition to knowledge based and public-or inhabitant-centric implementation offer potential. In addition, there is the need to empower local authorities to take their role of transition leader and enhance the political commitment towards the engendering of sustainable urban areas. Resilience also links in with safety. EU road safety policy for cities aims to link sustainability and safety considerations. This means promoting infrastructure measures that privilege walking and cycling and enhance their real and perceived safety, promoting public procurement of clean and safe vehicles, integrating road safety consideration into Urban Vehicle Access Restriction Schemes. Urban resilience can be in synergy with overall well-being and robustness as long as climate change action entails an integrated approach to adaptation that facilitates more liveable cities for people. This includes a good balance between mitigation and adaption measures..
- ***Sustainable land use and urban infrastructure:*** Cities and urban areas in general attract people and create positive effects out of agglomeration, density, and diverse and intersecting infrastructures and facilities. These positive effects of urbanisation are underpinned by integrated urban planning and management by public administrations and across diverse public and private actors. This is particularly supported by public engagement and participation in decision-making relating to land-use management, flows of people, flows of information, goods, and resources, place-making, and impact on existing settlements and environs. Consequently, at the same

time there are also risks involved and currently increasingly wicked problems around e.g. congestion and accessibility, transformation of the built environment and the urban energy system, loss of identity or demand/waste of natural resources. Furthermore, urban areas may succumb to conflict and clashes between powers, mismanagement of transportation flows, existing tensions that intensify and severely limit progress towards sustainable urbanisation. Added to this, increased spatial and social inequalities between different types of urban areas may be caused by increasing economic polarisation, segregation and gentrification dynamics, suburban sprawl, and shrinking cities in functional regional contexts.

- ***Inclusive public spaces:*** Public spaces should be ideally attractive to all, as these are spaces for wellbeing and health (stimulating people to move), increasingly green public and shared places for people, where different groups and communities meet, preconceived ideas of the Other are challenged, and where citizens control their streets and shared spaces. Urban development and planning can be used to increase urban quality of life by design, public space management, walkability and cycling. A high quality, accessible and reliable public transport system is key to reducing car traffic, congestion and related emissions. Public spaces may also retain and emerge as second living rooms (as housing living areas get smaller). However, a dilemma regarding everyone's right to the city is that public spaces are constantly influenced by power balances and the needs of different groups and communities. The partnership should stimulate urban mobility planning for walking and cycling, and favour public transport innovation, as well as inclusive and accessible planning of the urban environment through experimentation with shared streets concepts or new mobility services.

Each of these key areas is not only worth to be investigated in principle to create knowledge and evidence how to tackle the underlying dilemmas or exploit the potential of innovative solutions, tools, methods and approaches, but they are also key for transforming any urban sub-systems. Thus, in order to operationalize the SRIA 2.0, the partnership will cover three main sectoral concerns in the form of three sub-programmes in the DUT partnership: the urban energy transitions through Positive Energy Districts, circular economy and sustainable urban land use as well as inclusive urban mobility and connectivity, each of them an essential area towards urban transformations and at the same time highly interlinked.

#### **2.2.4 The four key areas addressed through three innovation pillars**

The three priority areas impact each other as well as most of all the other urban grand challenges. In between the three, their interlinkage can be generalized something like this: neighborhoods cannot produce more energy than they consume without new mobility solutions and more circular use of resources. New mobility solutions necessitate innovative energy technology and design thinking. Sustainable energy systems and smart mobility solutions are mandatory, should cities obtain circularity.

For the partnership to maximize impact for the European and global policies (The Green Deal, Urban Agenda for the EU, The Paris Agreement and the UN Agenda 2030), these three priority areas have to be approached in an integrated manner and with a firm commitment to urban regional and city authority capacity building in terms of ambitious policy-making and implementation.

To address these domains and the challenges identified within each sectoral concern, the partnership will foster challenge-driven R&I and technological development to address the specific issues related to the four cross-cutting key areas. This will allow to shape thematic innovation eco-systems that take the particularities of the respective domain into account. The efforts towards co-creating integrated transition pathways and strategies will be pursued to tackle the wicked issues of sustainable urbanization. Evidence will be created with and for city administrations, municipalities, business and society, aiming at all kinds of innovation and capacity building needed to transform our neighborhoods and urban areas. The partnership will offer a framework for innovation, demonstration and preparing larger scale implementation of solutions (Figure 4).

The bold vision to be accomplished by this support is not merely sustainable urbanisation but transformations to regenerative urban areas which supports and replenishes as far as possible the planetary resources they consume and enhance the various ecosystems they are part of and live by.<sup>20</sup>

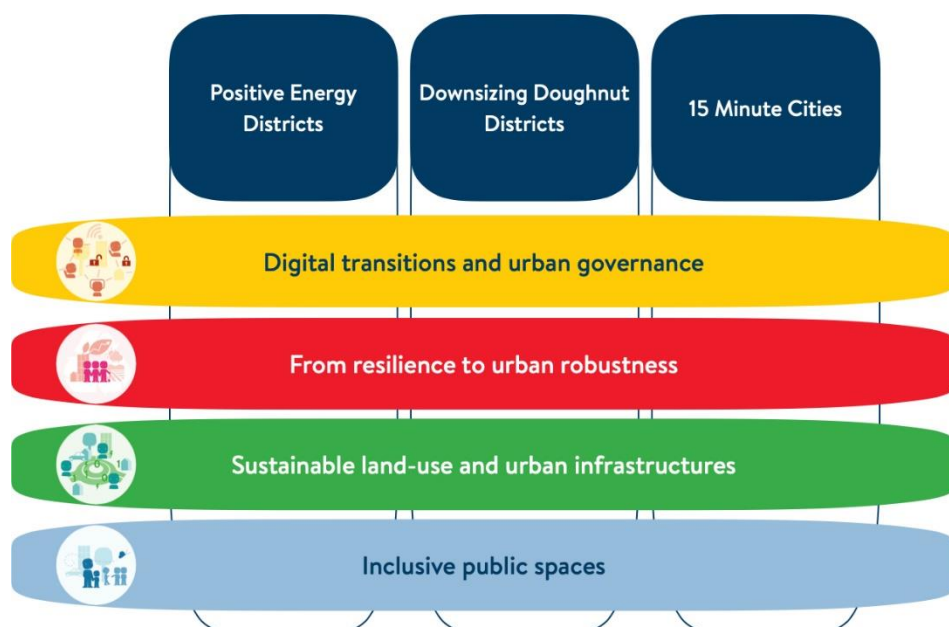


Figure 4: Sectoral priorities and identified key issues for urban transitions.

### 2.2.5 100 Positive Energy Districts and Neighbourhoods – transforming the urban energy system

In our globalised world, cities are the hubs of communication, commerce and culture. They consume more than two-thirds of the world’s energy and account for more than 70% of global CO2 emissions. Urban areas are among the main originators of climate change, as urban activities are major sources of greenhouse gas emissions. Thus, urban areas are key actors in achieving climate change mitigation targets, decarbonization and the energy transition.

To transform our energy systems different measures have to be taken for improvements in capabilities and performances as steps towards a fully sustainable energy system.

<sup>20</sup> Cf. UN Habitat (2014) 'The city we need',  
<<http://mirror.unhabitat.org/downloads/docs/The%20City%20We%20Need.pdf>>

Technological capabilities need to be coordinated with behavioural and structural abilities in energy efficiency improvements, e.g. building and using houses in a sustainable way, in which technological, behavioural and structural factors are integrated as different dimensions. Positive Energy Districts are a subsystem within cities that aim towards energy efficiency and generation of an energy surplus. They bring together energy efficient buildings, renewable energy technology, storage and district energy management systems and combine technological with architectural and social innovation. Consequently, PEDs will support urban doughnut economics and help achieving thriving cities through this regenerative approach. It is expected, that Positive Energy Districts will raise the quality of life in European cities, contribute to reach European and international sustainability targets and enhance European capacities and knowledge to become a global role model.

The following definition of PEDs was developed and agreed through a consultation among participating countries<sup>21</sup>:

*Positive Energy Districts are energy-efficient and energy-flexible urban areas or groups of connected buildings which produce net zero greenhouse gas emissions and actively manage an annual local or regional surplus production of renewable energy. They require integration of different systems and infrastructures and interaction between buildings, the users and the regional energy, mobility and ICT systems, while securing the energy supply and a good life for all in line with social, economic and environmental sustainability.*

In honouring the economic, cultural and climate-related diversity of European countries and cities, a definition for such PEDs should not be just an algorithm for calculating the input and output of energy, but rather a framework, which outlines the three most important functions of urban areas in the context of their urban and regional energy system.

1. PEDs should ultimately rely on renewable energy only (energy production function), which is one of the main contributions towards climate neutrality.
2. They should make energy efficiency as one of their priorities in order to best utilise the renewable energies available (energy efficiency function).
3. As urban areas are bound to be among the largest consumers of energy, PEDs need to make sure that they act in a way which is optimally beneficial for the energy system (energy flexibility function).

Based on such a framework, cities should be able to optimise the different functions and guiding principles against one another, in order to find a balance, which can best represent the renewable energy resources available in their respective climate zone, together with their specific ambitions and needs. When developing PEDs, the specific situation of the city should be taken into account (e.g. density, type of buildings, available local renewable energy resources).

PEDs require an open innovation model for their planning, deployment and replication which requires the cooperation and involvement of various stakeholder groups and sectors. Cities need to take a leading role in the integrated and holistic planning of PEDs, considering PEDs well in their long-term urban strategies. Industries such as real estate developers, construction companies, network operators, utility companies and many others, will play a vital role as solution providers. Energy providers, mobility providers and real estate

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<sup>21</sup> JPI Urban Europe: White Paper on PED Reference Framework for Positive Energy Districts and Neighbourhoods, Key lessons from national consultations, March 2020, <https://jpi-urbaneurope.eu/app/uploads/2020/04/White-Paper-PED-Framework-Definition-2020323-final.pdf>

developers are in need of new business models when energy efficiency and RES become standard in society. Investors will develop new models for risk sharing, cooperative innovation and participatory funding pipelines. Citizens will take on a new role as prosumers with active participation in energy trading. All this needs to be supported by knowledge institutions, research and innovation to develop planning tools and technology solutions for the medium-to-long term, provide robust documentation, monitoring and evaluation, and foster capacity building and education of the next-generation positive energy professionals and citizens.

The target is that each PED will have to find its own optimal balance between energy efficiency, energy flexibility and local/regional energy generation on its way towards climate neutrality and energy surplus. To make them attractive for cities and citizens the development of PEDs should follow four guiding principles, namely quality of life, inclusiveness (with special focus on the affordability and prevention of energy poverty), sustainability and resilience and security of energy supply.

To achieve this, a set of enablers has been identified, such as political vision and governance framework; active involvement of problem owners and citizens; integrated urban energy planning as well as ICT and data management. The deployment of PEDs within European cities will face a set of diverse challenges imbedded predominantly among technological, social, economic, financial, environmental and legal/regulatory aspects. Tackling such challenges calls for integrated and innovative solutions to spur the deployment of PED in respect to the sustainable urban transformation process.

Accordingly, the following issues have to be considered for realising PEDs:

- accelerate the climate and energy transition through PEDs, regardless of the starting point in a specific district, city or country by developing PED pathways that take into account different urban situations (density, type of buildings, available local renewable energy resources), planning cultures, legal frameworks and ownership structures
- develop feasible business models for PED implementation and explore PED development as means for sustainable and “green” overall economic development and opportunity for job creation
- develop holistic concepts and apply a systems perspective in all planning phases which builds upon integrated initiatives and projects. Such activities should not only include new solutions for energy production but also legal, regulatory and social innovation and their integration into the local eco-system
- develop and assess integrated and innovative technologies for PEDs to tackle the innovation need across building, energy, mobility and ICT sectors, including integrated urban energy system operation and planning and digital planning of cities
- use PEDs to improve resilience and reduce vulnerability of cities. In particular, the combination of the increasing complexity of urban systems, the interdependencies of networks and the expected effects of climate change increase the vulnerability of cities
- build capacities in city administration and strengthen cooperation between administration, industry and research for integrating energy aspects in urban planning processes
- integrate societal innovation, social entrepreneurship and citizen participation to spur the deployment of PED within an integrated urban transformation process

- facilitate cooperation between citizens, businesses and public sector as a prerequisite for the realization of PEDs. In addition to the citizens, the key stakeholders to be mobilised and keep engaged are (a) city administrations, (b) the real estate industry and (c) energy suppliers
- formulate and approve policy, regulation and standards for issuing legislation on PED and its impact on the actual construction and management process, impose standards, test and attest novel solutions on PED and issue certification for realised solutions. KPIs and minimum requirements must be further developed and monitored also at the district level
- develop schemes to replicate, scale-up and mainstream PED developments throughout Europe and beyond, including replication profiles, feasibility studies, intellectual property rights, market access, and STI cooperation

With such an integrated approach, the PED pillar strongly contributes to the DUT ambition and connects well to the other pillars as indicated in Figure 5.

