

**Annex 3 Financial Reports - DG RTD - Financial Year 2011**

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## Additional comments

*Tables 1 and 2 include EU, EFTA, third countries' contribution as well as C4 and C5 credits together with commitments that can be implemented in 2010 (n+1) or until the end of FP7. When we take into consideration only the credits authorised by DG RTD that may not be carried forward to the next year, the implementation rates reach 100% as well for the commitments as for the payments.*

*Table 6 shows 8277 payments while RTD report shows 16765 because only payments with invoice or experts reimbursed by RTD are included, therefore payments to evaluators and expert meetings are excluded.*

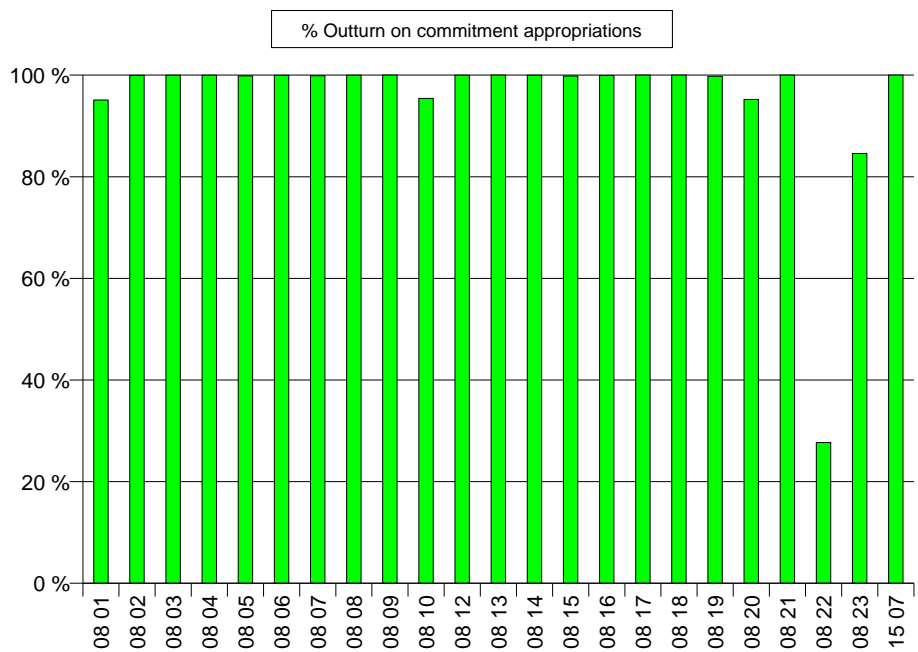
*Tables 7 and 9 include Recovery Orders of a value of 8MEur to be deducted and show the situation after the DG BUDG corrections.*

*For contract AIR1-CT92 0511 RO of 1.6MEur have been issued for each of the 6 consortium members, being jointly and severally liable. They all appear in the 2000 figures, although the actual debt is only 1.6MEur. Therefore 8MEur have to be deducted.*

*Tables 11, 13 and 14 have no data to be reported*

<b>TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2011 (in Mio €)</b>				
<b>Chapter</b>		<b>Commitment appropriations authorised *</b>	<b>Commitments made</b>	<b>%</b>
		<b>1</b>	<b>2</b>	<b>3=2/1</b>
<b>Title 08 : Research</b>				
08 01	Administrative expenditure of `Research¿ policy area	335,42	318,99	95,10 %
08 02	Cooperation ¿ Health	850,84	850,58	99,97 %
08 03	Cooperation ¿ Food, agriculture and fisheries, and biotechnology	274,27	274,23	99,98 %
08 04	Cooperation ¿ Nanosciences, nanotechnologies, materials and new production technologies	471,70	471,63	99,98 %
08 05	Cooperation ¿ Energy	161,38	161,13	99,85 %
08 06	Cooperation ¿ Environment (including climate change)	258,57	258,48	99,96 %
08 07	Cooperation ¿ Transport (including aeronautics)	433,39	432,91	99,89 %
08 08	Cooperation ¿ Socioeconomic sciences and the humanities	86,37	86,36	99,98 %
08 09	Cooperation ¿ Risk-sharing finance facility (EIB)	204,76	204,76	100,00 %
08 10	Ideas	0,08	0,08	95,42 %
08 12	Capacities ¿ Research infrastructures	160,07	160,07	100,00 %
08 13	Capacities ¿ Research for the benefit of small and medium-sized enterprises (SMEs)	18,00	18,00	100,00 %
08 14	Capacities ¿ Regions of knowledge	19,31	19,30	99,98 %
08 15	Capacities ¿ Research potential	65,44	65,32	99,82 %
08 16	Capacities ¿ Science in society	45,89	45,86	99,94 %
08 17	Capacities ¿ International cooperation activities	28,94	28,94	100,00 %
08 18	Capacities ¿ Risk-sharing finance facility (EIB)	51,19	51,19	100,00 %
08 19	Capacities ¿ Support for coherent development of research policies	10,06	10,04	99,77 %
08 20	Euratom ¿ Fusion energy	416,01	396,09	95,21 %
08 21	Euratom ¿ Nuclear fission and radiation protection	52,00	52,00	100,00 %
08 22	Completion of previous framework programmes and other activities	558,95	154,74	27,68 %
08 23	Research programme of the research fund for coal and steel	72,35	61,20	84,58 %
<b>Total Title 08</b>		<b>4.574,99</b>	<b>4.121,89</b>	<b>90,10 %</b>
<b>Title 15 : Education and culture</b>				
15 07	People ¿ Program for the mobility of researchers	7,88	7,88	100,00 %
<b>Total Title 15</b>		<b>7,88</b>	<b>7,88</b>	<b>100,00 %</b>
<b>Total DG RTD</b>		<b>4.582,87</b>	<b>4.129,77</b>	<b>90,11 %</b>

\* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal and external assigned revenue).



**TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2011 (in Mio €)**

Chapter		Payment appropriations authorised *	Payments made	%
		1	2	3=2/1
<b>Title 08 : Research</b>				
08 01	Administrative expenditure of `Research` policy area	364,02	310,63	85,33 %
08 02	Cooperation ` Health	514,89	514,84	99,99 %
08 03	Cooperation ` Food, agriculture and fisheries, and biotechnology	185,44	185,43	100,00 %
08 04	Cooperation ` Nanosciences, nanotechnologies, materials and new production technologies	415,59	415,59	100,00 %
08 05	Cooperation ` Energy	121,91	118,11	96,88 %
08 06	Cooperation ` Environment (including climate change)	269,19	269,13	99,98 %
08 07	Cooperation ` Transport (including aeronautics)	396,66	396,54	99,97 %
08 08	Cooperation ` Socioeconomic sciences and the humanities	49,37	49,36	100,00 %
08 09	Cooperation ` Risk-sharing finance facility (EIB)	204,76	204,76	100,00 %
08 10	Ideas	0,04	0,04	85,17 %
08 12	Capacities ` Research infrastructures	205,70	205,69	100,00 %
08 13	Capacities ` Research for the benefit of small and medium-sized enterprises (SMEs)	15,00	15,00	100,00 %
08 14	Capacities ` Regions of knowledge	16,58	16,58	100,00 %
08 15	Capacities ` Research potential	52,93	52,93	100,00 %
08 16	Capacities ` Science in society	31,22	31,19	99,91 %
08 17	Capacities ` International cooperation activities	19,50	19,50	100,00 %
08 18	Capacities ` Risk-sharing finance facility (EIB)	51,19	51,19	100,00 %
08 19	Capacities ` Support for coherent development of research policies	5,86	5,76	98,42 %
08 20	Euratom ` Fusion energy	255,02	235,12	92,20 %
08 21	Euratom ` Nuclear fission and radiation protection	35,78	35,78	100,00 %
08 22	Completion of previous framework programmes and other activities	1.085,18	483,27	44,53 %
08 23	Research programme of the research fund for coal and steel	55,76	51,00	91,46 %
<b>Total Title 08</b>		<b>4.351,57</b>	<b>3.667,45</b>	<b>84,28 %</b>
<b>Title 15 : Education and culture</b>				
15 07	People ` Program for the mobility of researchers	3,49	3,38	96,85 %
<b>Total Title 15</b>		<b>3,49</b>	<b>3,38</b>	<b>96,85 %</b>
<b>Total DG RTD</b>		<b>4.355,05</b>	<b>3.670,82</b>	<b>84,29 %</b>

\* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

% Outturn on payment appropriations

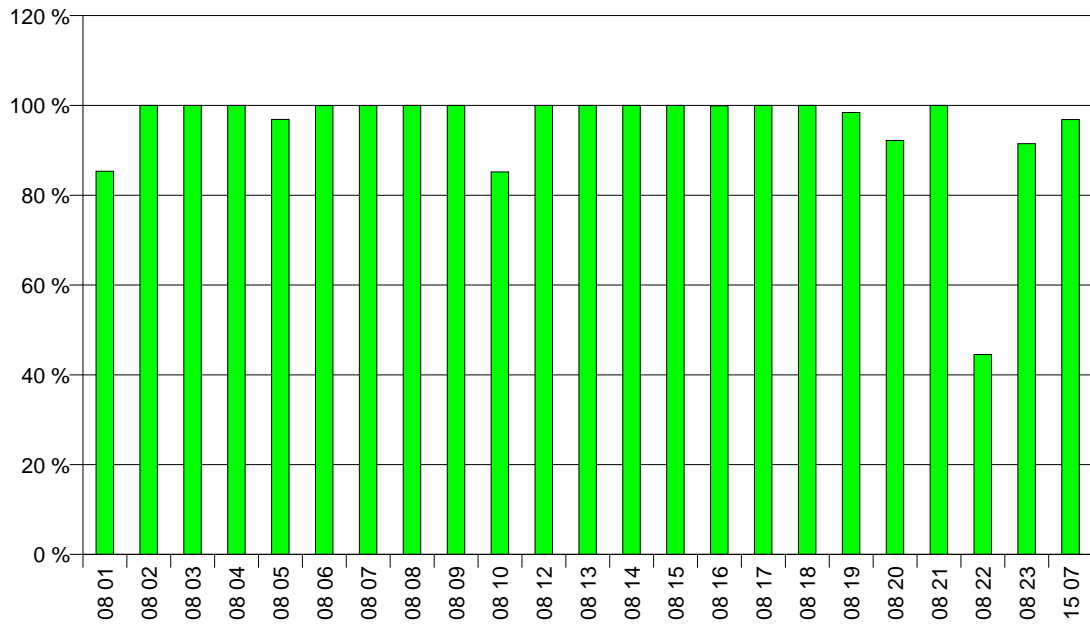
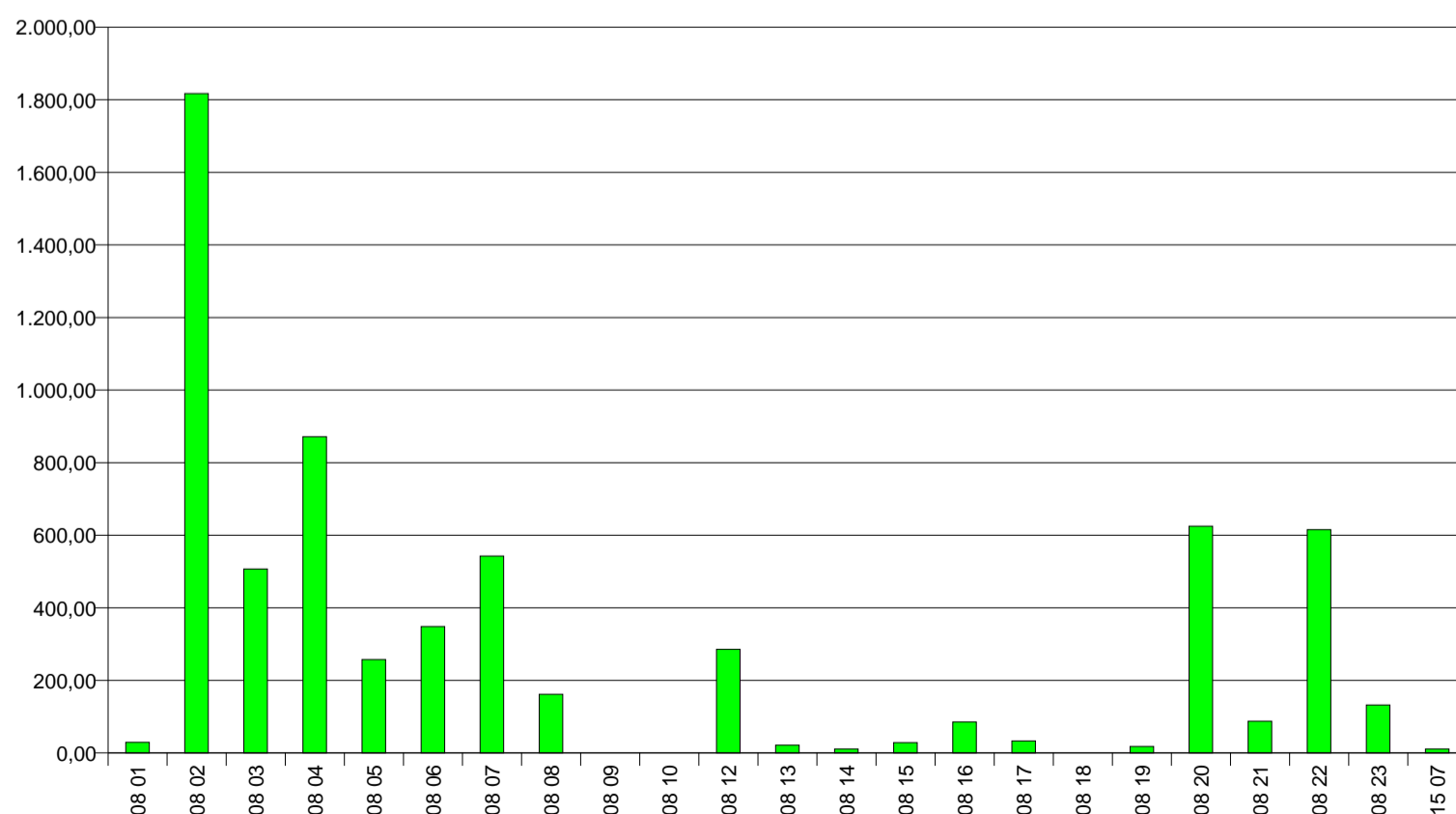