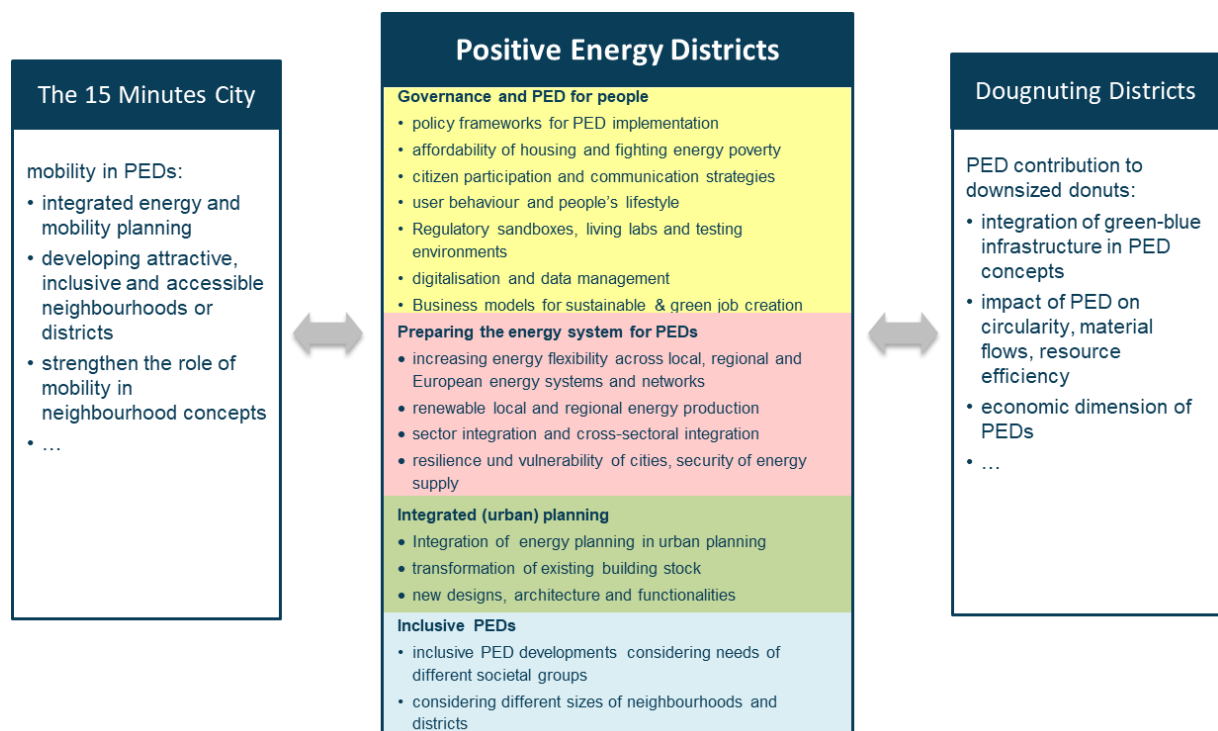


Figure 5: Interlinkages of the PED concept to the other DUT pillars

## 2.2.6 The 15 minutes city – rethinking the urban mobility system and space

Mobility of people and goods is essential for individual development opportunities, access to work, education, recreational activities as well as access to infrastructures and services. However, just as mobility and accessibility is a cornerstone for human societies and is essential for quality of life, the physical transport of people and cargo comes at a cost. It requires large infrastructures, room for vehicle movements (and parking!), and all kinds of supporting systems and services which need energy and use space and cause noise, pollutants, GHG emissions and may cause accidents. This directly and indirectly affects urban liveability, health, the spatial configuration of cities, air quality and other aspects of the living environment and sustainable urbanisation.



Mobility is closely intertwined with contextual trends and developments such as demographic change, urbanization or digitalization. A substantial change in the face of global challenges therefore needs to take into account the way we want and can live in the future. Considerable efforts have been taken (and still are) to transform the mobility system and increase its sustainability, trying to exploit new technologies like digitalization, electrification and automation. However, the transformation of the urban mobility systems will not be achievable by relying on technologies or providing more sustainable mobility offerings alone, but calls for fundamental rethinking of space and the re-organization of our daily activities, e.g. to reduce the need for physical mobility and ensuring inclusive access for all societal groups at the same time.

Moreover, the 2020 Covid-19 pandemic is highlighting shortcomings of socio-economic developments and the limitations of our current mobility system and patterns or bottlenecks in the supply chains of everyday goods and services. However, our society has proven capable to adopt our way of living at very short time following the imperatives of the crisis. This suggests that radical required changes in decision-making and behaviour are possible.

The future city and urban mobility call for a new paradigm. City districts or neighbourhoods respecting human dimensions facilitate vivid and attractive urban spaces with bustling economic life that satisfies local needs in an effectively tailor-made way. Mixed urban land-use structures with a broad polyvalent offer for dwelling, employment, education, local supply, shopping, and cultural facilities are capable of satisfying our needs within a close perimeter. This mitigates the need for physical mobility without depriving local populations of alternatives for fulfilling their aspirations. At the same time, they contribute to a high quality of life, wellbeing and inclusiveness. In other words, this is mobility for *Baukultur*.

Such new mobility concepts must make an impact in traffic planning, which in turn requires integration into comprehensive and strategic urban planning, considering different mobility needs and supply and opportunities from a neighbourhood scale up to metropolitan or wider functional urban areas or inter-city mobility. People must be capable to fulfil their daily needs through active modes, public transport and other means, reducing the need for car-based mobility to a minimum.

The concept of the 15 minutes city provides a framework for such a fundamental redesign and the chance to overcome path-dependent developments. The 15-minutes city is characterised by compact, integrated city or neighbourhood structures with a high degree of self-sufficiency and local sustainability, though tightly interlinked with adjacent neighbourhoods and integrated in an effective interregional transportation network and sustainable supply chains. A fair public spaces distribution is required, supporting active mobility modes connected with the availability and easy accessibility of longer-distance modes and mobility options for regional linkage, whenever required. In terms of sustainable supply chains for goods and services, there is the need for bundling resources by cooperation, coordination and sharing among shippers, carriers as well as users and integrating environmentally friendly transport modes, alternative vehicles and active mobility for delivering goods and services.

With such an approach, the DUT partnership offers a holistic, people-oriented and challenge-driven perspective for the redesign of an urban mobility well suited for distinct aspects of doughnut economics and the overarching ambition of regenerative cities. It connects well to the functional design of neighbourhoods, takes advantage of new technological

developments (that might be incubated by other partnerships) to reduce the carbon-footprint of individual mobility systems. At the same time, it exploits the potential to reduce the demand for (car-based) movements, goods and services in urban areas and beyond and strengthens the urban sharing economy and circularity.

Accordingly, the DUT partnership proposes focusing on the creation of pathways towards 15 minutes cities and initiating a set of xxx locally embedded approaches towards 15 minutes neighbourhoods and cities. DUT supports stakeholder and public engagement to envision transformative structures for urban areas through co-design processes and delivers evidence for such transformation mechanisms, tools, and solutions to develop and test tailored concepts and ensure a mutual learning process for best practice exchange and transfer. It provides a tool-kit for monitoring and steering of related processes and evidence-based support for decision-making.

The following issues have to be considered regarding the shift towards the 15 minutes city:

- Investigate and exploit flexible and resilient models for urban transition in an integrated approach between spatial proximity, physical mobility and digital connectivity<sup>22</sup>
- Co-design 15 minutes city approaches in different urban settings (city size, available mobility infrastructure, mobility supply/service patterns, etc.) and address mobility options on various scales, from neighbourhoods up to regional scale
- Provide integrated and multi-modal mobility systems that are accessible and affordable for all groups of society
- Answer requirements for new governance as well as behavioural issues of people and organisations to implement the 15 minutes city (incentives, regulations, structures, standardization etc.)
- Develop new methodological approaches and toolkits for a holistic anticipation and monitoring of systemic effects (incl. side- and rebound effects) as a foundation for system steering
- Address opportunities for 15 minutes city pathways, among others related to conflicting interests, regulation, new value chains, required investments in infrastructure and services, etc.
- Trigger systemic change in how people move and how goods and services are delivered and create more flexible transport options along a broad set of innovations (social, organisational, technological, institutional), such as
  - Foster integrated (spatial) planning that supports active modes, reduces the needs for car-based travels and the number of private owned cars, creates alternatives for individual motorized transport and enables sustainable last-mile logistics solutions
  - Enable, regulate and assess micro-mobility services, local mobility hubs and micro-hubs for logistics as well as new urban mobility modes in a systemic context
  - Integrate regional and interregional mobility systems
  - Investigate new options and requirements based on the learnings from the current pandemic situation considering physical distancing, the newly created

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<sup>22</sup> Refer to the Triple Access System approach

<https://www.sciencedirect.com/science/article/pii/S0965856416302555>

mobility patterns, supply and service needs as well as ensuring availability and accessibility of multifunctional public spaces

- Reduce social inequality, in particular of vulnerable groups, increasing health and wellbeing as well as the sense of belonging to local communities and neighbourhoods
- Exploit and integrate technological options in the light of digitalisation to enhance the 15 minutes concept with “virtual mobility modes” such as telecommuting, online shopping with sustainable delivery and/or pick-up solutions, teleconferencing or virtual travelling, etc.

With this ambition, the 15 minutes city concept strongly supports the vision of the DUT partnership, offers a clear focus for the mobility pillar and creates strong interfaces and cross-cutting potential towards the other DUT pillars (see Figure 6).

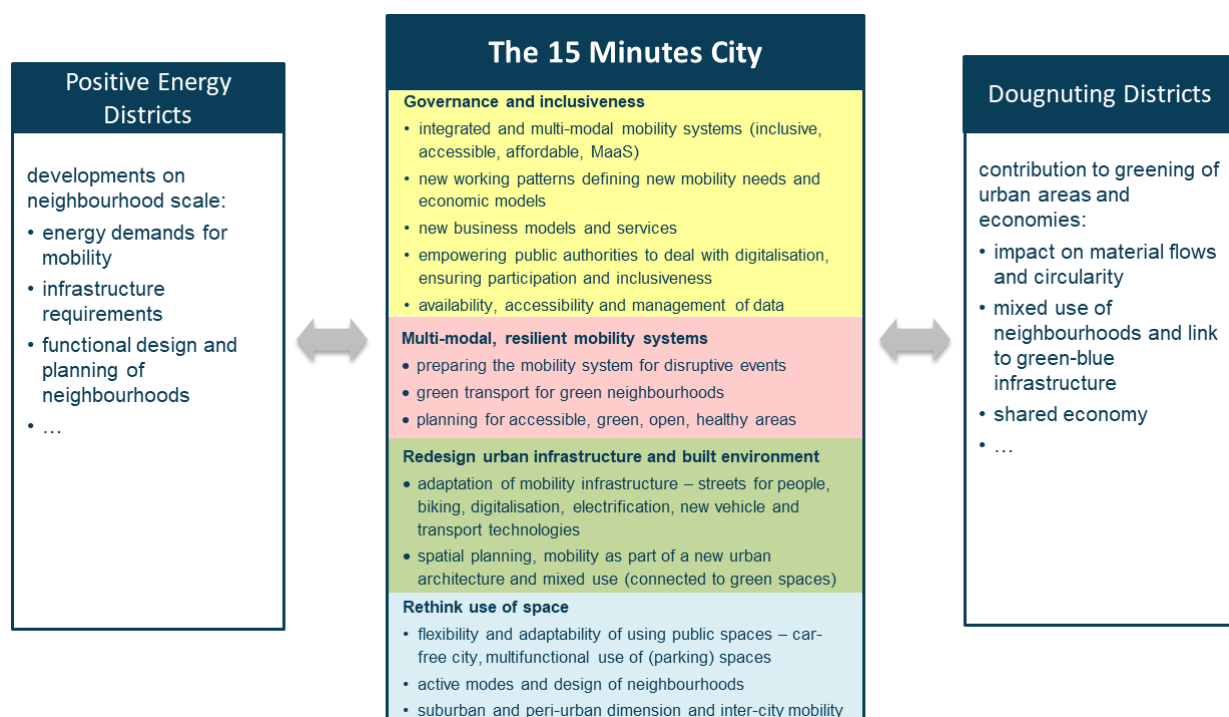


Figure 6: Interlinkages of the 15min city concept to the other DUT pillars

## 2.2.7 Downsizing District Doughnuts – An integrated approach for urban greening and circularity transitions

Urban liveability and cities' global footprints are two sides of the challenge on sustainable urbanisation. Although European urban areas nourish a relatively high degree of biodiversity (sometimes higher than in surrounding, non-urban landscapes),<sup>23</sup> from a regenerative point of view they are still fragile and not nearly as 'green' as needed to support human and planetary well-being including climate action. Contemporary cities and urban areas in Europe and beyond are vulnerable to disruptions of various kinds, be it through heat waves or other weather events, by food scarcities, financial turbulence, mobility disruptions, etc.

<sup>23</sup> Cf. EC (2020) 'Natura 2000 in Cities',

<[https://ec.europa.eu/environment/nature/natura2000/management/pdf/Natura\\_2000\\_in\\_cities.pdf](https://ec.europa.eu/environment/nature/natura2000/management/pdf/Natura_2000_in_cities.pdf)>, p. 7.

Furthermore, as 75% of global natural resources are consumed in cities and urban areas, and as increasing scarcity of resources, such as fertile land, nutrients, clean water and air as well as raw materials (metals, wood and plastics) is expected,<sup>24</sup> they exert high pressure on human and planetary well-being. Added to this is the emissions of GHGs and generation of waste (e.g. plastics, chemical pollution, particles).

By developing and improving Green-Blue Infrastructures (GBIs) and Nature-Based Solutions (NBS) overall urban liveability, public health, and urban robustness may be considerably improved together with cutting-edge approaches to clean-tech and entrepreneurial creativity. In combination with an increased circularity in urban economies, this is a crucial aspect towards urban transitioning. Cities and urban areas are attractive starting points for making the global transition to liveable societies and circular economies. Through targeted governance measures, city authorities have great potential to define and implement measures and regulations for public procurements, for business activities, for consumption and resource management. Most of today's industrial production needs to be reshaped at all levels for it to become more circular: From uptake and use of resources, design and production processes to logistics and distribution – the focus needs to be on circularity and sustainability. This is particularly evident from an urban robustness perspective on current European urban systems, e.g. around food security.

Advancing 100 doughnut districts by 2030 is a concrete strategy to support human and planetary well-being (including climate action). By assessing districts through how they perform in terms of 'city doughnuts', these assessments and the actions are set up on an adequate level to address the challenge and will effectively guide and shape interfaces between urban greening approaches, urban circular economies and integrated approaches to both. In addition, they support and are supported by PEDs and the 15 minutes city actions, as well as to contributing to greening and circularity objectives of the Green Deal.

City doughnuts hold two circles, one within the other. These circles represent, on the one hand, the outer ring of the nine planetary boundaries<sup>25</sup> and the degree a city's contribution is to its overshooting. On the other, the inner ring represents nine sectors<sup>26</sup> that together comprise societal limits to well-being and prosperity and the degree of a city's shortfall to secure them.<sup>27</sup> To downsize doughnuts is to support the safe and just space with regenerative and distributive economies in between these two boundaries. The doughnut is thus a principle to address the challenge of keeping urban areas within the critical zone of sustainable resource use and liveability, and foster operative local integrated models. In turn this requires broad co-creative innovation in both urban sociotechnical systems and experimental approaches on a community and neighbourhood level. Hence, a strong experimental ethos would be fostered on the district level as it is a relational bite-size chunk of contemporary urban complexity. Activities, projects, and initiatives to make districts thrive

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<sup>24</sup> EMF, 2012

<sup>25</sup> Climate change, ocean acidification, chemical pollution, nitrogen and phosphorus loading, freshwater withdrawals, land conversion, biodiversity loss, air pollution, ozone layer depletion.

<sup>26</sup> Food, health, education, income and work, peace and justice, political voice, social equality, gender equality, housing, networks, energy, water.

<sup>27</sup> The doughnut principle, see e.g. Raworth, K. (2017), 'A Doughnut for the Anthropocene: humanity's compass in the 21st Century', *The Lancet*, 1 (May 2017), pp. 48–49, <[https://www.thelancet.com/pdfs/journals/lanplh/PIIS2542-5196\(17\)30028-1.pdf](https://www.thelancet.com/pdfs/journals/lanplh/PIIS2542-5196(17)30028-1.pdf)>. See also the pilot activities by the City of Amsterdam to launch a city doughnut approach, <<https://www.kateraworth.com/wp/wp-content/uploads/2020/04/20200406-AMS-portrait-EN-Single-page-web-420x210mm.pdf>>.

and move in-between the limits of planetary boundaries and human liveability will also be crucial for cohesion and trust building through co-creation and the open innovation required with a strong public engagement component.

Downsizing district doughnuts hinges on the three Rs of reduction, regeneration, redistribution in order to break the unsustainable linear economies of make-sell-waste. Increased efficiency in resource use alone comes with risks of rebound effects, hence reuse is not enough and a reduction of consumption is needed to keep within the ecological ceiling. In turn, this means that transition pathways towards regenerative cities and urban areas are needed, in order to be active upcyclists and drive a planetary economy. As boundaries and operational limits are set, this furthers redistribution among practices and actors to foster sustainable urbanisation.

The DUT partnership will provide knowledge, experience and be a sparring partner for local administrations, governments, industry and society on how to speed up the process for urban areas to become circular. The transitions driven by doughnut districts requires systemic change at all levels.

- Governance and mobilising people for change. A doughnut district approach contributes to support existing networks, groups, initiatives, 'urban doers' engaged in urban transformation activities; it also contributes to trust creation and de-fragment or connect the dots between silos/sectors. Just transitions, social equality, gender equality, experimental capacity building, education and public engagement in assessing and monitoring urban transitions.
- Circularity. A doughnut district approach contributes to transitions in terms of supporting metropolitan industries and new urban economies with circular growth at the core of urban strategic planning, sharing cities, closing the loops, clean metropolitan industries, new urban manufacturing, urban agriculture, energy, and water approaches to increase robustness, circular growth and thriving cities, income and work.
- Sustainable spatial planning. A doughnut district approach translates land-use and spatial/territorial planning regarding into integrated urban development. NBS to close the loops on a comprehensive level, development and support for high quality open and accessible GBI, and the general boost to public health, local employment, connect greening with affordable housing to counteract gentrification dynamics.
- Urban design. A doughnut district approach supports the (re-)development of attractive built environments concerning liveability and wellbeing, and the urban design aspect of 'high quality' public spaces, increase urban sense of place, to carry peace and justice and political voices.

The four focus areas reflect that urban economic activities do not operate in a vacuum, but in a cultural context that mirrors the behaviours and practises amongst consumers, producers, regulators and administrations. The urban form, setting, land-use, public spaces, mobility, transport and digitalisation strategies set the game plan. It is not just the circular economy that needs to be built into the complex urban webs of power, interests and dilemmas, but also the culture of working and actors' capacity to deal with the urban dilemmas around resource scarcity and frugal innovation.

With regard to increased global turbulence (climate change, pandemics, finance markets) increased urban robustness and resilience through urban greening and circularity, as well as

metropolitan industry, should be aimed at to provide urban systems the required response paradigms built on 'safe to fail' adaptability principles as a baseline for urban resilience rather than conserving current ill-suited and fragile linear systems. The focus on districts and neighbourhoods enables small and mid-size cities (SMCs) as well as larger city administrations and authorities to join and 'compare notes' on how to make the change in practice workable.

For the circular economy to become reality, the transition must be equally driven in the public sector as well as by commercial actors and civil society. Public purchasing and development strategies must inspire the business sector's process to become climate-neutral, circular and clean. By the means of well-functioning science-society and science-policy activities and engaged, multi-sectoral networks, the partnership will be an important catalyst for the necessary collaboration between decision makers, public and commercial, the R&I communities and society to happen.

Together with energy and mobility transitions, the efforts in developing GBI and NBS as well as circularity, can promote urban transformations. For instance, increased focus on the opportunities and challenges in urban agriculture and urban eco-system services through nature-based solutions, from neighbourhood levels over urban functional areas up to peri-urban areas and the urban-rural continuum, is needed to shape robust green infrastructures to increase desired resilience and adaptive capacities.

Issues that require consideration by the pillar:

- Create a community of practice and a common language among urban actors (public administrations, commercial actors, civil society, and R&I) across Europe
- Develop how to monitor doughnut transitions in the right way, including what kind of data as well as the need for local authorities to be able to share and compare approaches
- Reprogram the present way of funding urban projects in a way to include the liveability and wellbeing aspects more as well as innovative business models
- Operationalise a dilemma-based approach to urban greening and circularity to support urban robustness through doughnut strategies in urban districts
- Transform from linear- and large-scale sociotechnical approaches to circular small-scale approaches across neighbourhoods to urban functional areas
- Establish the urban partnership as a relevant knowledge hub and platform for knowledge and technology exchange on crucial doughnut boundary aspects on urban greening, nature-based solutions, and circular economy transitioning in urban districts
- Address urban practices, including culture, attitudes and behaviours, techno-economic systems approaches, regulatory frameworks, obstacles and drivers to carry out district level doughnut strategies

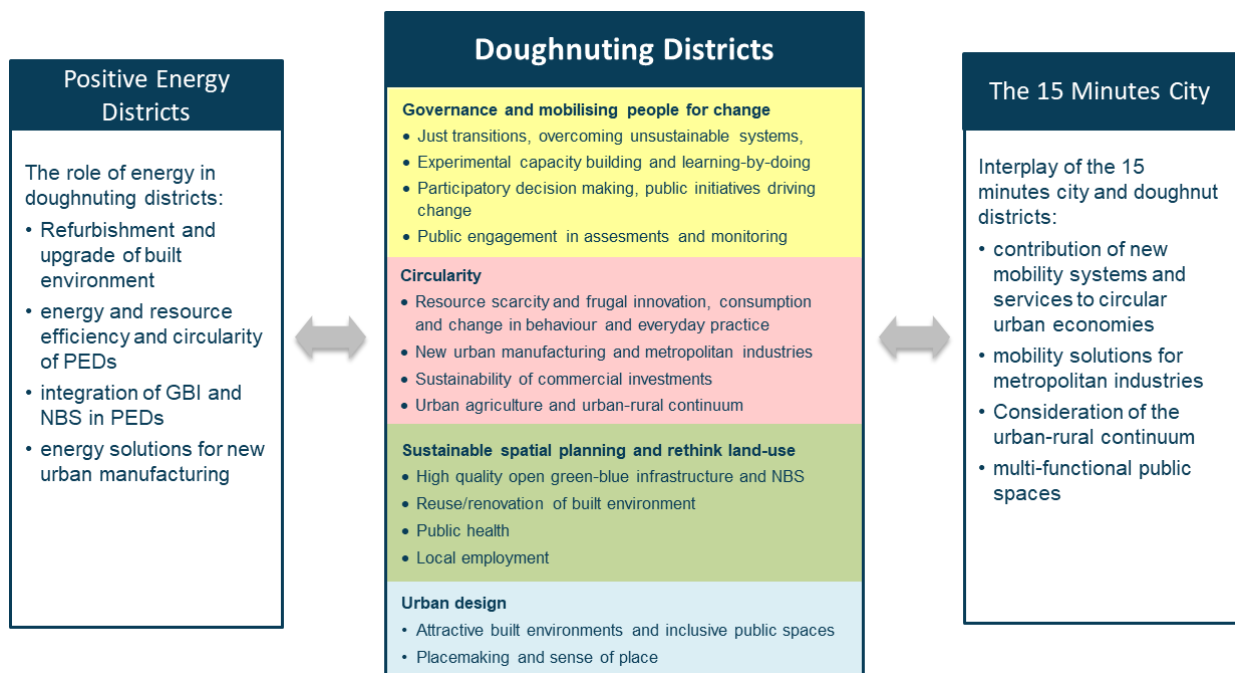


Figure 7: Interlinkages of the 100 Doughnutting Districts to the other DUT pillars

With this concept of mission-oriented pillars integrated into a comprehensive programme, the ambition of the existing Member States networks to drive urban transitions can be significantly enhanced and extended towards the key domains for urban transitions and climate neutrality.

The partnership will build upon and integrate already existing European activities and ERA-NETs in the related areas. All of the mentioned ERA-NETs or actions were or are managed by the JPI Urban Europe:

#### 1. Urban energy transition

- **ERA-NET Smart Cities and Communities:** The outcome of this ERA-NET Co-fund revealed the need to address the urban energy transition in a place-based rationale and suggested the conclusion that a “Smart Cities” narrative would not lead to a broad take-up on urban level. Therefore, the concept of a place-based programme on Positive Energy Districts was developed together with SET Plan Action 3.2. Based on this insight, the consortium decided to fund the administrative and structural set-up of the trans-national programme on Positive Energy Transition.
- **SET Plan Action 3.2 on Positive Energy Districts:** The PED programme is being set up as a trans-national R&I programme organised by JPI Urban Europe since October 2018. After building a programme management structure and a Europe-wide consultation process on the theme of PED in 2019, the programme will publish its first joint call in early 2020. The programme is unique in its mission to establish 100 positive urban energy districts in Europe by 2025 and in its pledge to do this by working with cities and the real-estate sector on eye-level. It is following the place-based rationale developed in the JPI Urban Europe.
- **ERA-NET Positive Energy Districts:** The ERA-NET Co-fund will be established in 2020 and managed by the JPI Urban Europe. It will run the second and third call in the series of joint calls under the PED programme.



## 2. Capacity building for urban transitions

- **ERA-NET Smart Urban Futures:** Outcomes in many cases driving a wider sense of smart urban approaches to issues concerning inclusive, vibrant and accessible urban communities, new dynamics of public services and innovation governance, and concepts and strategies for smart urban transformation, including growth and shrinkage.
- **ERA-NET Enhancing Urban Transformation Capacities** (to be established in 2020 under Horizon 2020)

## 3. Increasing circularity

- **ERA-NET Sustainable Urban Global Initiative** focusing on food-water-energy nexus: By bringing together the fragmented research and expertise across the globe the aim of this ongoing ERA-NET Cofund is to find innovative solutions to the Food-Water-Energy Nexus challenge. Activities by urban R&I actors focus to develop more resilient, applied urban solutions that bring inter- and trans-disciplinary research and innovation together from across the globe, to benefit a much wider range of stakeholders.

## 4. Urban mobility transition

- **ERA-NET Urban Accessibility and Connectivity** (currently under implementation): aims to create a portfolio of research and innovation projects that support the implementation of Sustainable Urban Mobility Plans and decision making for public and private investments in infrastructures and services. Scenario decision-making (including public and private spheres as well as communities) and innovations (technological, organisational and institutional as well as social). Projects are expected to contribute to the transition towards sustainable, inclusive, accessible and affordable mobility systems.

All these activities and funded R&I projects created valuable knowledge, methods, tools, solutions and references regarding the transformation of the various domains. However, so far, the various sectors and key issues related to sustainable urban development have been addressed mostly with individual calls and sequential actions. To boost urban transformation these efforts must be continued and strengthened, their interrelationships must be better considered and synergies across these and other sectors exploited.

In this sense, the partnership aims to step up the ambition and address the sectors with an integrative and holistic approach in a more comprehensive, continuous and connected way. It will also create knowledge and evidence along the recommendations of the report on Global Sustainable Development by addressing the interrelatedness of urban systems, strategies and goals and thus contribute to changing key systems of our cities with a significant impact on our urban economies and societies.

Giving stronger focus on the selected sectors will also strengthen the involvement of business, from industry to entrepreneurs and social innovators. Within the urban innovation system business innovations play a very particular role – with municipalities as main investors and procurers on the one hand and citizens and users of new systems and services on the other. Public and private business models must be redesigned along the transition pathways and integrated well into the overall planning and management of neighbourhoods and urban areas to ensure inclusiveness, accessibility, efficiency and effectiveness of new business solutions. By creating an urban innovation eco-system involving all urban actors the

underlying conflicts of interests, ambitions and needs can be addressed and solutions and approaches co-designed.

Programme management efforts will be increased by enhancing capacities for synthesis, stepping up the dissemination and exploitation activities, connecting research and policy, establishing a European knowledge hub on urban transitions that connects existing R&I networks in the three sectors and possible connected domains. The critical issue of replication will be addressed as this is still seen as one of the most critical issues in increasing the impact of R&I investments. Although various efforts have been and are taken regularly to support replication of good practice effects are still limited. Formats and capacities need to be put in place to allow a variety of opportunities for peer-to-peer learning, trainings, experimentation and scaling-up.