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2011 (in Mio €)								
Chapter	2011 Commitments to be settled				Commitments to be settled from financial years previous to 2011	Total of Commitments to be settled at end of financial year 2011 (incl.corrections)	Total of Commitments to be settled at end of financial year 2010 (incl.corrections)	
	Commitments 2011	Payments 2011	RAL 2011	% to be settled				
	1	2	3=1-2	4=1-2/1	5	6=3+5	7	
<b>Title 08 : Research</b>								
08 01	Administrative expenditure of Research policy area	317,49	288,37	29,12	9,17 %	0,00	29,12	28,60
08 02	Cooperation ζ Health	850,58	87,58	763,01	89,70 %	1.053,91	1.816,91	1.485,06
08 03	Cooperation ζ Food, agriculture and fisheries, and biotechnology	274,23	43,84	230,38	84,01 %	276,66	507,04	418,29
08 04	Cooperation ζ Nanosciences, nanotechnologies, materials and new production technologies	471,63	48,84	422,79	89,64 %	448,87	871,66	816,82
08 05	Cooperation ζ Energy	161,13	53,70	107,43	66,67 %	149,92	257,35	214,73
08 06	Cooperation ζ Environment (including climate change)	258,48	116,64	141,83	54,87 %	206,36	348,19	358,91
08 07	Cooperation ζ Transport (including aeronautics)	432,91	141,58	291,33	67,30 %	251,21	542,53	507,20
08 08	Cooperation ζ Socioeconomic sciences and the humanities	86,36	5,42	80,94	93,72 %	80,66	161,60	125,06
08 09	Cooperation ζ Risk-sharing finance facility (EIB)	204,76	204,76	0,00	0,00 %	0,00	0,00	0,00
08 10	Ideas	0,08	0,01	0,06	85,07 %	0,01	0,08	0,10
08 12	Capacities ζ Research infrastructures	160,07	62,91	97,15	60,70 %	188,23	285,39	331,01
08 13	Capacities ζ Research for the benefit of small and medium-sized enterprises (SMEs)	18,00	0,75	17,25	95,83 %	4,31	21,56	19,02
08 14	Capacities ζ Regions of knowledge	19,30	13,03	6,27	32,49 %	4,59	10,86	8,30
08 15	Capacities ζ Research potential	65,32	48,19	17,13	26,23 %	11,60	28,73	16,37
08 16	Capacities ζ Science in society	45,86	5,09	40,77	88,90 %	44,72	85,49	71,67
08 17	Capacities ζ International cooperation activities	28,94	9,88	19,05	65,84 %	14,27	33,33	23,89
08 18	Capacities ζ Risk-sharing finance facility (EIB)	51,19	51,19	0,00	0,00 %	0,00	0,00	0,00
08 19	Capacities ζ Support for coherent development of research policies	10,04	0,49	9,55	95,12 %	8,27	17,82	14,78
08 20	Euratom ζ Fusion energy	396,09	37,66	358,43	90,49 %	266,34	624,77	463,89
08 21	Euratom ζ Nuclear fission and radiation protection	52,00	20,16	31,84	61,23 %	55,73	87,57	71,36
08 22	Completion of previous framework programmes and other activities	154,74	69,21	85,52	55,27 %	529,81	615,33	1.064,74
08 23	Research programme of the research fund for coal and steel	61,20	18,81	42,39	69,27 %	89,92	132,31	127,81
<b>Total Title 08</b>		<b>4.120,39</b>	<b>1.328,13</b>	<b>2.792,26</b>	<b>67,77 %</b>	<b>3.685,39</b>	<b>6.477,65</b>	<b>6.167,63</b>
<b>Title 15 : Education and culture</b>								
15 07	People ζ Program for the mobility of researchers	7,88	1,44	6,44	81,67 %	4,27	10,71	9,83
<b>Total Title 15</b>		<b>7,88</b>	<b>1,44</b>	<b>6,44</b>	<b>81,67 %</b>	<b>4,27</b>	<b>10,71</b>	<b>9,83</b>
<b>Total DG RTD</b>		<b>4.128,27</b>	<b>1.329,57</b>	<b>2.798,70</b>	<b>67,79 %</b>	<b>3.689,66</b>	<b>6.488,36</b>	<b>6.177,46</b>