In this sense the partnership also allows to take advantage of the results achieved in the above-mentioned ERA-NET Cofunds (and those of other related projects generated in various EU programming frameworks), bring them towards implementation, replicate good practice, mobilise and support more cities to take up action. It is expected that the partnership will also allow to develop and establish formats and instruments bridging towards larger scale investments and by that help closing the gap between research and urban practice and policy.

On an operational level this requires the mobilisation and involvement of a wider set of partners – broadening the spectrum of funding agencies and programme owners to cover the entire innovation and value cycle as well as establishing cooperation mechanisms with research, city and business networks to strengthen capacity building across all stakeholder groups.

### **2.2.8 Interfaces and relationships with other Partnerships**

With its focus to address urban transitions in an integrative way, considering particularly the needs of the energy, mobility and circular economy sectors, the partnership offers interfaces to various other candidate partnerships. It also aims to take use and build upon specific sectoral developments and achievements and join forces on urban related aspects and implementation (see Figure 8). Besides the general interfaces to various sectoral networks, a set of partnerships is seen as highly relevant/important for this proposal and its ambition. For example, close relationship to the partnership on Clean Energy Transition exists, as well as (some more details can be given on the rationale for including the energy-related PED programme in the urban partnership with partnerships dealing with Build environment, food, biodiversity and mobility).

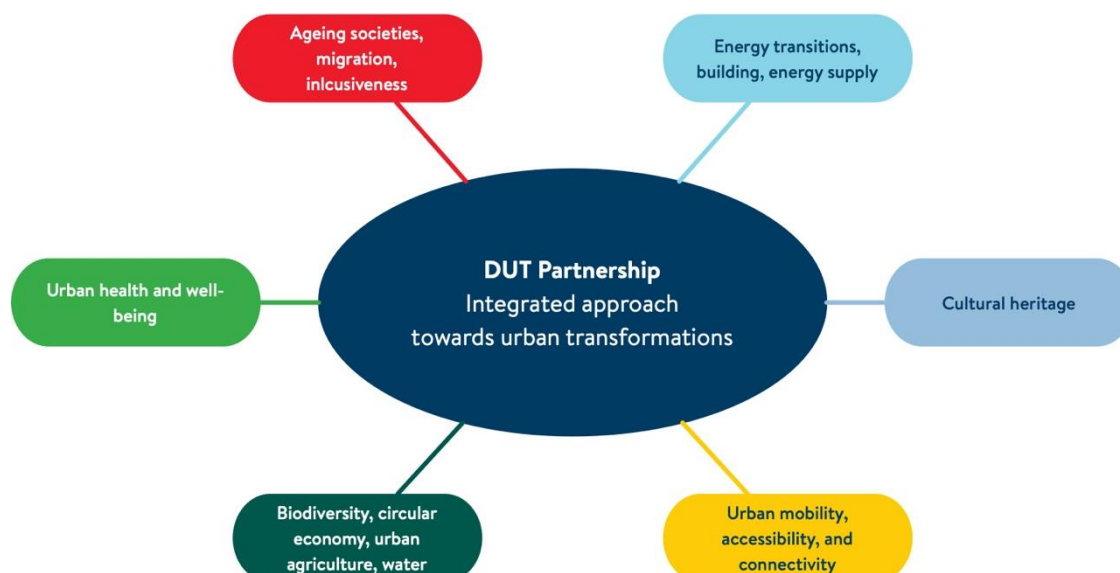


Figure 8: indication of interfaces to various sectoral fields, offering potential for cooperation with other partnerships, networks or projects.

The details on possible modes of interaction and cooperation with these other partnerships will be elaborated during the further process. However, Table 3 gives an overview of possible interfaces.

Table 3: Overview of related partnerships and potential interfaces and modes of interaction

Partnership	Potential interfaces	Possible modes of interaction
<b>Clean Energy Transitions</b>	As far as the CET partnership is discussed at this point, it is expected to focus on key challenges in energy technology and system innovation and create evidence for smart and integrated energy systems. On the other hand the DUT partnership targets the utilization of such technologies and (sub-)systems for the transformation of neighbourhoods and districts into positive energy ones. This shapes an interface when it comes to the application of energy technologies and systems into the urban context as well as the role regional energy systems play in the provision of renewable energies for urban areas.	Regular exchange on a strategic level to mutually consider latest developments and align actions.  Joint calls on selected topics to integrate issues related to energy technologies and systems into the urban context and particularly in the context of Positive Energy Districts  Joint workshops to connect the communities, also with regard to the impact energy technologies or energy transitions have on other sectors and areas, in particular mobility and circular economy
<b>Built environment and construction</b>	The transformation of our built environment and the housing stock is a key element to achieve sustainability targets. The construction and energy management of buildings play a crucial role in the energy transitions and the efforts taken by the DUT partnership on Positive Energy Districts and Neighbourhoods. On the other hand, new technologies, materials and solutions for construction contribute to improving circularity and resource efficiency. In this context there is a high potential to take up,	Contribution of building and construction related R&I results, in form of new materials, technologies, processes and services to the DUT priorities and activities. Such results could be taken up in DUT calls in the context of PED, dissemination and exploitation activities to validate and test their potential from an integrated urban development perspective, by considering aspects of systems integration, urban governance, public sector innovation, behavioural aspects, etc.

	<p>validate and integrate research and innovation results of the Built environment and construction partnership in the DUT partnership.</p>	<p>Identifying stakeholder needs and raising research and innovation demands from the DUT perspective towards the Built environment and construction partnership.</p> <p>Facilitating joint discussions with all stakeholder groups to ensure alignment and foster dissemination and take-up of new solutions, products and services across Europe.</p>
<b>Rescuing biodiversity</b>	<p>Without knowledge-based planning and management of land-use and natural resources, the pressure brought on by rapid urbanization might lead to significant conflicts in urban areas, risking reduced biodiversity and negative consequences for human wellbeing, economy and social equity. A targeted collaboration with the partnership <i>Rescuing Biodiversity to Safeguard Life on Earth</i> will therefore be prioritized.</p>	<p>Regular exchange on a strategic level to mutually consider latest developments and align actions.</p> <p>Joint calls on selected topics to integrate issues related to biodiversity in the urban context</p> <p>Joint workshops and outreach on urban biodiversity and adjacent topics, like nature-based solutions in cities, greening of cities and circular economy</p>
<b>Safe and Sustainable Food System</b>	<p>Urban collaboration, engagement and infrastructure are vital for the development of the food system of the future. In this sense, cities and municipalities must take part in ensuring consumer trust, safety, quality and traceability. Approaches to foster urban agriculture can be tackled together to combine the experiences and requirements from the urban perspective and the agriculture sector.</p>	<p>Joint efforts to engage relevant actors in the necessary efforts.</p> <p>Possibly joint calls.</p> <p>Joint workshops to connect the communities, also with regard to urban agriculture, sustainable production and consumption as well as circular economy</p>
<b>2ZERO</b>	<p>The electrification of vehicles is one of the enablers for sustainable transportation and mobility. While 2ZERO is expected to accelerate the development of zero tailpipe emission vehicles with a system approach, with user centric solutions and technologies including recharging infrastructures and grid interaction, DUT offers a framework to investigate the impact and potentials of such solutions in the urban context. This could comprise aspects related to integration of new solutions in sustainable mobility systems, impacts on land use, public procurement and new business models, new services, behaviour and societal impacts, consequences for circular economy and energy systems, etc.</p>	<p>Contribution of R&amp;I results created by 2ZERO projects, in form of new vehicles, systemic solutions, infrastructure requirements and services to the DUT priorities and activities. Such results could be taken up in DUT calls, dissemination and exploitation activities to validate and test their potential from an integrated urban development perspective, by considering aspects of systems integration, urban governance, public sector innovation, behavioural aspects, etc</p> <p>Identifying stakeholder needs and raising research and innovation demands from the DUT perspective towards the 2ZERO partnership.</p> <p>Facilitating joint discussions with all stakeholder groups to ensure alignment and foster dissemination and take-up of new solutions, products and services across Europe.</p>
<b>Cooperative, Connected and Automated Mobility (CCAM)</b>	<p>CCAM offers new opportunities for sustainable mobility in particular in urban and peri-urban areas. While the CCAM partnership focuses on large-scale demonstration pilots, including the development of automated vehicles</p>	<p>Contribution of R&amp;I results created by CCAM projects, in form of new technologies, infrastructure requirements and services to the DUT priorities and activities. Such results could be taken up in DUT calls, dissemination and</p>

	<p>(passenger and freight), required communication systems and services as well as related infrastructure, DUT aims to address and exploit the potential of such new developments from an integrated perspective. This could include the analysis and validation of new mobility models, considering implications for business, governance and citizens, building capacities in policy, city administration and society to assess the potentials and identify further R&amp;I needs.</p>	<p>exploitation activities to validate and test their potential from an integrated urban development perspective, by considering aspects of systems integration, urban governance, public sector innovation, behavioural aspects, etc.</p> <p>Identifying local stakeholder needs and raising research and innovation demands from the DUT perspective towards the CCAM partnership.</p> <p>Connecting projects and facilitating joint discussions with all stakeholder groups to ensure alignment and foster dissemination and take-up of new solutions, technologies and services across Europe.</p> <p>Supporting dissemination of results towards Member States, regions, cities and municipalities, also in terms of national framework conditions, capacity building or replication.</p>
<b>EIT Urban Mobility</b>	Under development	
<b>Water4All</b>	<p>Urbanization has a major impact on entire water systems including groundwater systems. Blue infrastructure is a key element to be considered for sustainable urban development. In the context of circular economy the efficient use of water resources plays an essential role. On the other hand the demand for water and the management of wastewater in urban areas defines a challenge for the water sector. The utilisation of new water technologies, processes and services in cities and municipalities will highly contribute to urban transformation and the shift towards circular economies.</p>	<p>Regular exchange on a strategic level to mutually consider latest developments and align actions</p> <p>Activities for knowledge sharing.</p> <p>Possibly joint calls on selected topics to integrate issues related to water and blue infrastructure in the urban context</p>

Other links may be explored, notably partnerships on other modes of transport, such as rail, aviation or waterborne, as well as with digital partnerships (e.g. on smart networks and services).

In the field of energy, the DUT partnership is focusing on energy transitions through PED and **envisions a close collaboration with the SET Plan**, as JPI Urban Europe is already cooperating with the SET Plan Action 3.2 and running the programme management for this Action, which focuses on the creation of Positive Energy Districts (PEDs). Among the technology initiatives of the SET Plan, this action is unique, in that there is evidence to show that the implementation of most urban solutions does not follow a market logic, but rather a place-based rationale.

This results from three characteristics:

- the complexity of the urban system and the resulting interrelations between the energy, mobility and circular economy systems;
- the integrative role of energy and urban planning and permit-giving in the creation and refurbishment of the urban built environment;
- the need to ensure the well-being of citizens and urbanites in an inclusive way, in order to uphold and enhance the liveability of cities and urban areas.

At the same time, industry is not an investor in the development of the urban built environment. The two main actors that invest in urban infrastructure are:

- municipalities, as they are responsible for the energy planning, urban planning and permit-giving process, and who (supported by energy utilities) invest in the urban infrastructures needed to run our built environment;
- real-estate companies, that create and manage the buildings.

Although energy efficiency and social inclusiveness are important policy goals in the urban context, the real-estate market has proven unable to deliver on those goals. This is why city administrations need to design innovative policy measures and related administrative procedures, in order to ensure the liveability of their urban spaces and the well-being of their inhabitants. However, as innovation is not necessarily part of the mandate of cities (especially when they are of small or medium size), they will need support to mobilise additional resources on top of their day-to-day administrative tasks.

The experience of the JPI Urban Europe has shown that it is imperative to work with cities and urban areas on eye-level, and in a structured and programmed way, in order to bring about the innovations needed for the energy transition on urban systemic level. This goes hand in hand with the approach taken in the prospective co-funded urban partnership. The partners of the DUT partnership therefore believe that it will be essential to further implement the programme on Positive Energy Districts (PED) in this context. Therefore, the DUT partnership will address energy transitions related issues by focusing exclusively on PED.

### **2.2.9 Estimated investments needed**

Boosting urban transformations and creating a European R&I eco-system in support of such developments requires on the one hand knowledge about how to tackle the wicked issues underlying the need for these transformations and on the other hand the co-design of city- or community-specific approaches and solutions. From neighbourhoods and towns up to metropolitan areas, we must mobilise and support European cities and municipalities of all sizes, to turn urgent challenges and risks into opportunities and solutions. This requires an efficient and effective interplay of R&I programmes, efforts and investments across all levels. As indicated in Figure 9, the partnership will not only follow its vision and aims, but also realise these, in alignment with national and European efforts and investments.

The partnership will invest in challenge-driven and strategic research and innovation on key issues and build capacity across countries and regions. It will align nationally and regionally funded R&I projects and activities and facilitate learning, replication and mainstreaming across Europe. The national programmes involved allow to reach out to a wider set of municipalities, to promote and disseminate approaches and solutions, tailor-made to deal with national particularities and to overcome language-, legal- or regulatory barriers.