Breakdown of Commitments remaining to be settled (in Mio EUR)



**TABLE 4 : BALANCE SHEET RTD**

<b>BALANCE SHEET</b>	<b>2011</b>	<b>2010</b>
<b>A.I. NON CURRENT ASSETS</b>	<b>471.970.491,67</b>	<b>736.807.721,13</b>
A.I.1. Intangible Assets	704.816,85	
A.I.3. Long-term investments	38.247.881,57	140.403.209,00
A.I.5. LT Pre-Financing	379.663.387,23	536.311.749,32
A.I.6. LT Receivables	53.354.406,02	60.092.762,81
<b>A.II. CURRENT ASSETS</b>	<b>2.274.329.468,82</b>	<b>1.949.874.516,28</b>
A.II.2. Short-term Pre-Financing	1.280.920.806,30	1.241.468.836,33
A.II.3. Short-term Receivables	206.395.229,43	201.634.547,07
A.II.4. Short-term Investments	546.897.138,58	418.976.884,22
A.II.5. Cash and Cash Equivalents	240.116.294,51	87.794.248,66
<b>ASSETS</b>	<b>2.746.299.960,49</b>	<b>2.686.682.237,41</b>
<b>P.I. NET ASSETS/LIABILITIES</b>	<b>7.467.367,66</b>	<b>2.243.067,29</b>
P.I.1. Reserves	7.467.367,66	2.243.067,29
<b>P.III. CURRENT LIABILITIES</b>	<b>-632.170.325,33</b>	<b>-830.656.841,34</b>
P.III.2. Short-term provisions	-19.372.995,00	-19.372.995,00
P.III.4. Accounts Payable	-612.797.330,33	-811.283.846,34
<b>LIABILITIES</b>	<b>-624.702.957,67</b>	<b>-828.413.774,05</b>
<b>NET ASSETS (ASSETS less LIABILITIES)</b>	<b>2.121.597.002,82</b>	<b>1.858.268.463,36</b>
P.I.2. Accumulated Surplus / Deficit	0,00	0,00
Non-allocated central (surplus)/deficit*	-2.121.597.002,82	-1.858.268.463,36
<b>TOTAL</b>	<b>0,00</b>	<b>0,00</b>

\* This figure is a balancing amount presented here so as to reflect the fact that the accumulated result of the Commission is not attributed to each DG

It should be noted that the balance sheet and economic outturn account presented in Annex 3 to this Annual Activity Report, represent only the (contingent) assets, (contingent) liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and economic outturn account they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.



**TABLE 5 : ECONOMIC OUTTURN ACCOUNT RTD**

<b>ECONOMIC OUTTURN ACCOUNT</b>	<b>2011</b>	<b>2010</b>
<b>II.1 SURPLUS/ DEF. FROM OPERATING ACTIVIT</b>	<b>2.333.973.255,49</b>	<b>2.100.612.912,86</b>
II.1.1. OPERATING REVENUES	-489.319.674,30	-441.158.960,60
II.1.1.1. Other operating revenue	-489.319.674,30	-441.158.960,60
II.1.2. OPERATING EXPENSES	2.823.292.929,79	2.541.771.873,46
II.1.2.1. Administrative Expenses	99.801.681,76	91.109.686,96
II.1.2.2. Operating Expenses	2.723.491.248,03	2.450.662.186,50
<b>II.2. SURPLUS/DEF. NON OPERATING ACTIVIT</b>	<b>365.763.413,63</b>	<b>275.500.662,48</b>
II.2. FINANCIAL OPERATIONS	-21.941.124,15	-14.865.291,46
II.2.1. Financial revenue	-28.476.700,57	-31.955.220,30
II.2.2. Financial expenses	6.535.576,42	17.089.928,84
II.2. OTHER NON OPERATING ACTIVITIES	387.704.537,78	290.365.953,94
II.2.4. Share of net surplus/def. associ	387.704.537,78	290.365.953,94
<b>ECONOMIC OUTTURN ACCOUNT</b>	<b>2.699.736.669,12</b>	<b>2.376.113.575,34</b>

It should be noted that the balance sheet and economic outturn account presented in Annex 3 to this Annual Activity Report, represent only the (contingent) assets, (contingent) liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and economic outturn account they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 6: AVERAGE PAYMENT TIMES FOR 2011 - DG RTD (\*)**