Overall estimate of required resources for partnership: EUR 30–40 million per year

National/regional investments estimated by EUR 20–30 million per year

Detailed overview according to implementation actions: to be elaborated

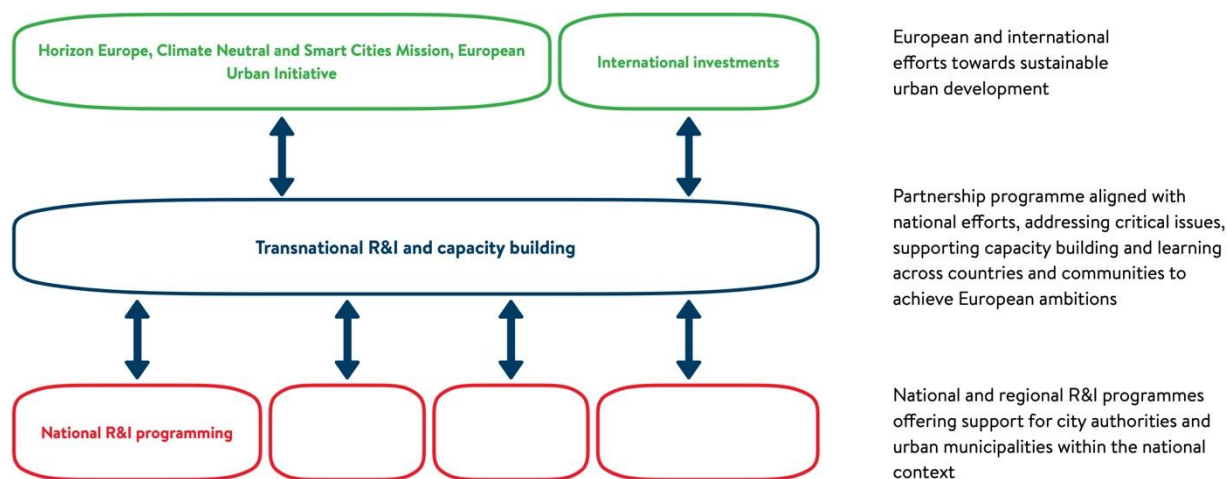


Figure 9: overall European R&I efforts and resources addressing urban innovation and transformation.

### 2.2.10 Exit strategy

The proposed Partnership is based on a network with a governance system that allows self-sustainability for the core operations. The management of the network is financed by national in-kind contributions mainly, including also a membership fee allowing to run some basic infrastructure.

While the partnership and the co-funding of the EC will allow to step up the ambitions, widen the portfolio of activities and extend the management capacities, it is planned to maintain the modus operandi of national in-kind contributions and fees. The Governing Board, the decision making body, will discuss immediately after the launch of the partnership and agree on the corresponding model.

As part of the overall development process of the partnership and the preparation of the annual work plans, reflection on strategy, priorities and achievements will take place to assess future opportunities, national interests and priorities. The results of such reflections are discussed in the decision-making body of the partnership to identify needs for action in an early stage. This also involves the continuation of the partnership after the EC co-funding has ended. It is expected that in the final phase of the partnership another strategy process will be implemented to assess the interests and priorities for continuing the cooperation of Member States.

### 2.3 Necessity for a European Partnership

As indicated in Table 1, the partnership clearly relates to European policies – from the Green Deal and the Urban Agenda for the EU up to the renewed ambition of the ERA. Several reports on the SDGs as the overarching ambition globally emphasise the importance and relevance of the urban and peri-urban setting for achieving these goals. With its vision and proposed priorities and implementation actions the partnership offers additional capacities to work on the European perspective and approach on that highly important and urgent matter.

The core added value is seen in the combination of addressing key sectors for European transformation, with the integrated and holistic urban perspective as a central node for these transformations to play out. In this sense, it aims to establish as the programme that offers an urban innovation ecosystem that can also be used by sectoral partnerships for bringing particular (technological) solutions into the urban context and assess or validate the requirements and opportunities for their implementation from a systemic and multi-stakeholder perspective.

It is also expected that with this approach the partnership will contribute to the implementation of the European mission on Climate Neutral and Smart Cities. The aim of achieving 100 climate neutral cities by 2030 is ambitious in itself but only the tip of the iceberg to achieve the goal of climate neutrality by 2050. Whatever concrete actions the Mission Board will define, it will be key to bring the spirit of urban transitions to as many cities as possible, including smaller and medium sized cities who are faced with urban challenges with a different quality than larger, particularly Superstar Cities.<sup>28</sup> As small and medium sized cities in many cases are difficult to reach through European level programmes and activities, the partnership, as a cooperation of national programmes and authorities, can support and complement such efforts (see Figure 9). A first self-assessment of cities' engagement in activities implemented by JPI Urban Europe have shown that it was indeed possible to mobilise mid-sized cities to a higher degree than what has been realised in Horizon 2020.<sup>29</sup>

In addition, current debates show that it is not only a matter of creating new knowledge and evidence but also about building capacities to take better use of existing experiences, solutions and approaches. Shaping a platform for dissemination, replication, peer-to-peer learning is key to speed up sustainable urban development. The partnership aims at shaping and facilitating such a platform (strategic programme management) through its portfolio of activities. This opens manifold opportunities to connect and support also projects funded from other national or European programmes as well as directly contribute to the European mission.

Besides the funding of city administrations and other public bodies, a strong involvement of all relevant stakeholder groups as well as a clear impact for citizens and societal actors is called for by Horizon Europe as well as the missions. The urban partnership clearly aims to work along those lines – by considering societal and municipal needs for research and innovation, facilitating local experimentation and participatory approaches through Urban Living Labs, involving societal actors and civic organisations in multi-stakeholder dialogues.

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<sup>28</sup> Florida, R. (2017) *The New Urban Crises: How Our Cities Are Increasing Inequality, Deepening Segregation, and Failing the Middle Class - and What We Can Do About It*, New York: Basic Books.

<sup>29</sup> S. Meyer, et al. (2019) 'Engagement of Cities in JPI Urban Europe', self-evaluation report, internal document



This is expected to result in bringing R&I closer to people and creating evidence for inclusive, attractive, just and sustainable neighbourhoods and urban areas.

Urban Living Labs in the partnership will hence be developed even more into urban transformation nexus to build capacities for learning, co-creating, and capitalising on existing knowledge, solutions, and approaches. This will be done by supporting local levels in a genuinely challenge- and dilemma-driven way, which enables the various local settings with all their idiosyncratic characteristics and ongoing processes to tackle global and common challenges while tailoring the responses and ways forward to suit them. The partnership will apply and foster such approaches whether it is addressing backbone infrastructures for robustness or design governance for inclusive public spaces or organisational change to capitalise on digitalisation or innovation governance for urban liveability. Urban living labs are thus a crucial part in finding integrated urban development and governance along the lines of the forthcoming Leipzig Charter 2020. In this sense, the urban living labs spark and re-ignite local or even neighbourhood urban innovation ecosystems and will harness urban R&I for the transnational movement towards urban transformations.

In addition, the partnership concept requires a stronger coordination at the national level. Addressing and cooperating with stakeholders and policy makers from the sectors on energy, mobility and circular economy as well as related domains requires cross-sector and cross-policy cooperation on all levels. This is already anticipated in the governance model by including national coordination mechanisms as well as a cooperation with funding agencies and programme owners.

The cooperation of national programmes and policy makers also allows to consider national regulations, frameworks and urban planning conditions to a wider extent, facilitate peer-to-peer learning within and across countries and connect global strategies to local actions.

This national coordination is complemented by a transnational alignment and cooperation of R&I funders, programme owners and public authorities. The partnership allows to establish an urban innovation ecosystem across countries and regions, benefit from national programmes and expertise on a European level. In terms of strengthening European efforts on sustainable urban development, the partnership creates a platform for governmental bodies to exchange on approaches and experiences (e.g. between national programming, Horizon Europe, and relevant investments from ESIF funds and programming towards sustainable urbanisation, such as Urban Innovation Actions (UIA) and URBACT<sup>30</sup>) to drive urban transition pathways towards transformation.

Through such a transnational cooperation, national and regional agencies do not only cooperate in joint funding but in developing most appropriate framework conditions for urban R&I – from strategic research up to all forms of innovation and validation. Supporting co-creation, multi-stakeholder involvement and local experimentation requires framework conditions that are open for all stakeholder groups and that go beyond traditional approaches of research funding. Such transnational networks, including funders, R&I programme owners as well as city networks, are crucial for showcasing and sharing experiences because

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<sup>30</sup> cf. Fioretti, C., Pertoldi, M., Busti, M. and Van Heerden, S. (eds) (2020), *Handbook of Sustainable Urban Development Strategies*, EUR 29990 EN, Publications Office of the European Union, Luxembourg.

municipal and local governments are the implementation level of national sustainability policy.<sup>31</sup>

Efforts to improve these conditions are ongoing among the JPI Urban Europe funding agencies and programme owners but are sometimes limited due to national legislation. Research indicates that transnational multi-stakeholder partnerships, e.g. for tackling the SDGs, yield better results if they leverage local ownership and if the institutional and policy environment is favourable to them.<sup>32</sup> The partnership offers opportunities to take such developments further, widen the spectrum of agencies involved and improve the conditions for integrated urban R&I projects. This would not only lead to transnational cooperation to realise a common agenda and contribute to the wider European ambition but also to advance national frameworks towards transformative research and innovation.

As urban policy is mainly a matter on national and regional level, a European Partnership is an important vehicle for connecting the related sectoral policies. For example, the DUT partnership could team up with the UAEU partnerships more strongly with regard to research and innovation aspects and, depending on the final plans for the next phase of the UAEU, offer support for the implementation of approved action plans or the preparation of new ones. In cooperation with stakeholder networks, such as Eurocities, ERRIN, CIVITAS, POLIS, ICLEI and others, joint efforts can be taken to mobilise cities and raise awareness of good practice. Leveraging the potential of research results from various European programmes, including CIVITAS, URBACT, UIA, Horizon 2020 SC 5 generated projects around Nature-Based Solutions in Smart and Sustainable Cities, etc., to the wider European communities and regions will help to increase the impact of the related investments in R&I. While the partnership is aiming to connect to H2020 and Horizon Europe funded city-related projects, it at the same time will realise a comprehensive programme management, building upon a wider portfolio of implementation measures beyond joint calls. For doing so, the partnership will build upon and enhance the efforts of JPI Urban Europe in facilitating multi-stakeholder cooperation, experimentation on local level, dissemination of research results towards policy making, capacity building in research, policy and society. Through such activities it will also aim to connect to and complement implementation measures established for the mission on climate-neutral cities.

As JPI Urban Europe receives more and more interest from countries outside Europe, the launch of a European Partnership also offers the opportunity to establish as the European hub for international cooperation on urban transition pathways. According to the proposed portfolio of implementation measures, this international cooperation does not only include joint funding, but also capacity building as well as dissemination and replication activities and thus allows various international organisations to connect to and engage in the partnership.

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<sup>31</sup> Bai, X. et al. (2019) 'Networking urban science, policy and practice for sustainability', in *Current Opinion in Environmental Sustainability*, 39:114–122, p. 114.

<sup>32</sup> Valencia, S. C. et al. (2019) 'Adapting the Sustainable Development Goals and the New Urban Agenda to the city level: initial reflections from a comparative research project', in *International Journal of Urban Sustainable Development*, 11 (1): 4–23, p. 15

## 2.4 Partner composition and target group

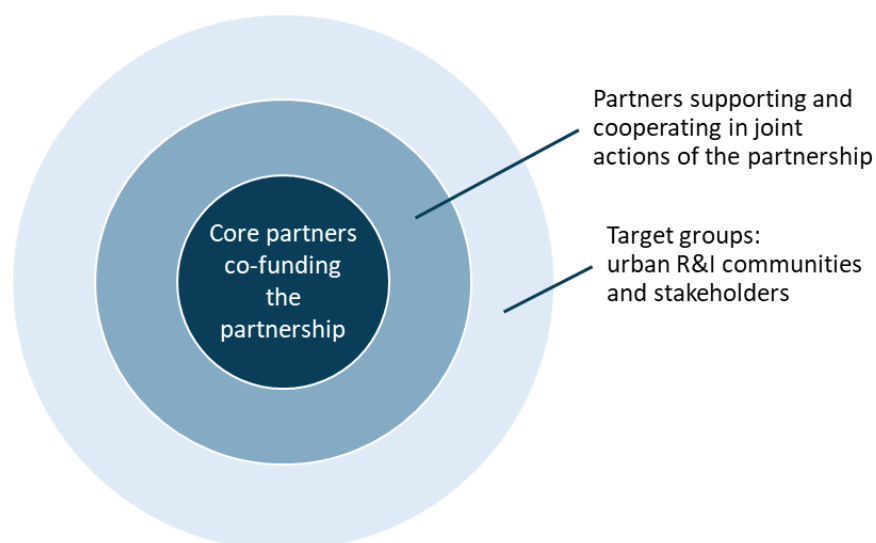


Figure 10: Partner network and target groups

### Core group: Co-funding partners

As a co-funded partnership the core group is composed of public bodies responsible for research and innovation programmes, including

- Programme owners and funders, different kinds of funding agencies to cover well the entire innovation cycle;
- Partners that manage thematic or open programmes relevant for urban development.

The implementation of the DUT partnership is supported by two existing Member States networks – the JPI Urban Europe and the PED Programme of the SET Plan, which have already taken up the challenge to address the complexity of sustainable urban development. The DUT partnership offers a framework for both networks to not only join forces but create a common programme based on a joint vision, work programme and consolidated governance structure. More details about both networks is given in Annex 2.

Table 4 lists the countries and partners that have stated their interest in the partnership and that are currently involved in the DUT preparations.

Table 4: Countries and organizations interested to join the partnership and engaged in the DUT preparations

Country	Organisations
AT	Austrian Ministry for Climate Action, Environment, Energy, Mobility, Innovation and Technology, Austrian Research Promotion Agency FFG
BE	Innoviris, Flanders Innovation & Entrepreneurship VLAIO, National Fund for Research and Science FNRS, Flanders Research Foundation, Wallonia Ministry for Public Service SPW, Flemish Government – Department for Environment, Nature and Energy
BG	Bulgarian National Science Fund
CY	Research and Innovation Fund
CZ	Ministry of Industry and Trade, Technology Agency of the Czech Republic
DE	Federal Ministry for Education and Research

<b>DK</b>	Danish Innovation Fund
<b>EE</b>	Ministry for Finance, Ministry for Culture, Estonian Research Council
<b>ES</b>	Centre for the Development of Industrial Technology CDTI, State Research Agency AEI
<b>FI</b>	Academy of Finland
<b>FR</b>	Ministry of Research and Innovation, ANR
<b>HR</b>	Ministry of Environment and Energy
<b>IT</b>	Ministry for Education, Universities and Research
<b>LV</b>	Ministry of Education and Science, VIAA
<b>NL</b>	Ministry of the Interior and Kingdom Relations, Dutch Research Council NWO, Regieorgaan SIA
<b>NO</b>	Ministry of Local Government and Modernisation, Research Council Norway
<b>PL</b>	National Science Centre, National Centre for Research and Development
<b>PT</b>	Science and Technology Fund FCT, Regional Fund for Science and Technology of the Azores Regional Government FRCT
<b>RO</b>	Executive Agency for Higher Education, Research, Development and Innovation Funding UEFISCDI
<b>SE</b>	Vinnova, Swedish Energy Agency, Formas
<b>SI</b>	Slovenian Research Agency
<b>SK</b>	Ministry of Education and Research
<b>TR</b>	TÜBİTAK
<b>UK</b>	Economic and Social Research Council, Arts and Humanities Research Council, Innovate UK

### **Partners supporting and contributing to joint actions: networks representing urban stakeholders and R&I communities**

To achieve the objectives and impacts of the DUT partnership a close cooperation with stakeholder networks is envisaged. While the core group is composed of funders, the contribution of partners and organisations representing the various stakeholder groups is seen as key to ensure that the R&I priorities meet the practitioners' needs and create highest impact. Discussion about appropriate formats for this cooperation are ongoing and are also considered in the governance model. The following networks are considered, although different cooperation schemes might be needed depending on the kind of network or its mission:

- City authorities' networks, such as Eurocities, CIVITAS, Polis, ERRIN, ICLEI, CEMR or the Covenant of Mayors;
- research networks, such as EERA Joint Programme Smart Cities and the Urban Europe Research Alliance UERA, ESPON, relevant COST actions;
- networks and associations of urban planners, business and industry, e.g. ISOCARP, ECTP;
- partnerships of the UAEU or future UAEU implementation structures;

- the City Science Initiative CSI which aims to better connect research and city administration and achieve a better utilisation of research for sustainable urban development
- the Community of Practice on Cities, initiative of the European Commission led by JRC and DG REGIO, open stakeholders such as cities and networks of cities, international and intergovernmental organisations and research bodies;
- civil society organisations.

Cooperation with these actors aims at contributing to the identification of key issues and R&I priorities, mobilisation of stakeholders, dissemination and exploitation of research results among the various target groups as well as offering evidence for the stakeholder networks' own policies and activities.

The cooperation is also a means to avoid duplication of efforts and potential silo practices in urban development related policy.

### **Target groups: urban R&I communities and stakeholders**

As the DUT partnership applies a quadruple helix approach, the target groups comprise all urban actors, including

- City administrations and urban municipalities;
- Business: infrastructure and service providers, industry, entrepreneurs, social innovators, intermediaries;
- Research organisations: universities, public and private research organisations, across disciplines;
- Civil societal actors: local initiatives, NGOs, social innovators, grassroots, inhabitants.

The target groups will be invited to contribute to the agenda setting through consultations and interactive stakeholder dialogues (AGORA). In addition, they can apply for R&I projects as well as join or benefit from additional activities, such as the AGORA Stakeholder Platform, trainings or dissemination measures.

### **International cooperation:**

Besides the European partners, the partnership will be open for international participation on all levels, from individual countries to R&I funding networks, such as the Belmont Forum, UN bodies or Mission Innovation up to interested stakeholders, cities or local initiatives.

This international dimension is seen as important to ensure that globally agreed policies are considered and to bring local experiences and results to global levels. As urban development is place-based there is much to gain from international exchange. At the same time, the partnership aims at exploiting European solutions worldwide and promoting Europe as the frontrunner in sustainable urban development. According to the partnership vision, a global community of practice is needed to drive urban transitions and learn from solutions, experiences and approaches from international R&I activities of regions and cities worldwide.

## 3 Planned Implementation

### 3.1 Activities

#### Implementation

In the SRIA 2.0 an urban transition arena is proposed as the overarching concept for implementing the agenda and reaching the ambition (Figure 11). Following such an approach, not only new knowledge or innovations will be created but a programme management put in place that monitors, synthesises, communicates and makes available such results, evidence, guidelines, tools or case studies for wider use and strategic reflections. The partnership aims to build upon and advance the transition arena initiated in JPI Urban Europe.



Figure 11: Urban Transition Arena – highlighting the key implementation actions needed to achieve the ambition of the partnership.

Accordingly, the following portfolio of implementation measures is proposed for the partnership (Figure 12):

- **Joint calls for challenge-driven R&I**, addressing critical issues for urban transitions with integrated and multi-stakeholder approaches will provide the backbone of the partnership. In this context, the concept of Urban Living Labs or Innovation Labs will be advanced to ensure that such approaches are not only used for experimentation but are introduced as the ‘new normal’ in urban transition management.
- A **multi-stakeholder community of practice** will be continued, managed and extended to facilitate science-policy-business-society dialogues, share experiences, disseminate results and reflect on key issues for urban transition and sustainable urban development. Emphasis will be given to mobilise representatives of cities as core partners for the design of the programme. This is crucial to leverage public and private investments made not only through urban R&I programming but also in ESIF actions and programming such as URBACT, UIA, etc. Through urban living labs beyond "projects", actors previously involved in various projects may increase the impact by

new transnational exchange and tackling challenges in sharing experiences and know-how.

- **Communication and dissemination** measures are taken to not only make R&I results accessible for all stakeholder groups but also prepare guidelines, references or tools for replication and mainstreaming. Various communication channels will be used to reach out to the different stakeholder groups, including social media, videos, printed material. Events and workshops, trainings, guidelines and references will be organised and prepared to reach out to different target groups and make new solutions and approaches available.
- A key element of programme management is **synthesis** of R&I results and achievements. Drawing conclusions for a cluster of projects, connecting projects across calls and themes as well as progressing from individual project results to wider outcomes and recommendations for practitioners will be enabled through dedicated synthesis activities.
- **Models for replication** will be investigated to design and implement formats, guidelines, actions to support replication of good practice, building upon experiences from lighthouse projects and other replication efforts.
- A **knowledge hub** will be established to support capacity building on integrated approaches for sustainable urban development. Various disciplinary or sectoral research networks do exist, among others the Urban Europe Research Alliance UERA, ESPON, the Smart Cities programme of the European Energy Research Alliance, COST actions, etc. Good practice cases will be made available, including information on already ongoing PED projects throughout Europe or cities' experiences with the doughnut economy approach. To create such a knowledge hub on urban transitions, support will be given to connect these networks and cases, strengthen alignment across the participating knowledge institutions and help to overcome fragmentation of our European R&I landscape on urban transitions.
- **Trainings** on new approaches for sustainable urban development, fostering ULLs, experience exchange on new approaches and solutions, strengthening public sector innovation, awareness raising of new public and private business models and their consequences for municipalities
- **Standardisation, certification** or legislative issues to be addressed wherever necessary to create frameworks for new business models and the uptake of innovative solutions. The manifold investment capacities of cities will be harnessed by seamlessly linking pre-commercial and innovative public procurement into the innovation cycle set into motion by the joint calls of the programme.
- **Monitoring** systems will be advanced to not only follow the progress of projects but also to take stock of diverse urban solutions, good practice cases and the contribution to achieving the objectives of the Partnership and the related policy targets. Such information and references will be made available on the website and through dedicated promotion material or workshops to allow the wider community to benefit and make use of them.
- Interfaces to **public procurement and investment programmes** will be investigated to support take-up and larger scale implementation of tested approaches and solutions by

developing links with UIA, ESIF, private funds, etc.<sup>33</sup> The DUT partnership can be complementary with the ERDF and S3 due to its challenge-driven approach. –It helps participants to identify local and regional urban needs (including support in capacity building for local governance to monitor and review SDG achievement<sup>34</sup>). The existence of a long-term agenda and the seven year timeframe presents an opportunity to shape synergies. Models are investigated how regions can join the partnership and connect their regional development programmes to the DUT ones.

- The scope of activities will be regularly evaluated and reflected upon to ensure that it serves the entire urban community, from municipalities and public authorities to infrastructure providers, utilities, planners and developers, industry, social entrepreneurs and, of course, society at large.

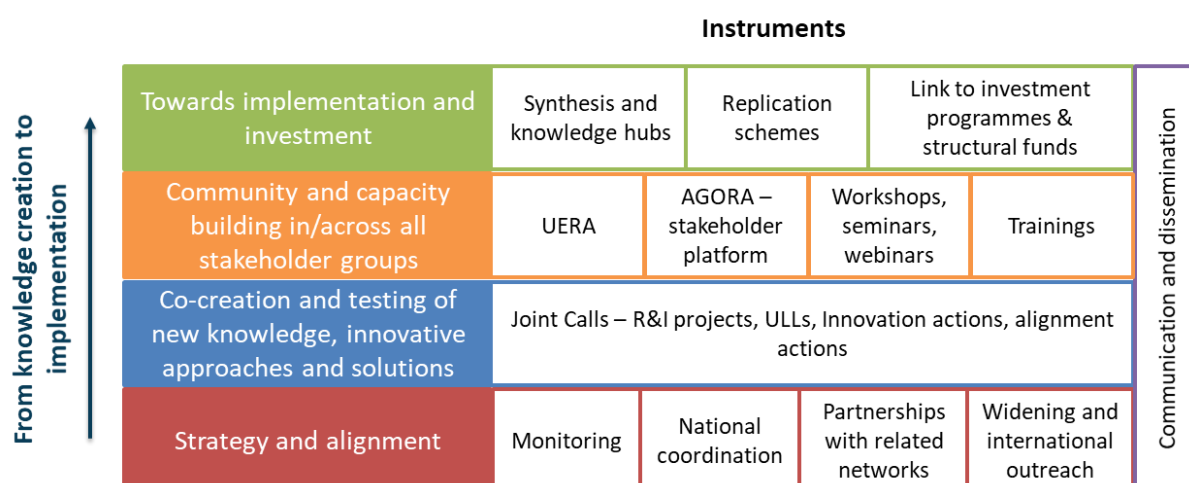


Figure 12: portfolio of implementation measures of the DUT partnership.

The DUT partnership will use the portfolio of implementation actions as a mechanism to shape synergies, create interfaces, and complementary actions to offer it as the platform for urban related sociotechnical systems for urban transformations and to avoid unnecessary duplication of efforts among Horizon Europe partnerships and missions and other urban development related EU initiatives.

### 3.2 Resources

For realising the partnership the following kinds of commitment are required and discussed with the core partners:

- **Financial commitments and in-kind contributions to the governance structure:**

This includes the participation at Governing Board and Funding Agencies Working Group meetings as well as other events such as conferences, match making or projects meetings, payment of the membership fee, and, if possible, secondment of personal

<sup>33</sup> cf. Fioretti, C., Pertoldi, M., Busti, M. and Van Heerden, S. (eds) (2020), *Handbook of Sustainable Urban Development Strategies*, EUR 29990 EN, Publications Office of the European Union, Luxembourg.

<sup>34</sup> cf. Siragusa A., Vizcaino P., Proietti P., Lavalle C., *European Handbook for SDG Voluntary Local Reviews*, EUR 30067 EN, Publications Office of the European Union, Luxembourg, 2020, ISBN 978-92-76-15403-7, doi:10.2760/670387, JRC 118682.



for Management Board or working group meetings. This financing model has been established in both existing Member States networks to set-up and maintain a management structure that coordinates the portfolio of activities and run some basic infrastructure, such as website and promotion and communication material, cover expert travels or hosting events.

Overall, based on experiences of the existing Member States networks, the required national commitments for coordinating and running the partnership are estimated to sum up to about EUR 1.45 million per year. Details are given in Table 5.

- **Financial commitments and in-kind contributions to the joint calls and other dedicated implementation actions:** This includes the provision of national budgets for joint calls as well as the coverage of personal costs for the call coordination and management. In addition, contributions from funding agencies in terms of personal costs to develop new and/or improve existing instruments are expected.

The required national contributions to the call budgets over the whole duration is expected between 500,000 and EUR 5,000,000 per country and call, depending on the size of the country, the size of the related R&I community, the relevance of the call topics for the community, etc. This range is estimated to cover the three pillars in parallel and allow in total calls of about EUR 20–30 million budget.

- **Efforts for national coordination** to ensure mobilisation of relevant stakeholders, promotion of joint actions, strengthening impact creation and implementation of results on national / local level, organisation of national/regional/local events and alignment with national strategies and priorities.

The required budget for these activities will sum up to about EUR 50,000 to 200,000 per country and year, again depending on the complexity of the national landscape and required efforts to coordinate across sectors and stakeholders.

As indicated in Figure 12 the portfolio of implementation measures includes the link to urban investment programmes and structural funds. Connecting the partnership investments in R&I to urban investment funds is seen as important to strengthen uptake of solutions. This includes also opportunities to connect the partnership to DG REGIO programming relevant to the urban dimension, including URBACT and UIA. On the other side, the utilisation of ERDF depends not only on national prioritisation and urban policy, since member states manage these streams in varying ways and with different national-regional-local dynamics in effect, and thus requires efforts on national, regional and local levels to consider urban transition aspects in these negotiations and processes. The partnership aims to support national and regional agencies in the development of synergies. This is a long-term process from programming (alignment) to concrete joint actions supported by ERDF.

Table 5: estimated in-kind and cash contributions from participating countries for managing the partnership.