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	1355	1221	90,11 %	16,58	134	9,89 %	50,39
45	4910	4307	87,72 %	21,25	603	12,28 %	93,39
49	1	1	100,00 %	48,00			
50	7	6	85,71 %	33,83	1	14,29 %	86,00
52	1	1	100,00 %	52,00			
55	2	2	100,00 %	47,50			
60	93	88	94,62 %	16,06	5	5,38 %	157,20
75	4	4	100,00 %	16,00			
90	876	697	79,57 %	43,65	179	20,43 %	224,74
100	1	1	100,00 %	100,00			
105	1027	968	94,26 %	58,22	59	5,74 %	134,71

<b>Total Number of Payments</b>	<b>8277</b>	<b>7296</b>	<b>88,15 %</b>		<b>981</b>	<b>11,85 %</b>	
<b>Average Payment Time</b>	<b>37,77</b>			<b>27,48</b>			<b>114,28</b>

Target Times							
Target Payment Time (Days)	Total Number of Payments	Nbr of Payments within Target Time	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
20	756	616	81,48 %	12,50	140	18,52 %	32,83
30	5523	3965	71,79 %	17,71	1558	28,21 %	60,06
45	94	90	95,74 %	13,23	4	4,26 %	70,25
50	2	2	100,00 %	43,00			
60	11	9	81,82 %	21,00	2	18,18 %	80,50
75	864	622	71,99 %	40,14	242	28,01 %	187,36
90	1027	821	79,94 %	51,19	206	20,06 %	108,17

<b>Total Number of Payments</b>	<b>8277</b>	<b>6125</b>	<b>74,00 %</b>		<b>2152</b>	<b>26,00 %</b>	
<b>Average Payment Time</b>	<b>37,77</b>			<b>23,90</b>			<b>77,25</b>

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
43	104	2683	32,42 %	8277	1.135.805.008,22	33,63 %	3.377.570.988,28

Late Interest paid in 2011			
DG	GL Account	Description	Amount (Eur)
DG RTD	65010100	Interest on late payment of charges New FR	230 805,99
			<b>230 805,99</b>

(\*) Table 6 shows 8277 payments while RTD report shows 16765 because only payments with invoice or experts reimbursed by RTD are included, therefore payments to evaluators and expert meetings are excluded.

**TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2011**

Chapter	Revenue and income recognized			Revenue and income cashed from			Outstanding balance	
	Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total		
	1	2	3=1+2	4	5	6=4+5		
<b>Title 5: REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS</b>								
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	4.133.418,11	128.361,26	4.261.779,37	3.993.592,87	83.876,10	4.077.468,97	184.310,40
59	OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS	1.967.932,03	20.345,39	1.988.277,42	1.967.932,03	20.345,39	1.988.277,42	0,00
	<b>Total Title 5</b>	<b>6.101.350,14</b>	<b>148.706,65</b>	<b>6.250.056,79</b>	<b>5.961.524,90</b>	<b>104.221,49</b>	<b>6.065.746,39</b>	<b>184.310,40</b>
<b>Title 6: CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION/COMMUNITY AGREEMENTS AND PROGRAMMES</b>								
60	CONTRIBUTIONS TO UNION/COMMUNITY PROGRAMMES	440.347.572,00	2.275.884,34	442.623.456,34	440.279.572,00	2.275.884,34	442.555.456,34	68.000,00
61	REPAYMENT OF MISCELLANEOUS EXPENDITURE	47.953.504,13	136.992,61	48.090.496,74	47.785.095,97	110.992,61	47.896.088,58	194.408,16
66	OTHER CONTRIBUTIONS AND REFUNDS	57.621.418,26	24.165.700,73	81.787.118,99	48.330.812,10	5.863.766,02	54.194.578,12	27.592.540,87
	<b>Total Title 6</b>	<b>545.922.494,39</b>	<b>26.578.577,68</b>	<b>572.501.072,07</b>	<b>536.395.480,07</b>	<b>8.250.642,97</b>	<b>544.646.123,04</b>	<b>27.854.949,03</b>
<b>Title 7: INTEREST ON LATE PAYMENTS AND FINES</b>								
70	INTEREST ON LATE PAYMENTS	0,00	61.684,63	61.684,63	0,00	0,00	0,00	61.684,63
	<b>Total Title 7</b>	<b>0,00</b>	<b>61.684,63</b>	<b>61.684,63</b>	<b>0,00</b>	<b>0,00</b>	<b>0,00</b>	<b>61.684,63</b>

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

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**TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2011**