	National commitments to management	Estimated amount/a	Financing source
<b>MB</b>	<ul style="list-style-type: none"> <li>• Partnership coordination according to the portfolio of implementation measures. MB members are fully or partly financed by their countries to ensure sustainability of the overall management and governance.</li> </ul>	€ 800,000	In-kind

	• DUT secretariat (administration and general communication) + expert travels, events, communication and promotion material, subcontracting	€ 10,000 per country (approx € 150,000)	Membership fee
<b>FAs</b>	5-6 meetings per year; development of joint calls; development of new instruments; support in strategy development; national coordination; participation in AGORA events	€ 250,000	In-kind
<b>GB</b>	2 meetings per year; support in strategy development; national coordination; participation in AGORA events; efforts of GB Chairs on policy coordination;	€ 250,000	In-kind
<b>Total budget</b>	<b>per year</b>	<b>€ 1,450,000</b>	

### 3.3 Governance

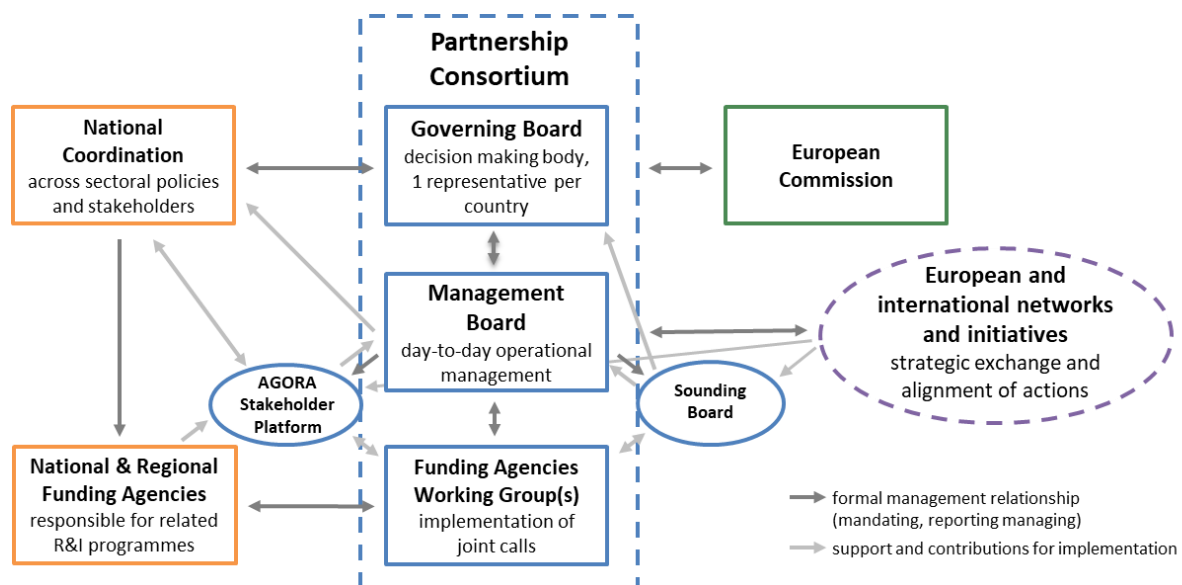


Figure 13: scheme of the governance system.

The **Governing Board (GB)** is the ultimate decision-making authority of the partnership and responsible for the overall strategic orientation, including connection to the EU and national policies, priorities and budgets. All partners in the consortium have a seat on the GB and are required to bring decision-making authority for their country. EC acts as an observer in the Governing Board. Terms of References will be agreed upon, specifying the main tasks and working procedures among the partners as well as in relation to the EC, MB and other bodies. The GB members are also responsible for establishing national coordination mechanisms appropriate to prepare national/regional decisions, ensure mobilization of national stakeholders and actors and align the partnership with national programmes.

The **Management Board (MB)** is responsible for the operational management and coordination of the partnership, including the development and implementation of the partnership's portfolio, the monitoring of the agreed implementation actions, the

stakeholder involvement, as well as the relationship management with related national, European and international networks and initiatives. The MB cooperates with the funding agencies on the preparation of calls, coordinates the AGORA Stakeholder Platform as well as the Advisory Boards established. In cooperation with all relevant partners and bodies (including SET Plan Working Groups, neighbouring partnerships, stakeholder networks, etc.), the MB is responsible for preparing the annual work plans as well as the reporting towards GB and EC.

The **Funding Agencies Working Group (FAWG)** is the panel of national, regional or international R&I funding agencies that are interested in participating in joint calls. Efforts will be taken to ensure a representation of funding agencies along the entire innovation cycle in each country – from science funds up to innovation agencies. Subpanels might be established to allow an efficient management of calls.

The funding agencies are independent public organisations, responsible only to their own government. In the management structure they are therefore present not in the form of a board but as a working group of independent actors. Unlike the GB and MB, which can make decisions by majority, each funding agency always retains its sovereignty. The FAWG is chaired and supported by the MB.

Besides the formal bodies and panels of the partnership governance, two mechanisms will be put in place to support co-creation of the partnerships' programme with (1) a wider range of urban stakeholders across European countries and beyond and (2) representatives from stakeholder networks. Accordingly, these two mechanisms comprise

- (1) shaping and managing an open platform (AGORA) for all stakeholder groups to engage, contribute to and benefit from the partnership's activities;
- (2) establishing a Sounding Board to ensure a close cooperation and exchange on strategic and operational level with the aim to consider latest scientific developments, create synergies with other partnerships' activities and align strategies and actions with related networks.

The **AGORA Stakeholder Platform** represents open and flexible formats to mobilise, engage and inform urban stakeholders, from representatives of municipalities and city administration to business, entrepreneurs, social innovators and local initiatives up to researchers from various disciplines. Low-threshold settings are The AGORA represents one of the key actions to support co-creation of strategic priorities and joint actions, disseminate research results and reflect on their potential for policy makers and business. AGORA activities are regularly organised as highly interactive events, as places for encounter and dialogue<sup>35</sup>. Possible AGORA formats comprise strategic dialogues, thematic workshops, webinars or open consultations.

Besides shaping an open platform for all stakeholder groups to engage in the partnership, a **Sounding Board** will be established to ensure alignment with other networks and partnerships at the European and international level. Through the Sounding Board a continued and longer-term cooperation with key experts and networks is aimed at that offer reflections on the strategic orientation and the identification of synergies through aligned and

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<sup>35</sup> The AGORA formats have been developed in the EXPAND project (H2020 funded CSA, grant agreement No 726744), see also the report: AGORA – The JPI Urban Europe's Stakeholder Involvement Platform, the Validated SIP Concept, March 2019.

joint actions. The Sounding Board will be composed of representatives of urban stakeholder and research networks as well as representatives of other partnerships, as indicated in the second ring of partners in Figure 10. Formats for interaction with the Sounding Board will tackle a strategic and an operational level:

- Strategic cooperation: a regular exchange with Member States representatives, e.g. with the Governing Board, will be facilitated to jointly reflect on strategic matters regarding the further development of the partnership and its thematic priorities and key implementation measures.
- Operational cooperation: a formalised process will be established to ensure cooperation on various implementation actions, e.g.
  - Regular exchange with the Sounding Board to ensure an alignment of actions across all involved parties;
  - Development of joint actions with selected Sounding Board members and networks/partnerships, in terms of mobilisation efforts, communication and dissemination, co-organisation of events, trainings, etc.;
  - Involvement of the Sounding Board in the process of preparing annual work plans and calls to align with other partnerships and address key challenges of urban practitioners.

Complementing the governance on transnational level, some elements need to be mirrored at national level. Cross-policy coordination as well as multi-stakeholder engagement must be ensured in the partner countries to maximise impact and synergies.

A **national coordination mechanism** is called for to allow cross-policy coordination at national level and a consideration of national and local conditions for urban transitions. This coordination mechanism must fit the particular requirements and conditions of the national R&I community as well as the urban policy framework, each country needs to develop and implement its own specific model. In any case, such a national coordination should support the following aims:

- establish and coordinate dialogues with sectoral policies, ministries in charge of urban-related matters, cities, municipalities and related national stakeholder organisations and networks to assess local/national priorities and provide evidence for policy making;
- facilitate an exchange among different national and regional funding agencies along the innovation cycle and promote the participation in the partnership;
- support the advancement of national or regional framework conditions towards funding for all stakeholder groups to strengthen transformative research and innovation;
- disseminate results of transnational R&I projects and the created evidence, policy recommendations and good practice on national and regional level to enhance the impact.

### 3.4 Openness and transparency

As indicated in Figure 14, a process is proposed and has been agreed with core partners (see Table 4) for the further elaboration of the partnership. The following key elements will be applied:

- Regular information to Member States through the Horizon Europe Programme Committee to allow all countries to assess possible participation;
- Regular exchanges have taken place with the Commission's DUT partnership owners group to inform and receive feedback during the drafting of the partnership proposal as well as direct involvement of EC representatives in the planned workshops and consultations to ensure alignment with other planned EU activities and relevant EU policies.
- Workshop with interested partners from Member States and related networks to discuss the concept, reflect on the vision, objectives and key implementation measures as well as identify potentials for cooperation with national programmes as well as other networks and partnerships;
- National consultations will be used to promote linkages with national strategies and programmes and to support decision making on national commitments;
- A public consultation was carried out (March-April) to allow a wider community to contribute to the development of the work plan and raise awareness of the upcoming initiative;
- Organisation of a multi-stakeholder dialogue (AGORA) to allow interested stakeholders from public authorities, infrastructure and service providers, business, local initiatives and research to engage in the development of the work plan. This event will be broadly announced and will also be open for international participation;
- New countries have been already approached in this phase and the efforts to inform potential new partners about the partnership and assess their opportunities and priorities to join the network will continue throughout the process. This also includes international partners;
- Meetings with committed partners will be organised to co-design the governance model and agree on the work plan;
- Exchanges with related networks and neighbouring partnerships are taking place throughout the drafting process and will continue during the further preparation process to specify possible modes of interaction and cooperation.

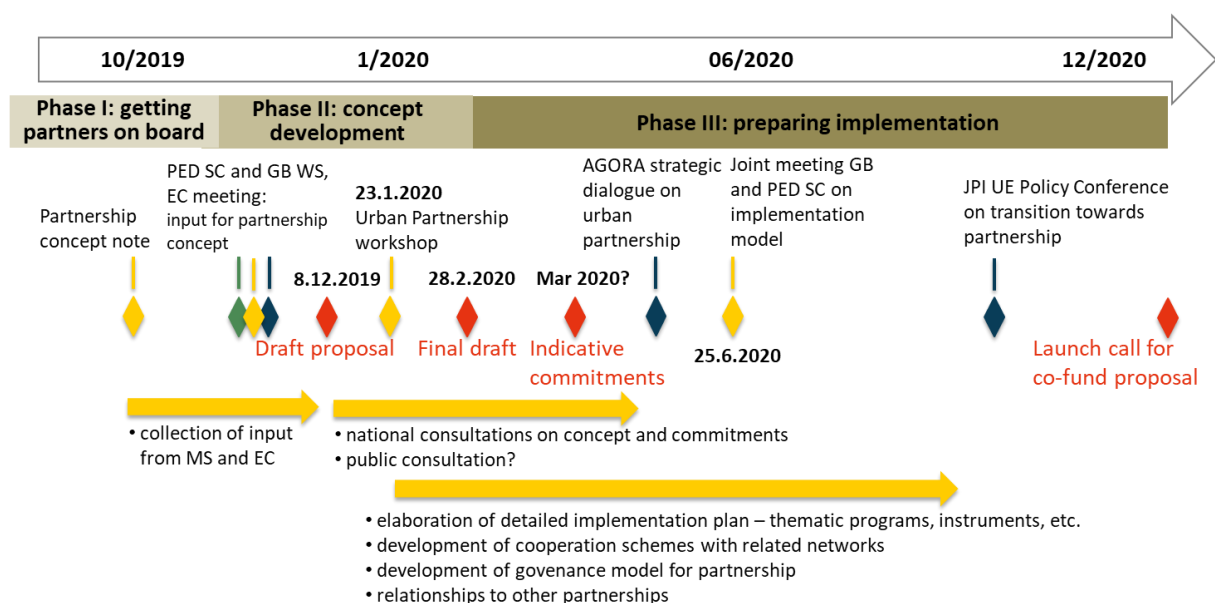


Figure 14: Indicative process to prepare the partnership

## **Strategy to mobilise and involve stakeholders**

It is one of the partnership objectives to ensure availability and accessibility of results for urban stakeholders, policy and decision makers. City authorities call for better models and stronger efforts to take advantage and use of research results and some cities across Europe agreed to team up to exchange and cooperate on this matter.<sup>36</sup> The partnership will support such ambitions and set up dedicated actions to strengthen relevance of R&I for all stakeholder groups and foster dissemination, exploitation up to replication.

### **Challenge-driven R&I and Urban Living Labs:**

As outlined in the work plan challenge-driven R&I will be a central element to ensure that R&I is oriented towards practitioners needs. This requires involvement of urban actors in all phases, from specifying research issues up to involvement in R&I projects. Experiences have already been made with formats to mobilise and engage practitioners and representatives of urban municipalities and city administrations (AGORA). Such stakeholders will be invited to share their needs, co-design R&I issue articulation and reflect on R&I results. Furthermore, they will provide clear overviews of how city authorities can engage in the partnership beyond the calls. A crucial element in this development is to continue looking into formats fit for small and medium sized cities.

### **Participation of municipalities and city administration in R&I projects:**

Co-design of new solutions and approaches is seen as essential to pave the way towards a wider use of research results and achieve a higher awareness towards required changes as well as preparedness to follow-up with implementation actions. This calls for a strong involvement of public authorities, city administration and business in research and innovation. At the same time, not all funding agencies are allowed or able to fund such partners. To overcome these limitations the following measures have been identified in a self-evaluation and are considered for the implementation of the partnership:

- Encourage countries and funding agencies to review their opportunities to support cities and other urban stakeholders as project partners with funding for personnel and travel as well as mobilise additional agencies that can fund these partners;
- Make call texts and framework conditions more attractive for municipalities, cities, business and civic organisations;
- Consider alternative measures to ensure reimbursement of municipal/city administrations' travel and personnel costs;
- Mobilize policy makers and regulatory bodies in order to create a conducive and fair legal environment to support innovation in sustainable urban areas.

### **Communication and dissemination:**

Appropriate formats for communication and dissemination will be provided, including a website, newsletters, social media, regular conferences, workshops, seminars to reach different target groups. Good experiences have been made with special webinars targeting a broad set of urban stakeholders to trigger debates on key issues, raise awareness and promote research results. Special requirements will be introduced to certain projects to

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<sup>36</sup> City Science Initiative

provide policy briefs, guidelines and other target group specific information. Support will be given to develop such material and disseminate it through various channels. In addition, special attention will be given to models for replication and mainstreaming.

## Partner recruitment strategy

The partnership can already build upon a strong network:

- 20 European member states are cooperating since 2010 on matters related to urban transitions;
- a community of practice has been established through the AGORA including representatives from city administration, municipalities, local initiatives, infrastructure providers, social innovators, universities, RTOs from across Europe and beyond;
- relationships with various networks and initiatives have been established as indicated in Figure 15.

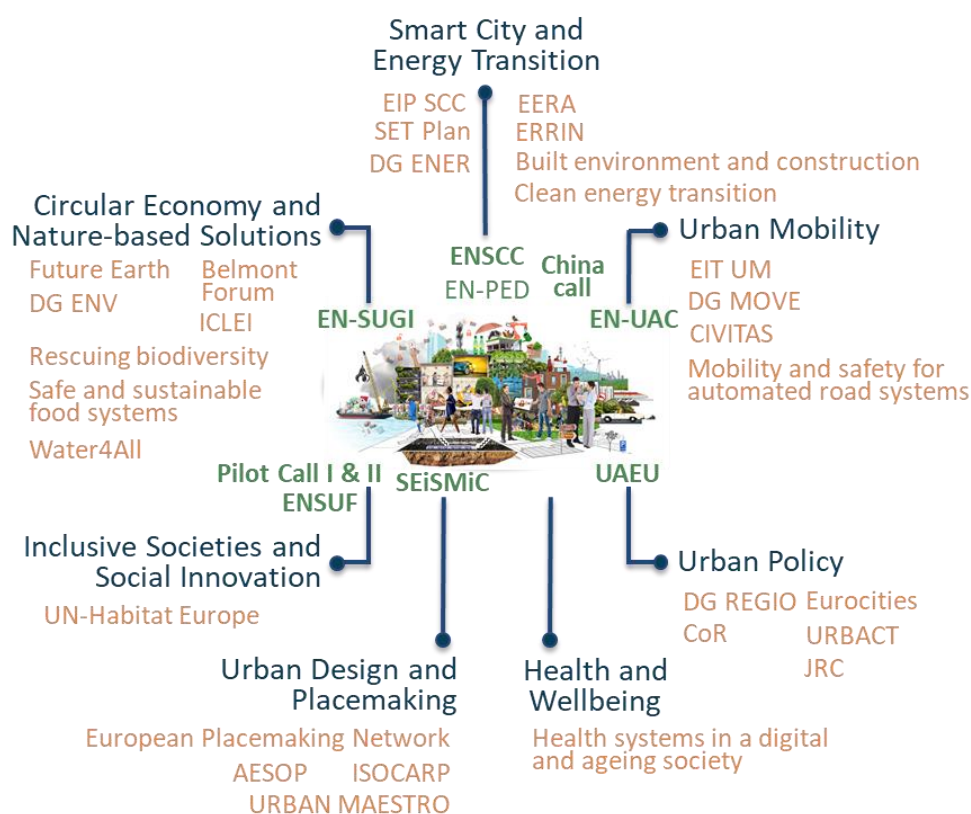


Figure 15: established relationships of the partnership based on previous calls and ERA-NETs of the partners countries.

However, continued efforts are needed to widen the network to ensure a full geographical coverage in Europe, reach out to and mobilise as many cities, towns and urban communities as possible. In this regard teaming up with other networks, platforms and initiatives is seen as important as it will facilitate community building, synthesis and exploitation of research results as well as the co-design of the programme and its activities. Figure 16 summarizes the global landscape of networks, initiatives and neighbouring partnerships DUT is embedded in.

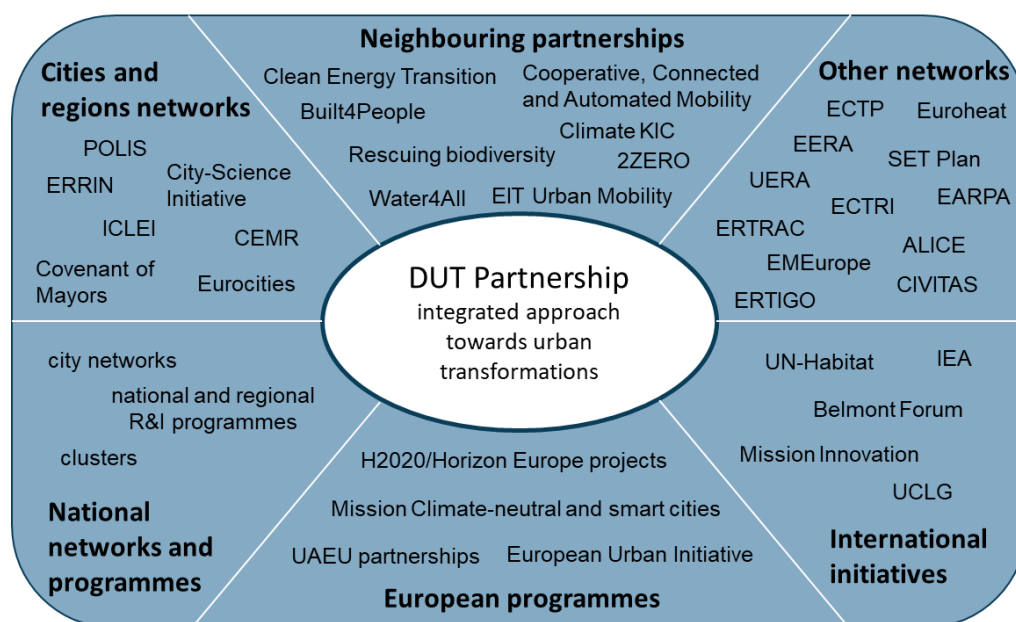


Figure 16: Global landscape of the DUT partnership

The recruitment strategy of the partnership aims at addressing different target groups on four levels as indicated in Table 6.

Table 6: target group specific recruitment strategy.

Target group	Aim	Action
<b>Countries and regions</b>	Mobilisation of new countries and partners	Missions to new countries, identification of key actors, analysis of their urban challenges and priorities, establishment of working relationships
<b>R&amp;I programme owners and funding agencies</b>	Recruitment of new agencies in all partner countries along the innovation cycle	Identification and relationship building to new funding agencies, invitation to join the FAWG and assess cooperation opportunities, regular information on upcoming calls, involvement in strategic and call preparation events
<b>Urban stakeholders and researchers</b>	Mobilisation of municipalities, city administration, infrastructure and service providers, real estate, planners, local initiatives, societal actors, researchers, etc.	Most of the planned communication and dissemination activities are addressing the wide spectrum of urban stakeholders, AGORA dialogues and workshops, webinars, conference sessions are open for all interested parties. Promotion of such events will be done in cooperation with national, regional and local partners to ensure wider outreach.
<b>Networks and partnerships</b>	Relationships and cooperation with relevant (sectoral) European and international networks	Screening of relevant networks, initiatives and organisations will be carried out. Conferences will be used to (1) promote the partnership and (2) assess opportunities for cooperation with other networks. Specific interfaces will be developed with selected partnerships under Horizon Europe.



## 4 Annex 1: SRIA 2.0 Process

This strategy was developed in a highly co-creative process, starting with and building upon the long-term vision that was requested by GPC, including the following steps (see Figure 17):

- The development of a position paper of the **Scientific Advisory Board** of JPI Urban Europe<sup>37</sup>. Focus of the position paper was to propose a strategic reference on how to connect to the SDGs and other international policies and to provide recommendations for key elements of the SRIA.
- An **open consultation** to collect input and views of urban stakeholders on thematic priorities as well as on strategic elements addressed in the SAB position paper, related to the implementation of the research and innovation agenda, such as enhanced science-policy cooperation, capacity building in research and policy as well as benefits of and conditions for transnational and international cooperation. More than 80 responses were received from researchers, city administration, public bodies and business from across Europe and beyond.
- In addition, the **Urban Europe Research Alliance**, a network of European research organisations addressing urban research issues and supporting the implementation of the JPI Urban Europe SRIA, provided a consolidated response to the consultation<sup>38</sup>.
- A **Stakeholder Dialogue** was organised to reflect and consolidate the consultation results towards key issues for the SRIA. Again, the Stakeholder Dialogue brought together urban stakeholders from most of the JPI Urban Europe partner countries, involving policy makers, researchers, city administration, city networks, societal actors and research funders.
- All this input was used to develop a SRIA concept which was not only discussed with the Scientific Advisory Board and the Governing Board but was also put forward for **national consultations**. This allowed to reflect the SRIA concept and its proposed priorities against national ones, to align the agenda with national, regional and municipal strategies and programmes and to mobilise urban actors. National feedback was received from 10 countries.
- Additional consultations were organized with the partnerships of the Urban Agenda for the EU. These partnerships address key issues for sustainable urban development and identified needs for action regarding better knowledge, better regulation and better funding. As several of these have elaborated joint action plans it was thought highly relevant to align the SRIA 2.0 with those actions and priorities. Thus, a consultation workshop was carried out with the **coordinators of the UAEU partnerships**.
- Finally, a **consultation with the European commission** was used to consider priorities of the various urban-related EC units under Horizon 2020 and to anticipate those of Horizon Europe with the ambition to ensure complementarity and well-structured interfaces in support of future cooperation.

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<sup>37</sup> Reference SAB position paper

<sup>38</sup> Reference to UERA position paper

- All this input was consolidated and resulted in the SRIA 2.0 concept, its thematic priorities and work plan.

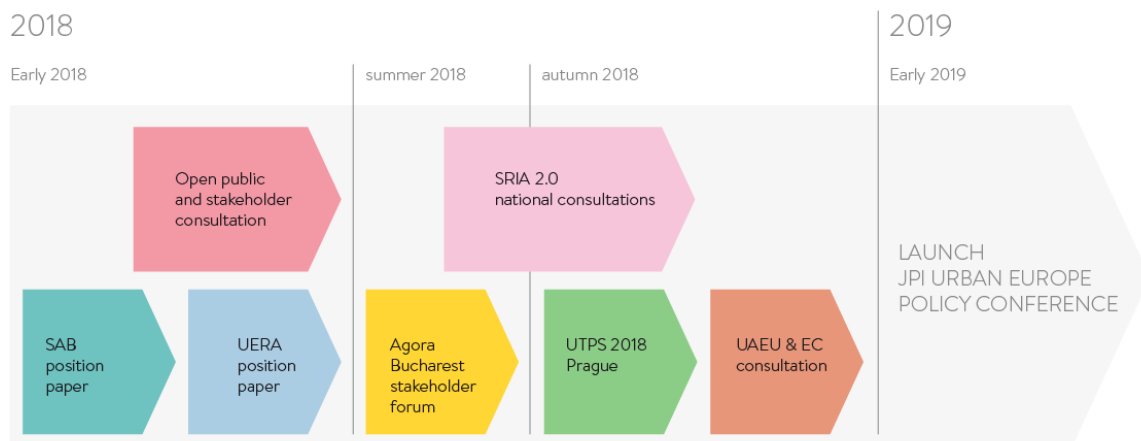


Figure 17: Key elements of the SRIA 2.0 process and timeline

## 5 Annex 2: Existing Member States networks

Since 2010, 20 Member States and Associated Countries have been cooperating in **JPI Urban Europe**, a R&I initiative addressing the challenges of sustainable urban development with an integrated, inter- and transdisciplinary approach<sup>39</sup>. The success of the JPI Urban Europe is based on

- a shared vision, put down in the form of a Strategic Research and Innovation Agenda (SRIA),
- a multi-annual R&I programme set out to implement the SRIA,
- a Funding Agencies Working Group (FAWG) to set up and run the annual joint R&I calls,
- a Stakeholder Platform (AGORA) to keep in touch with the problem owners, industry and research,
- a network of R&I institutions under the name of Urban Europe Research Alliance (UERA),
- and a Programme Management Team, which forms the hub of all activities and keeps the evolution of the network going.

Through all these measures a community of practice has been developed to address key issues such as how to benefit from smart city approaches, nature-based solutions or innovative mobility systems, how to encourage local co-creation and experimentation to build the ground for change on organisational and individual level or how to support decision making and urban governance. With the ambition to create scientific evidence and good practice not only research projects were funded but actions were taken to mobilise stakeholders, make research results accessible for decision makers, and improve funding formats to better meet the needs of urban stakeholders. So far about 100 million Euro have been spent in 7 calls for R&I projects, resulting in more than 80 research and innovation projects funded since 2012, involving almost 500 project partners. Experiences have been made with different forms of Urban Living Labs to strengthen co-creation of new solutions throughout Europe.

In addition to these joint efforts, the research and innovation programme on **Positive Energy Districts and Neighbourhoods** (PED) was started in 2018, as one of the actions related to the **SET Plan implementation**. This programme which aims at initiating 100 PEDs is jointly implemented by JPI Urban Europe and the SET Plan Action 3.2. Additional formats are under development considering the establishment of a cities panel, mobilizing stakeholders from municipalities, planners, real estate, construction industry, etc. With its focus on a particular thematic area in the context of sustainable urban development and its holistic approach towards PEDs, the PED programme covers a specific area within the wider JPI Urban Europe ambition and agenda. At the same time, it widens the portfolio of activities and mobilises new stakeholder groups.

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<sup>39</sup> More information on activities and projects is available at [www.jpi-urbaneurope.eu](http://www.jpi-urbaneurope.eu)