Chapter	Revenue and income recognized			Revenue and income cashed from			Outstanding balance	
	Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total		
	1	2	3=1+2	4	5	6=4+5	7=3-6	
<b>Title 9: MISCELLANEOUS REVENUE</b>								
90	MISCELLANEOUS REVENUE	1.122.182,32	179.834,79	1.302.017,11	976.479,10	161.244,22	1.137.723,32	164.293,79
	<b>Total Title 9</b>	<b>1.122.182,32</b>	<b>179.834,79</b>	<b>1.302.017,11</b>	<b>976.479,10</b>	<b>161.244,22</b>	<b>1.137.723,32</b>	<b>164.293,79</b>
<b>Total DG RTD</b>		<b>553.146.026,85</b>	<b>26.968.803,75</b>	<b>580.114.830,60</b>	<b>543.333.484,07</b>	<b>8.516.108,68</b>	<b>551.849.592,75</b>	<b>28.265.237,85</b>

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

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**TABLE 8 : RECOVERY OF UNDUE PAYMENTS**  
(Number of Recovery Contexts and corresponding Transaction Amount)

RECOVERY ORDERS ISSUED IN 2011 Year of Origin (commitment)	Error		Irregularity		No error / irregularity		TOTALS	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
1999			1	16.980,00			1	16.980,00
2000			8	286.313,70			8	286.313,70
2001			9	382.593,00			9	382.593,00
2002			7	234.177,00			7	234.177,00
2003	3	33.872,22	15	277.901,25	11	333.095,66	29	644.869,13
2004	7	291.588,05	52	1.886.789,73	43	1.547.169,75	102	3.725.547,53
2005	7	271.288,64	56	1.075.344,72	69	3.321.816,15	132	4.668.449,51
2006	7	423.836,63	29	849.661,62	101	5.750.932,33	137	7.024.430,58
2007			20	636.072,12	24	582.266,90	44	1.218.339,02
2008	2	118.896,87	43	546.037,91	19	613.273,61	64	1.278.208,39
2009			5	31.894,71	10	10.719.938,71	15	10.751.833,42
2010			1	850,38	4	21.890.750,14	5	21.891.600,52
2011					1	3.286,97	1	3.286,97
No Link	14	71.038,40	33	965.821,12	70	54.410.686,16	117	55.447.545,68
	<b>40</b>	<b>1.210.520,81</b>	<b>279</b>	<b>7.190.437,26</b>	<b>352</b>	<b>99.173.216,38</b>	<b>671</b>	<b>107.574.174,45</b>

**EXPENSES**

	Error		Irregularity		No error / irregularity	
	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES	18	-1.127.865,08	32	-475.338,80	8	-36.851,88

	Error		Irregularity		No error / irregularity		OLAF Notified	
	Nbr	Non-Eligible Amount	Nbr	Non-Eligible Amount	Nbr	Non-Eligible Amount	Nbr	Non-Eligible Amount
NON ELIGIBLE AMOUNT IN COST CLAIMS	53	1.603.151,95	693	29.549.828,29	941	67.145.644,25	1	28.760,05

	Error		Irregularity		No error / irregularity	
	Nbr	Credit Note Amount	Nbr	Credit Note Amount	Nbr	Credit Note Amount
CREDIT NOTES	28	-750.069,46	9	-120.961,06	36	-758.741,63

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors. The provisional closure will be based on the recovery context situation at 28/02/2011.

**TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2011 FOR RTD (\*)**

Year of Origin	Number at 01/01/2011	Number at 31/12/2011	Evolution	Open Amount (Eur) at 01/01/2011	Open Amount (Eur) at 31/12/2011	Evolution
1993	2	2	0,00 %	281.333,80	281.333,80	0,00 %
1994	2	1	-50,00 %	125.615,54	46.307,00	-63,14 %
1995	7	6	-14,29 %	1.051.570,00	477.257,00	-54,61 %
1996	1	1	0,00 %	132.500,00	132.500,00	0,00 %
2000	7	7	0,00 %	10.000.448,20	10.000.448,20	0,00 %
2001	4	4	0,00 %	316.121,27	316.121,27	0,00 %
2002	8	7	-12,50 %	526.866,02	498.866,02	-5,31 %
2003	6	6	0,00 %	267.199,78	260.102,60	-2,66 %
2004	2	2	0,00 %	212.703,00	212.703,00	0,00 %
2005	10	8	-20,00 %	1.033.515,11	727.144,60	-29,64 %
2006	7	7	0,00 %	395.840,09	381.217,22	-3,69 %
2007	10	9	-10,00 %	1.349.876,06	1.329.591,36	-1,50 %
2008	9	8	-11,11 %	673.996,86	512.631,86	-23,94 %
2009	28	20	-28,57 %	2.090.915,53	1.553.277,33	-25,71 %
2010	124	28	-77,42 %	8.510.302,49	1.723.193,81	-79,75 %
2011		141			9.812.542,78	
Totals	227	257	13,22 %	26.968.803,75	28.265.237,85	4,81 %

Those figures show all RO, whether they are due or not, positive or not. At December 31, 2011, the number of due and positive Recovery Orders open in DG RTD was 149 for an amount of 13 529 283€ (see also note in the general comments), as shown on the following tables

Year	December 2011 Number	Euro
1993	2	€ 281.334
1994	1	€ 46.307
1995	6	€ 477.257
1996	1	€ 132.500
2000	2	€ 1.979.841
2001	4	€ 314.117
2002	6	€ 504.497
2003	6	€ 254.961
2004	2	€ 208.578
2005	7	€ 773.908
2006	7	€ 373.693
2007	9	€ 1.299.952
2008	8	€ 504.444
2009	20	€ 1.553.277
2010	28	€ 1.723.194
2011	40	€ 3.101.423
<b>Sum</b>	<b>149</b>	<b>€ 13.529.283</b>

Status	Number	Euro
AO - Contested Claim	4	€ 134.367
AO - Decision after formal notice	15	€ 739.716
AO- New address	2	€ 35.701
AO - RO to be cancelled	20	€ 2.195.022
<b>Total at RTD</b>	<b>41</b>	<b>€ 3.104.806</b>
Status	Number	Euro
Bankruptcy	30	€ 2.914.786
BUDG - C5 requests enforcement order	1	€ 8.999
BUDG - Central RO management (C5)	25	€ 1.385.702
Legal Service	52	€ 6.114.990
<b>Total Other Services</b>	<b>108</b>	<b>€ 10.424.477</b>
<b>Grand total:</b>	<b>149</b>	<b>€ 13.529.283</b>

(\*) For contract AIR1-CT92 0511 RO of 1.6MEur have been issued for each of the 6 consortium members, being jointly and severally liable. They all appear in the 2000 figures, although the actual debt is only 1.6MEur. Therefore 8MEur have to be deducted.

**TABLE 10 : RECOVERY ORDER WAIVERS IN 2011 >= EUR 100.000**

	<b>Waiver Central Key</b>	<b>Linked RO Central Key</b>	<b>RO Accepted Amount (Eur)</b>	<b>LE Account Group</b>	<b>Commission Decision</b>	<b>Comments</b>
1	3233110205	3240013039	-574.313,00	Private Companies		
2	3233110206	3240703421	-192.292,21	Private Companies		
3	3233110258	3230809391	-161.365,00	Private Companies		

<b>Total DG RTD</b>	<b>-927.970,21</b>
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<b>Number of RO waivers</b>	<b>3</b>
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<i>RO</i>	<i>1</i>	<i>Commission</i>	<i>Decision</i>	<i>"C</i>	<i>2011/2141/2"</i>
<i>RO</i>	<i>2</i>	<i>Commission</i>	<i>Decision</i>	<i>"C</i>	<i>2011/5180"</i>
<i>RO 3 Commission Decision "C 2011/9583/1"</i>					

**TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG RTD - YEAR 2011**

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
<b>Total</b>		

**No data to be reported**



**TABLE 12 : SUMMARY OF PROCEDURES OF DG RTD EXCLUDING BUILDING CONTRACTS**

<b>Internal procedures &gt; € 60,000</b>	<b>2010</b>		<b>2011</b>	
<b>Contract Class</b>	<b>Count</b>	<b>Amount (€)</b>	<b>Count</b>	<b>Amount (€)</b>
Service	22	21.106.041,38	9	22.189.613,00
<b>TOTAL</b>	<b>22</b>	<b>21.106.041,38</b>	<b>9</b>	<b>22.189.613,00</b>
<b>Procedure Type</b>	<b>Count</b>	<b>Amount (€)</b>	<b>Count</b>	<b>Amount (€)</b>
Negotiated Procedure without publication of a contract notice Art. 126 IR	2	405.000,00		
Open Procedure (Art. 122.2 IR)	20	20.701.041,38	9	22.189.613,00
<b>TOTAL</b>	<b>22</b>	<b>21.106.041,38</b>	<b>9</b>	<b>22.189.613,00</b>

<b>External procedures &gt; € 10,000</b>
<b>Contract Class</b>
<b>TOTAL</b>
<b>Procedure Type</b>
<b>TOTAL</b>

**TABLE 13 : BUILDING CONTRACTS**

<b>Total number of contracts :</b>	<b>0</b>
<b>Total amount :</b>	

<b>Legal base</b>	<b>Contract Number</b>	<b>Contractor Name</b>	<b>Description</b>	<b>Amount (€)</b>
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**No data to be reported**

**TABLE 14 : CONTRACTS DECLARED SECRET**

<b>Total Number of Contracts :</b>	<b>0</b>
<b>Total amount :</b>	

<b>Legal base</b>	<b>Contract Number</b>	<b>Contractor Name</b>	<b>Type of contract</b>	<b>Description</b>	<b>Amount (€)</b>
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**No data to be reported**